

ALGEBRAICITY CRITERIA FOR COHERENT  
ANALYTIC SHEAVES ON ONE-DIMENSIONAL  
STEIN SPACES

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**Abstract:** Here we prove some algebraicity criteria for coherent analytic sheaves on one-dimensional reduced Stein spaces, e.g. the following result. Let  $X$  be a one-dimensional reduced algebraic scheme and  $U$  an open subset of  $X_{an}$  (for the Euclidean topology). Let  $G$  a coherent and torsion free analytic sheaf on  $U$  which is locally free except at finitely many points of  $U$ . Then there is a coherent algebraic sheaf  $F$  on  $X$  such that  $G \cong F_{an}|U$ .

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**Key Words:** coherent analytic sheaf, coherent algebraic sheaf

### 1. Introduction

For any complex algebraic scheme  $(X, \mathcal{O}_X)$  and any coherent algebraic sheaf  $F$ , let  $(X_{an}, \mathcal{O}_{X_{an}})$  denote the associated complex analytic space and  $F_{an}$  the associated coherent analytic sheaf on  $X_{an}$ . Hence we equip  $X_{an}$  with the Euclidean topology, not the Zariski topology of  $X$ . For any morphism  $f : X \rightarrow X'$  between algebraic schemes, let  $f_{an} : X_{an} \rightarrow X'_{an}$  denote its associated holomorphic map. All complex spaces in this note are assumed to be paracompact. For

any complex space (resp. algebraic variety)  $Y$  and any coherent analytic (resp. algebraic) sheaf  $G$  on  $Y$  set  $\text{Sing}(G) := \{P \in Y : G \text{ is not locally free at } P\}$ . In this paper we prove the following results.

**Theorem 1.** *Let  $Y$  be a reduced one-dimensional complex space and  $G$  a coherent and torsion free analytic sheaf on  $Y$ . For every relatively compact open subset  $U$  of  $Y$ , any reduced one-dimensional algebraic scheme  $W$  and any open embedding  $j : A \rightarrow W_{an}$  (as complex analytic spaces), there is a coherent algebraic sheaf  $F$  on  $W$  such that  $j^*(F_{an}) \cong G|_U$ .*

We stress that any relatively compact open subset  $U$  of a reduced one-dimensional complex space  $Y$  has finitely generated fundamental group and it is an open subset of the analytification of a projective algebraic curve (e.g. use a Morse function on  $Y$  to embed a larger open subset into an algebraic scheme).

**Theorem 2.** *Let  $X$  be a one-dimensional reduced algebraic scheme and  $U$  an open subset of  $X_{an}$  (for the Euclidean topology). Let  $G$  a coherent and torsion free analytic sheaf on  $U$  which is locally free except at finitely many points of  $U$ . Then there is a coherent algebraic sheaf  $F$  on  $X$  such that  $G \cong F_{an}|_U$ .*

## 2. The Proofs

**Remark 1.** Let  $Y$  be a reduced one-dimensional complex space (resp. reduced quasi-projective curve) and  $G$  a coherent analytic (resp. algebraic) sheaf on  $Y$ . Every finitely generated torsion free module on a one-dimensional local regular ring is free. Hence  $\text{Sing}(G) \subseteq \text{Sing}(Y)$ .

**Remark 2.** Let  $Y$  be a one-dimensional Stein space. We recall that every holomorphic vector bundle on  $Y$  is trivial (for instance, the proof of [2], Theorem 30.1, works verbatim even if  $Y$  is singular).

*Proof of Theorem 1.* First assume  $Y$  irreducible. The rank of  $F$  is well-defined because  $Y$  is well-defined because  $Y$  is irreducible. Set  $r := \text{rank}(F)$ . Let  $u : X \rightarrow Y$  be the partial normalization in which we normalize exactly the singular points of  $Y$  belonging to  $\text{Sing}(F)$ . The torsion sheaf  $\text{Tors}(u^*(F))$  is supported by the discrete set  $u^{-1}(\text{Sing}(F))$ . Set  $G := u^*(F)/\text{Tors}(u^*(F))$ . Hence  $G$  is coherent, torsion free and locally free, except perhaps at some point of  $u^{-1}(\text{Sing}(F))$ . Since  $X$  is smooth in a neighborhood of  $u^{-1}(\text{Sing}(F))$ ,  $G$  is locally free (Remark 1). Hence  $G \cong \mathcal{O}_X^{\oplus r}$  (Remark 2). Set  $A := u_*(G) \cong (u_*(\mathcal{O}_X))^{\oplus r}$ . The natural map  $i_F : A \rightarrow F$  is an isomorphism outside  $\text{Sing}(F)$ .

Since  $u_*(\mathcal{O}_X)$  is torsion free,  $A$  is torsion free and  $i_F$  is injective. Similarly, considering  $F^* := \text{Hom}(F, \mathcal{O}_Y)$  instead of  $F$  we obtain an inclusion  $i_{F^*} : (u_*(\mathcal{O}_X))^{\oplus r} \rightarrow F^*$  which is an isomorphism outside  $\text{Sing}(F)$ . Applying the functor  $\text{Hom}(-, \mathcal{O}_Y)$  we obtain a map  $\alpha_F : F^{**} \rightarrow (u_*(\mathcal{O}_X))^{\oplus r}$  which is an isomorphism outside  $\text{Sing}(F)$ . Since  $F^{**}$  is torsion free,  $\alpha_F$  is injective. Since  $F$  is torsion free, the natural map  $\tau : F \rightarrow F^{**}$  is injective. Composing  $i_F$ ,  $\tau$  and  $\alpha_F$  we see that  $F$  is sandwiched in the middle of an inclusion  $\beta : (u_*(\mathcal{O}_X))^{\oplus r} \rightarrow (u_*(\mathcal{O}_X))^{\oplus r}$  which is an isomorphism outside  $\text{Sing}(F)$ . Hence  $\text{Coker}(\beta)$  is a skyscraper analytic sheaf supported by  $\text{Sing}(F)$ . Fix  $P \in \text{Sing}(F)$ . Set  $\mathcal{O}_P := \mathcal{O}_{Y,P}$  and  $\tilde{\mathcal{O}}_P := \prod_{Q \in u^{-1}(P)} \mathcal{O}_{X,Q}$ . Hence  $\mathcal{O}_P$  is a semilocal ring which is the normalization of  $\tilde{\mathcal{O}}_P$  in its total ring of fractions. Let  $F_P$  denote the germ of  $F$  at  $P$ . Fix any non-zero  $\tilde{\mathcal{O}}_P$ -ideal  $I_P \subsetneq \tilde{\mathcal{O}}_P$ . Hence  $V_P := \tilde{\mathcal{O}}_P/I_P$  is a finite dimensional  $\mathbb{C}$ -vector space equipped with an  $\mathcal{O}_P$ -structure. Set  $m := \dim(\mathcal{O}_P/I_P) > 0$ . For any integer  $a > 0$ . let  $G(a, V^{\oplus r})$  denote the Grassmannian of all  $a$ -dimensional linear subspaces of  $V^{\oplus r}$ . Up to isomorphisms  $F_P$  corresponds to an element  $T_P \in G(mr, V^{\oplus r})$  (see [4] for the case  $r = 1$  and  $Y$  Gorenstein, [1], Theorem 2.3.1, for the general case). Now fix a holomorphic embedding  $j : U \rightarrow W_{an}$ . Since  $U$  is relatively compact in  $Y$ ,  $S := U \cap \text{Sing}(F)$  is finite. For every  $P \in S$  we choose  $I_P$  and  $T_P$  as above. Obviously,  $u|_U$  coincide with the restriction to  $j(U)$  of the normalization  $u' : W' \rightarrow W$  of  $W$  and hence it has an algebraic structure. A rank  $r$  trivial holomorphic vector bundle on  $u'^{-1}(j(U))$  is the restriction of a trivial rank  $r$  vector bundle on  $W'$  and hence it is algebraic. Hence with fixed choices of all  $I_P$  and all  $T_P$ ,  $P \in S$ , we give an algebraic structure on  $(j^{-1})^*(F)$ , concluding the proof.  $\square$

*Proof of Theorem 2.* The proof of Theorem 1 works verbatim, because it only uses the finiteness of  $S$ .  $\square$

We conclude with a well-known description of all rank one torsion free sheaves on a Stein one-dimensional reduced and irreducible complex space whose only singularities are ordinary nodes and ordinary cusps. The same description is true in the algebraic case.

**Remark 3.** Let  $Y$  be a one-dimensional reduced and irreducible Stein space whose only singularities are ordinary nodes or ordinary cusps and  $F$  a coherent analytic sheaf on  $Y$ . Let  $(R, \mathfrak{m}, \mathbb{C})$  be the one-dimensional local ring of an ordinary cusp and  $M$  a rank one torsion free module over  $R$ . There is a complete classification of all such modules: either  $M \cong R$  or  $M \cong \mathfrak{m}$ . The same is true for the local ring of an ordinary node (i.e. when  $R \cong \mathbb{C}\{x, y\}/(xy)$ ) if we make the additional condition that  $M$  has rank one on both branches of  $R$  (see [3] for much more). This condition is satisfied in our case because  $Y$  is

irreducible. As in the proof of Theorem 1 we see the existence of a line bundle  $L$  on  $Y$  such that  $F \subseteq L$  and  $L/F \cong \mathcal{O}_{\text{Sing}(F)}$ , i.e. such that the germs  $L_P$  and  $F_P$  at  $P \in Y$  are the same if  $P \notin \text{Sing}(F)$ , while  $L_P/F_P \cong \mathbb{C}$  if  $P \in Y$ . Since  $L \cong \mathcal{O}_Y$  ([2], Theorem 30.1)), we see that  $F$  is built in the following way (see [4], Remark 2.5, for the general case of a Gorenstein curve). For every  $P \in \text{Sing}(F)$  take a surjection  $\lambda_P : \mathcal{O}_{Y,P} \rightarrow \mathbb{C}$ . Let  $\lambda : \mathcal{O} \rightarrow \mathcal{O}_{\text{Sing}(F)}$  the surjection induced taking together all the surjections  $\lambda_P$ ,  $P \in \text{Sing}(F)$ . We just saw that  $F \cong \text{Ker}(\lambda)$  for suitable surjections  $\lambda_P$ ,  $P \in \text{Sing}(F)$ . Let  $Z \subset Y$  be a discrete set. For each  $P \in Z$  fix  $c_P \in \mathbb{C}^*$ . Using Theorem B of Cartan-Serre for Stein spaces and the exponential function it is immediate to check the existence of a nowhere vanishing holomorphic function  $f$  on  $Y$  such that  $f(P) = c_P$  for every  $P \in Z$ . Take  $Z = \text{Sing}(F)$ . Two surjections  $\mathcal{O}_{Y,P} \rightarrow \mathbb{C}$  are the same, up to the multiplication by a non-zero constant. Hence the isomorphism class of the sheaf  $\text{Ker}(\lambda)$  does not depend from the choice of the surjections  $\lambda_P$ ,  $P \in \text{Sing}(F)$ . Hence two such sheaves  $F_1, F_2$  are isomorphic if they have the same singularity set. Conversely, let  $S \subseteq \text{Sing}(Y)$  any subset. We see  $S$  as a closed analytic subset of  $Y$  with its reduced structure. Let  $\rho : \mathcal{O}_Y \rightarrow \mathcal{O}_S$ . The sheaf  $\text{Ker}(\rho)$  is a rank one coherent torsion free sheaf on  $Y$  and  $\text{Sing}(\text{Ker}(\rho)) = S$ . Hence  $F$  is uniquely determined (up to isomorphism) by the set  $\text{Sing}(F)$  and an arbitrary subset of  $\text{Sing}(Y)$  is the singular set of a rank one coherent torsion free sheaf on  $Y$ .

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