

HOLOMORPHIC VECTOR BUNDLES WITH  
INFINITE-DIMENSIONAL FIBERS ON  
COMPLEX PROJECTIVE VARIETIES

E. Ballico

Department of Mathematics

University of Trento

380 50 Povo (Trento) - Via Sommarive, 14, ITALY

e-mail: ballico@science.unitn.it

**Abstract:** Here we consider holomorphic vector bundles with infinite-dimensional fibers on complex integral projective varieties from the point of view of their semistable finite rank subsheaves.

**AMS Subject Classification:** 32K05, 32L05, 14J60

**Key Words:** Banach bundle, holomorphic vector bundle, holomorphic vector bundle with infinite rank, stable sheaf

1. Introduction

Let  $X$  be an integral  $n$ -dimensional complex projective variety and  $F$  a torsion free coherent analytic sheaf on  $X$ . A subsheaf  $G \neq 0$  of  $F$  is said to be saturated in  $F$  if either  $G = F$  or the coherent sheaf  $F/G$  has no torsion. The sheaf  $F$  is said to be reflexive if the natural map  $F \rightarrow \text{Hom}(\text{Hom}(F, \mathcal{O}_X), \mathcal{O}_X)$  is bijective (see [1]). If  $F$  is locally free, then it is reflexive. If  $F$  is reflexive, then it is locally free outside  $\text{Sing}(X) \cup T$ , where  $T$  is a closed analytic subset of  $X$  with codimension at least three in  $X$  (see [1], Corollary 1.4). If  $F$  is

reflexive and  $G$  is saturated in  $F$ , then  $G$  is reflexive. Fix an ample  $H \in \text{Pic}(X)$ . Fix a reflexive coherent sheaf  $F$  on  $X$ .  $\det(F)$  may be defined as a line bundle at each point on which  $F$  is locally free. First assume that  $\text{Sing}(X)$  has codimension at least two in  $X$ . Hence there is a unique Weil divisor  $\det(F)$  of  $X$  which extends the divisor  $\det(F)$  previously defined on a big open subset of  $X$ . Set  $\mu(F) := \det(F) \cdot H^{n-1}/\text{rank}(F)$  (or  $\mu_H(F)$  if there is any danger of misunderstandings); here  $\det(F) \cdot H^{n-1}$  denotes the intersection product of  $n - 1$  Cartier divisors with a Weil divisor. If  $X$  is an integral curve, set  $\deg(F) := \text{rank}(F)(p_a(X) - 1) + \chi(F)$  and  $\mu(F) := \deg(F)/\text{rank}(F)$ . The rational number  $\mu(F)$  is called the slope of  $F$ . Notice that if  $n = 1$   $\mu(F)$  does not depend from the choice of  $H$ . Recall that  $F$  is said to be  $H$ -stable (resp.  $H$ -semistable) if for all subsheaves,  $A$ , of  $F$  with lower rank we have  $\mu(A) < \mu(F)$  (resp.  $\mu(A) \leq \mu(F)$ ); this is the notion of stability or semistability in the sense of Mumford and Takemoto.

**Theorem 1.** *Let  $X$  be an integral complex projective variety,  $H$  an ample line bundle and  $E$  a holomorphic vector bundle on  $X$  with a locally convex topological vector space as a fiber. Assume that  $E$  satisfies the following condition:*

(A) *There is an integer  $t$ , a locally convex topological vector space  $V$  and an inclusion  $j : E \rightarrow (H^{\otimes t})^V$ .*

*Then one of the following cases occurs:*

- (a) *There is no holomorphic vector bundle with finite rank  $F$  on  $X$  such that there is an injective map  $u : F \rightarrow E$ .*
- (b) *The set  $\mathfrak{S}$  of all coherent sheaves  $A$  on  $X$  such that there is an inclusion  $j : A \rightarrow E$  is not empty.*

We are in case (a) if and only if for every integer  $a$  the vector bundle  $E \otimes H^{\otimes a}$  has no non-zero section. We are in case (a) if and only if  $\mathfrak{S}$  contains no line bundle. Assume case (b), i.e. assume  $\mathfrak{S} \neq \emptyset$  and set  $\tau := \sup_{F \in \mathfrak{S}} \mu(F)$ . Then either  $\tau$  is a maximum or it is not a maximum. If  $\tau$  is a maximum and the set of all integers  $\text{rank}(A)$  for  $A \in \mathfrak{S}$  with  $\mu(A) = \tau$  is bounded, then there is a saturated  $D \in \mathfrak{S}$  such that  $\mu(D) = \tau$  and every  $A \in \mathfrak{S}$  with  $\mu(A) = \tau$  is contained in  $D$ . If  $\tau$  is a maximum and the set of all integers  $\text{rank}(A)$  for  $A \in \mathfrak{S}$  with  $\mu(A) = \tau$  is not bounded, then there is a sequence of saturated and stable sheaves  $A_n \in \mathfrak{S}$ ,  $n \geq 1$ , such that  $\mu(A_i) = \tau$  for every  $i$  and for every  $n \geq 1$   $E$  contains a saturated subsheaf isomorphic to  $\sum_{i=1}^n A_i$ . If  $\tau$  is not a maximum, then there is a sequence of coherent saturated subsheaves  $F_n \subset E$ ,  $n \geq 1$ , such that  $\mu(F_{n+1}) > \mu(F_n)$  and  $F_n \subset F_{n+1}$  for every  $n \geq 1$ .

Take  $X, H$  and  $E$  as in Theorem 1. If  $E$  satisfies the condition (A) stated there, we will say that  $E$  is right  $H$ -bounded. Easy examples shows that all cases may occur for any integral projective curve  $X$ . Furthermore, we may easily construct (e.g. taking a direct sum) example in which  $\tau$  is a maximum, the maximal rank subsheaf  $D$  exists, is locally free and  $E/D$  is locally free, but all cases may occur for  $E/D$ . The only think that it is always true is that  $E/D$  is right bounded (with an obvious extension of this definition if  $E/D$  is not locally free).

### 2. The Proof

**Lemma 1.** *Let  $X$  be an integral complex projective variety,  $H$  an ample line bundle on  $X$ ,  $E$  a holomorphic vector bundle on  $X$  with a locally convex topological vector space as a fiber and  $A, B$  coherent subsheaves of  $E$ . Assume  $\mu(A) = \mu(B)$  and that there is no coherent subsheaf  $D$  of  $E$  such that  $\mu(D) > \mu(A)$ . Then  $A$  and  $B$  are saturated in  $E$  and there is a reflexive subsheaf  $M$  of  $E$  such that  $\mu(M) = \mu(A)$  and  $M$  contains subsheaves  $A', B'$  with  $A' \cong A$  and  $B' \cong B$ . Any such  $A$  is  $H$ -semistable. Furthermore, for all inclusions  $i : A \rightarrow E$  and  $j : B \rightarrow E$  the subsheaf  $i(A) + j(B)$  is a coherent and saturated subsheaf of  $E$  with slope  $\mu(A)$ . Either  $i(A) \cap j(B) = \{0\}$ , and hence  $D$  contains a subsheaf isomorphic to  $A \oplus B$  or  $\mu(i(A) \cap j(B)) = \tau$ ; if  $A$  is  $H$ -stable, then the latter case occur if and only if  $i(A) = j(B)$ .*

*Proof.* If  $A$  is not saturated in  $E$ , then there is a coherent subsheaf  $M$  of  $E$  such that  $A \subset M$ ,  $\text{rank}(A) = \text{rank}(M)$  and the support of  $M/A$  contains a non-empty hypersurface  $T$ . Hence  $\mu(M) \geq T \cdot H^{n-1} / \text{rank}(M)$  contradicting the maximality of  $\mu(A)$  among the slopes of all elements of  $\mathfrak{S}$ . The proofs of all other statements are very similar and we omit them. □

*Proof of Theorem 1.* If  $E \otimes H^{\otimes a}$  has a global section, then there is an inclusion  $H^{\otimes -a} \rightarrow E$  and hence  $\mathfrak{S}$  contains the line bundle  $H^{\otimes -a}$ . Conversely, assume  $\mathfrak{S} \neq \emptyset$  and fix an inclusion  $j : F \rightarrow E$  with  $F \neq 0$  and  $F$  with finite rank. Since  $H$  is ample, there is an  $t_0$  such that  $h^0(X, F(t)) \neq 0$  for every  $t \geq t_0$  and in particular  $H^{\otimes -t_0} \in \mathfrak{S}$ . Now assume that we are in case (b) and that  $\tau$  is a maximum. Set  $\mathfrak{G} := \{A \in \mathfrak{S} : \mu(A) = T\}$ . By Lemma 1 every  $A \in \mathfrak{G}$  is saturated. First assume the existence of  $D \in \mathfrak{G}$  such that  $\text{rank}(D)$  is maximal among all  $B \in \mathfrak{G}$ . By Lemma 1  $D$  is saturated and contains all other members of  $\mathfrak{G}$ . Now assume there is no such  $D$ . If  $A \in \mathfrak{S}$  and  $\mu(A) = \tau$ , then there is a stable subsheaf  $M$  of  $A$  with  $\mu(A) := \tau$ : just take the smallest rank

non-zero subsheaf of  $A$  with  $\tau$  as its slope. Let  $\mathfrak{B}$  be the set of all  $A \in \mathfrak{S}$  such that  $\mu(A) = \tau$  and  $A$  is stable. The non-existence of such a sheaf  $D$  implies that  $\mathfrak{B}$  is infinite. Take any infinite subset  $A_n \in \mathfrak{B}$  and use the last assertion of Lemma 1 to obtain that  $A_1 + \cdots + A_n \cong \bigoplus_{i=1}^n A_n$  for every  $n \geq 1$ . Now assume that  $\tau$  is not a maximum. Take any sequence  $G_n \in \mathfrak{S}$ ,  $n \geq 1$ , such that  $\sup_{n \geq 1} \mu(G_n) = \tau$  and take as  $F_n$  the saturation of  $G_1 + \cdots + G_n$ .  $\square$

### Acknowledgements

The author was partially supported by MIUR and GNSAGA of INdAM (Italy).

### References

- [1] R. Hartshorne, Stable reflexive sheaves, *Math. Ann.*, **254** (1980), 229–280.