

PROJECTIVE VARIETIES AND SETS OF
OSCULATING SPACES IN UNIFORM POSITION

E. Ballico

Department of Mathematics

University of Trento

380 50 Povo (Trento) - Via Sommarive, 14, ITALY

e-mail: ballico@science.unitn.it

Abstract: Let $X \subset \mathbf{P}^r$ be an integral projective variety. For every $P \in X_{reg}$ and $m > 0$ let $O(X, mP)$ be the osculating space of X . Here we give the set-up to show that in several cases there must be k -ples of distinct points $(P_1, \dots, P_k), (Q_1, \dots, Q_k) \in X_{reg}^k$ such that $\dim(\langle O(X, mP_1) \cup \dots \cup O(X, mP_k) \rangle) \neq \dim(\langle O(X, mQ_1) \cup \dots \cup O(X, mQ_k) \rangle)$.

AMS Subject Classification: 14N05

Key Words: osculating linear space, uniform position principle

1. Sets in Multiple Uniform Position

Here we try to give a general set-up and a “measure” for the following type of questions. Let $C \subset \mathbf{P}^2$ be a smooth plane curve of degree $d \geq 3$. Why must C have a flex, i.e. why not all $P \in C$ are such that the tangent line to C at P has order of contact two with C at P ? Take an abstract set $S \subset \mathbf{P}^r$; when all finite subsets of S with the same cardinality have the same properties, even from a differential point of view? For the first question (in the set-up of osculating spaces to projective varieties) see Section 2. In the first section we discuss the second question. We work over an algebraically closed field \mathbb{K} .

Definition 1. Let $S \subset \mathbf{P}^n$ be a finite set. We will say that S is in multiple uniform position if for all $A \subseteq S, B \subseteq S$ such that $\text{card}(A) = \text{card}(B)$, say $A = \{P_1, \dots, P_c\}$ and $B = \{Q_1, \dots, Q_c\}$ and all integers t and $m_i > 0, 1 \leq i \leq c$, we have $h^0(\mathbf{P}^n, \mathcal{I}_{m_1 P_1 \cup \dots \cup m_c P_c}(t)) = h^0(\mathbf{P}^n, \mathcal{I}_{m_1 Q_1 \cup \dots \cup m_c Q_c}(t))$.

Since we allow the case $m_i \neq m_j$ for some i, j , this is even a non-trivial condition taking $A = B$ and even taking $A = B = S$. However, since there are infinitely many degrees $\sum_{1 \leq i \leq c} \binom{m_i + n - 1}{n - 1}$ involved in the definition and the intersection of a countable (but infinite) family of Zariski open subset is not Zariski open, in general, we prefer the following definition.

Definition 2. Fix an integer $m > 0$. Let $S \subset \mathbf{P}^n$ be a finite set. For any $P \in \mathbf{P}^n$ and any integer $m > 0$ let mP denote the closed subscheme of \mathbf{P}^n with $(\mathcal{I}_P)^m$ as ideal sheaf. We will say that S is in multiple uniform position up to order m if for all $A \subseteq S, B \subseteq S$ such that $\text{card}(A) = \text{card}(B)$, say $A = \{P_1, \dots, P_c\}$ and $B = \{Q_1, \dots, Q_c\}$ and all integers t and m_i with $0 \leq m_i \leq m, 1 \leq i \leq c$, we have $h^0(\mathbf{P}^n, \mathcal{I}_{m_1 P_1 \cup \dots \cup m_c P_c}(t)) = h^0(\mathbf{P}^n, \mathcal{I}_{m_1 Q_1 \cup \dots \cup m_c Q_c}(t))$.

If the ambient space is not a projective space or if we want to work with vector bundles instead of line bundles, the following definition is very natural.

Definition 3. Let X be an integral projective variety, Δ a family of vector bundles on X and $S \subset X$ a finite subset. We will say that S is in multiple uniform position with respect to Δ if for all $A \subseteq S, B \subseteq S$ such that $\text{card}(A) = \text{card}(B)$, say $A = \{P_1, \dots, P_c\}$ and $B = \{Q_1, \dots, Q_c\}$ and all integers t and $m_i > 0, 1 \leq i \leq c$, we have $h^0(X, \mathcal{I}_{m_1 P_1 \cup \dots \cup m_c P_c} \otimes E) = h^0(X, \mathcal{I}_{m_1 Q_1 \cup \dots \cup m_c Q_c} \otimes E)$ for every $E \in \Delta$. Similarly, for any fixed integer $m > 0$ we will say that S is in multiple uniform position up to order m if the previous condition is satisfied for all $m_i \leq m$.

In Definition 3 we could take S infinite (e.g. take $S = X$). However, in this way we do not get a useful definition, as shown by the next very easy result, unless we give an upper bound for the number of elements of the finite sets A, B ; we will call this multiple uniform position (or multiple uniform position with multiplicity $\leq m$) for all subsets A, B of S with $\#(A) = \#(B) \leq x$.

Here is a related definition, which is often more convenient to obtain sharp bounds.

Definition 4. Let $X \subseteq \mathbf{P}^n$ be an integral n -dimensional variety. For every integer $x > 0$ and every $P \in X_{reg}$, let xP denote the infinitesimal neighborhood of order $x - 1$ P in X , not in \mathbf{P}^n , i.e. the closed subscheme of X with $(\mathcal{I}_{P,X})^m$ as ideal sheaf. Set $0P := \emptyset$. Fix a locally closed subset Y of X_{reg} and integers $k > 0, m > 0$. We will say that this embedding of X is k -point uniform up

to order m along Y if for all k -ples of distinct points $(P_1, \dots, P_k) \in X^k$ and $(Q_1, \dots, Q_k) \in X^k$ and all integers $m_i, 1 \leq i \leq k$ with $0 \leq m_i \leq m$ we have $\dim(\langle m_1 P_1 \cup \dots \cup m_k P_k \rangle) = \dim(\langle m_1 Q_1 \cup \dots \cup m_k Q_k \rangle)$. If this is true for every m , then we will say that this embedding of X is k -point uniform along Y . If $Y = X_{reg}$, then we will omit the words “along Y ”. Abusing notation, when $m = 1$ we will allow the case in which Y contains some singular point of Y . For any $m > 0$ and $s > 0$ let $\tau(X, s, m) := \dim(\langle m P_1 \cup \dots \cup m P_s \rangle)$ for general $(P_1, \dots, P_s) \in X^s$. We will say that X is k -uniform for the multiplicity m along $Y \subset X_{reg}$ if for every integer $s \leq k$ and every $(Q_1, \dots, Q_s) \in Y^s$ with $Q_i \leq Q_j$ for $i \neq j$ we have $\dim(\langle m Q_1 \cup \dots \cup m Q_s \rangle) = \tau(X, s, m)$.

In the vector bundle case we have the following modification of Definition 4

Definition 5. For all integers $m > r > 0$ let $G(r, m)$ be the Grassmannian of all $(m - r)$ -dimensional linear subspaces of \mathbb{K}^m . Hence $\dim(G(r, m)) = r(m - r)$. $G(r, m)$ is equipped with a rank r spanned vector bundle $Q_{G(r, m)}$ (called the universal quotient line bundle) such that $\det(Q_{G(r, m)}) \cong \mathcal{O}_{G(r, m)}(1)$ is the positive generator of $\text{Pic}(G(r, m))$ and $h^0(G(r, m), Q_{G(r, m)}) = m + 1$. Let $X \subseteq G(r, m)$ be an integral n -dimensional variety. For every integer $x > 0$ and every $P \in X_{reg}$, let xP denote the infinitesimal neighborhood of P in X , not in $G(r, m)$. Set $0P := \emptyset$. Fix a locally closed subset Y of X_{reg} and integers $k > 0, m > 0$. We will say that this embedding of X is k -point uniform up to order m along Y if for all k -ples of distinct points $(P_1, \dots, P_k) \in X^k$ and $(Q_1, \dots, Q_k) \in X^k$ and all integers $m_i, 1 \leq i \leq k$ with $0 \leq m_i \leq m$ we have $h^0(G(r, m), \mathcal{I}_{m_1 P_1 \cup \dots \cup m_k P_k} \otimes Q_{G(r, m)}) = h^0(G(r, m), \mathcal{I}_{m_1 Q_1 \cup \dots \cup m_k Q_k} \otimes Q_{G(r, m)})$. If this is true for every m , then we will say that this embedding of X is k -point uniform along Y . If $Y = X_{reg}$, then we will omit the words “along Y ”. Abusing notation, when $m = 1$ we will allow the case in which Y contains some singular point of Y .

Example 1. Let $X = G/P$ be a rational homogeneous projective manifold and E a rank $r \geq 1$ homogeneous vector bundle on X . Call $j : X \rightarrow G(r, h^0(X, E))$ the associated morphism. Since $j(X)$ is homogeneously embedded, it is 1-point uniform. The automorphism group of \mathbf{P}^1 is 3-transitive and hence if $X = \mathbf{P}^1$, then $j(X)$ is 3-point uniform. The automorphism group of $\mathbf{P}^n, n \geq 2$, is 2-transitive and hence if $X = \mathbf{P}^1$, then $j(X)$ is 2-point uniform.

The following well-known result motivated our definitions.

Proposition 1. Fix an integer $m > 0$. Let $C \subset \mathbf{P}^n$ be an integral non-degenerate curve. Assume either $\text{char}(\mathbb{K}) = 0$ or $n \geq 4$ and $\text{deg}(C) \geq 25$. Then

there is a non-empty open subset U_m of \mathbf{P}^{n*} such that for every $H \in U_m$ the set $H \cap C$ is in multiple uniform position up to order m . If we add the condition that \mathbb{K} is uncountable, then there is a dense subset $U \in \mathbf{P}^{n*}$ such that for every $H \in U$ the set $H \cap C$ is in multiple uniform position.

Proof. To prove the existence of U_m it is sufficient to note that by [1], Corollary 2.2 (case $\text{char}(\mathbb{K}) = 0$) or Theorem 2.5 (case $\text{char}(\mathbb{K}) > 0$), the monodromy group of the generic hyperplane section is at least $(\text{deg}(C) - 2)$ -transitive. For the last part set $U := \bigcap_{m \geq 1} U_m$ and use that over an uncountable field \mathbb{K} the countable intersection of Zariski open non-empty subsets of $\mathbb{A}_{\mathbb{K}}$ is dense in $\mathbb{A}_{\mathbb{K}}$. □

Example 2. Let $X \subset \mathbf{P}^3$ be an integral surface of degree $d \geq 2$.

Here we will check the existence of $\{P_1, P_2, P_3, P_4\} \in X_{reg}$ with is not in multiple uniform position up to order one. It is sufficient to check the existence of collinear $\{P_1, P_2, P_3\} \in X_{reg}$ and then take P_4 general in Y . If $d = 2$, then X contains infinitely many lines and hence we are done. If $d \geq 3$ a general plane section of X contains many triples of smooth collinear points.

Proposition 2. Fix integers $k > 0, m > 0$ and an integral subvariety $X \subseteq \mathbf{P}^n$. For every integer $y > 0$ let $v_{n,y} : \mathbf{P}^n \rightarrow \mathbf{P}^{N(n,y)}$, $N(n,y) := \binom{n+y}{y} - 1$, denote the Veronese embedding given by the linear system of all degree y hypersurfaces. Then for every integer $t \geq km$ every subset S of $v_{n,t}(X_{reg})$ with $\#(S) \leq k$ is in multiple uniform position up to order m .

Proof. Set $x := \dim(X)$. Since $v_{n,t}$ is an embedding, for every integer $a > 0$ and any $P \in X_{reg}$ we have $a(v_{n,t}(P)) = v_{n,t}(aP)$ (as subschemes of $v_{n,t}(X)$). Just use that for all integers $s > 0$ and $m_i > 0$ such that $\sum_{i=1}^s m_i < t$ and all $Q_i \in \mathbf{P}^n, 1 \leq i \leq s$, we have $\dim(\langle m_1 Q_1 \cup \dots \cup m_s Q_s \rangle) = -1 + \sum_{i=1}^s \binom{n+m_i}{n}$ and (when $Q_i \in X_{reg}$ for all i) restrict this relation to the linear span of the osculating spaces of $v_{n,y}(X)$ at Q_1, \dots, Q_s . □

2. Osculating Spaces

Notation 1. Let $X \subset \mathbf{P}^r$ be an integral n -dimensional variety. For any $P \in X_{reg}$ and any integer $x > 0$ let xP denote the infinitesimal neighborhood of order $x - 1$ of P in X , not in \mathbf{P}^r . Set $o(X, P, x) = \dim(\langle xP \rangle)$. The sequence $o(X, P) := \{o(X, P, x)\}_{x \geq 1}$ is called the order sequence of X at P . Set $o(X, x) := o(X, P, x)$ for P general in X . The sequence $\{o(X, x)\}_{x \geq 1}$ is called the order sequence of X .

Proposition 3. *Let X be a projective variety and L a line bundle on X such that $a := h^0(X, L) > 0$. Assume $h^0(X, \mathcal{I}_A \otimes L) = h^0(X, \mathcal{I}_B \otimes L)$ for all $A, B \subset X$ such that $\sharp(A) = \sharp(B) = a$. Then either $L \cong \mathcal{O}_X$ or $X \cong \mathbf{P}^1$.*

Proof. For a general $A \in X^a$ we have $h^0(X, \mathcal{I}_A \otimes L) = 0$. If L has at least one base point, choosing it instead of one of the points of A we obtain a contradiction. Hence L has no base points. If $a = 1$, this implies $L \cong \mathcal{O}_X$. Assume $a \geq 2$ and call $\psi : X \rightarrow \mathbf{P}(H^0(X, L)^*) \cong \mathbf{P}^{a-1}$ the morphism induced by the complete linear system $|L|$. As in the proof of the base point freeness of L we obtain that ψ is injective. The rational normal curve of \mathbf{P}^{a-1} is the only subvariety of \mathbf{P}^{a-1} such that any a points of it span \mathbf{P}^{a-1} . Hence X is a curve and $\phi(X) \cong \mathbf{P}^1$. Since ψ is injective and \mathbf{P}^1 is a normal variety, ψ is an isomorphism by Zariski Main Theorem. \square

Definition 6. Let X be an integral n -dimensional projective variety and $Y \subset X$ an integral locally closed x -dimensional variety, $0 < x < n$. We will say that Y is very positive if $Y \cap T = \emptyset$ for every closed irreducible $(n - x)$ -dimensional integral subvariety T of X .

The following observation explains the strength of the previous definition.

Lemma 1. *Let X be an integral n -dimensional projective variety and $Y \subset X$ an integral very positive locally closed x -dimensional variety, $0 < x \leq n - 2$. Then for every integer y with $1 \leq y \leq n - 1 - x$ and every y -dimensional closed integral subvariety D of X the set $Y \cap D$ contains an $(x + y - n)$ -dimensional subvariety.*

Proof. Fix a y -dimensional closed integral subvariety D of X , a very ample linear system $|H|$ on X and $x + y - n$ general members H_1, \dots, H_{x+y-n} of $|H|$. Set $T := D \cap D_1 \cap \dots \cap D_{x+y-n}$. Thus T is a non-empty $(n - y)$ -dimensional closed subvariety of X . Since Y is very positive, $Y \cap T \neq \emptyset$. By the generality of H_1, \dots, H_{x+y-n} this implies $\dim(Y \cap D) \geq x + y - n$. \square

Notation 2. For all integers $b > a > 0$ let $\text{Grass}(a, b)$ denotes the set of all a -dimensional linear subspaces of \mathbf{P}^b . Fix integers $c > 0$ and $x \geq 0$ and a dimension c linear subspace $M \subset \mathbf{P}^b$. Set $\text{Grass}(a, b; M, x) := \{A \in \text{Grass}(a, b) : \dim(M \cap A) \geq x\}$ and

$$\text{Grass}(a, b; M) := \text{Grass}(a, b; M, 0) = \{A \in \text{Grass}(a, b) : A \cap M \neq \emptyset\}.$$

Up to a projective transformation, the Schubert cells $\text{Grass}(a, b; M, x)$ and $\text{Grass}(a, b; M)$ do not depend from the choice of M and hence we are allowed to define $\beta(a, b; c, x)$ (resp. $\beta(a, b; c)$) as the minimal integer t such that every t -dimensional closed subvariety of $\text{Grass}(a, b)$ intersects $\text{Grass}(a, b; M, x)$ (resp. $\text{Grass}(a, b; M)$).

All the integers $\beta(a, b; c, x)$ are in principle computable.

Proposition 4. *Fix integers $m \geq 20$, $k > 0$ and an integral non-degenerate n -dimensional $X \subset \mathbf{P}^r$. Assume $\tau(X, k, 2) = r - o(X, m) - 1$ and $\tau(X, k + 1, m) = r$. Fix a general $(P_1, \dots, P_k) \in X^k$ and set $\Omega := \{P \in X_{reg} \setminus \{P_1, \dots, P_k\} : \langle mP_1 \cup \dots \cup mP_k \cup mP \rangle \neq \mathbf{P}^r\}$. Then Ω is a non-empty open subset of X_{reg} which contains no projective curve.*

Proof. Set $M := \langle mP_1 \cup \dots \cup mP_k \rangle$. Let $E \subset \text{Grass}(o(X, m), r)$ be the set of all $o(X, m)$ -dimensional linear subspaces of \mathbf{P}^r intersecting M . Since $\dim(M) = r - o(X, m) - 1$, E is a non-empty hyperplane of the Grassmannian $G(o(X, m), r)$. We have $\Omega = \{P \in X_{reg} \setminus \{P_1, \dots, P_k\} : O(X, mP) \neq E$. Since $\mathcal{O}_{\text{Grass}(o(X, m)+1, r+1)}(1)$ is very ample, we are done. \square

Theorem 1. *Fix integers $m > 0$, $k > 0$, $x \geq 0$ and an integral non-degenerate n -dimensional $X \subset \mathbf{P}^r$. Assume $\tau(X, k, 2) = r - o(X, m) - 1 + x$ and $\tau(X, k + 1, m) = r$. Fix a general $(P_1, \dots, P_k) \in X^k$ and set $\Omega := \{P \in X_{reg} \setminus \{P_1, \dots, P_k\} : \langle mP_1 \cup \dots \cup mP_k \cup mP \rangle \neq \mathbf{P}^r\}$.*

$X_{reg} \setminus \{P_1, \dots, P_k\} : \langle mP_1 \cup \dots \cup mP_k \cup mP \rangle \neq \mathbf{P}^r$. Then Ω contains no integral projective variety of dimension at least $\beta(o(X, m), r; \tau(X, k, 2), x)$ on which the osculating rational map of order m from X into $\text{Grass}(o(X, m), r)$ is generically finite.

Proof. Set $M := \langle mP_1 \cup \dots \cup mP_k \rangle$. Repeat the proof of Proposition 4, just using the definition of the integer $\beta(o(X, m), r; \tau(X, k, 2), x)$. \square

A similar proof (we leave the details to the interested reader) gives the following result.

Theorem 2. Fix integers $m_1 \geq 2$, $m_2 \geq 2$ and $x \geq 0$. Let $X \subset \mathbf{P}^r$ be an integral non-degenerate variety. Set $U(m_i) : P \in X_{reg} : \dim(\langle m_i P \rangle) = o(X, m_i)$. Fix a general $P_1 \in X_{reg}$ and set $\Omega := \{P \in U(m_2) : \dim(O(X, m_2 P_2) \cap O(X, m_1 P_1)) < x$. Assume $o(X, m_1) + o(X, m_2) + x \leq r - 1$. Then Ω is an open subset of $U(m_2)$ not containing any irreducible variety of dimension $\beta(o(X, m), r; o(X, m_1), x)$ on which the osculating rational map of order m_2 from X into $\text{Grass}(o(X, m), r)$ is generically finite; we allow the case $\Omega = \emptyset$.

Acknowledgements

The author was partially supported by MIUR and GNSAGA of INdAM (Italy).

References

- [1] J. Rathmann, The uniform position principle for curves in characteristic p , *Math. Ann.*, **276** (1987), 565-579.

