

NUMBER OF POINTS SUPPORTING THE ZERO-SET  
OF A SECTION OF VECTOR BUNDLES  
ON SURFACES AND ON  $\mathbf{P}^3$

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**Abstract:** Here we construct vector bundles on smooth surfaces and on  $\mathbf{P}^3$  with zero-locus supported by a prescribed number of points (even over a finite field).

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1. Introduction

In the first part of this paper we will give a partial extension of [1] to arbitrary smooth projective surfaces. As in [1] to apply [3] we work over an algebraically closed field  $\mathbb{K}$  with  $\text{char}(\mathbb{K}) = 0$ . We will prove the following result.

**Theorem 1.** *Assume  $\text{char}(\mathbb{K}) = 0$ . Let  $X$  be a smooth and connected projective surface,  $L, R \in \text{Pic}(X)$  and integers  $c_2, x$  such that  $0 < x \leq c_2$ . Assume  $h^0(X, R^*) = 0$ ,  $c_2 > h^0(X, L \otimes R \otimes_X) + h^1(X, R^*)$ , and that a general  $D \in |L \otimes R \otimes \omega_X|$  is integral. Then there exist a rank 2 vector bundle  $E$  on  $X$  such that  $c_1(E) = L$ ,  $c_2(E) = c_2$ , and  $s \in H^0(X, E)$ ,  $s \neq 0$ , such that the zero locus  $(s)_0$  of  $s$  is finite and  $\sharp(((s)_0)_{\text{red}}) = x$ .*

Then in Section 2 and Section 3 we will consider a similar, but different problem. We fix a prime-power  $q$  and take  $\mathbb{K} := \bar{\mathbb{F}}_q$ . To prove a result similar

to Theorem 1 for this field it would be sufficient to avoid (using some added assumptions) any use of [3] (which is in general false in positive characteristic). However, we consider a different problem. We fix a geometrically integral projective variety  $X$  defined over  $\mathbb{F}_q$ . We look at vector bundles  $E$  on  $X$  defined over  $\mathbb{F}_q$ . We only consider sections  $s$  of  $E$  defined over  $\mathbb{F}_q$ . Hence both the scheme  $(s)_0$  and the set  $((s)_0)_{red}$  are defined over  $\mathbb{F}_q$ . Since  $X(\mathbb{F}_q)$  is finite, obviously  $((s)_0)_{red} \cap X(\mathbb{F}_q)$  is finite, even if  $((s)_0)_{red} \cap X(\overline{\mathbb{F}}_q)$  is infinite (even if  $s = 0$ ). Certainly, we will prescribe  $s \in H^0(X, E) \setminus \{0\}$ . But then two different questions may be asked:

(i) We impose  $((s)_0)_{red} \cap X(\overline{\mathbb{F}}_q)$  finite, i.e. we impose that  $(s)_0$  is a zero-dimensional scheme;

(ii) We only assume  $s \neq 0$ .

In Section 2 we will consider problem (ii) for rank 2 vector bundles on  $\mathbf{P}^3$ . In Section 3 we will consider both problems (i) and (ii) for certain smooth surfaces.

*Proof of Theorem 1.* Fix an integral  $D \in |L \otimes R \otimes \omega_X|$  and a general  $x$ -ple  $(P_1, \dots, P_x) \in D^x$ . By the generality of each  $P_i$  we have  $P_i \in D_{reg}$  for all  $i$ . Let  $(c_2 - x + 1)P_x$  denote the effective degree  $(c_2 - x + 1)$  Cartier divisor of  $D$  supported by the smooth point  $P_x$ . Set  $Z := \{P_1, \dots, P_{x-1}\} \cup (c_2 - x + 1)P_x$ . Since  $P_i \in X_{reg}$  for all  $i$ ,  $Z$  is a curvilinear zero-dimensional subscheme of  $D$  and hence of  $X$  and it has length  $c_2$ . Let  $E$  be the general extension of  $\mathcal{I}_Z \otimes L$  by  $\mathcal{O}_X$ , i.e. the general sheaf fitting as the middle term in the following extension of coherent sheaves on  $X$ :

$$0 \rightarrow \mathcal{O}_X \rightarrow E \rightarrow \mathcal{I}_Z \otimes L \rightarrow 0. \quad (1)$$

Since  $\text{length}(Z) = c_2$ ,  $E$  is a rank 2 torsion free sheaf,  $c_1(E) \cong L$  and  $c_2(E) = c_2$ . Furthermore,  $E$  is equipped with a section  $s$  such that  $(s)_0 = Z$ . Since  $\sharp(Z_{red}) = x$ , to prove Theorem 1 it is sufficient to show that  $E$  is locally free, i.e. the so-called Cayley-Bacharach condition is satisfied. The inclusion  $\mathcal{O}_X(-D) \rightarrow \mathcal{O}_X$  induced by  $D$  gives the following exact sequence of sheaves on  $X$ :

$$0 \rightarrow R^* \rightarrow L \otimes \omega_X \rightarrow L \otimes \omega_X|_D \rightarrow 0. \quad (2)$$

Since  $h^0(X, R^*) = 0$  we have an inclusion  $H^0(X, L \otimes \omega_X) \subseteq H^0(D, L \otimes \omega_X|_D)$ . Furthermore  $h^0(D, L \otimes \omega_X|_D) \leq h^0(X, L \otimes \omega_X|_D) + h^1(X, R^*) \leq c_2 - 1$ . By [3] and the generality of the  $x$ -ple  $(P_1, \dots, P_x) \in D^x$ , we have  $h^0(D, \mathcal{I}_{W,D} \otimes (L \otimes \omega_X|_D))$  for every length  $c_2 - 1$  closed subscheme  $W$  of  $Z$ . Thus  $h^0(X, \mathcal{I}_W \otimes L \otimes \omega_X) = 0$  for any length  $c_2 - 1$  closed subscheme  $W$  of  $Z$ . Hence  $Z$  satisfies the Cayley-Bacharach condition with respect to  $L$ . Hence  $E$  is locally free.  $\square$

**2. Rank 2 vector bundles on  $\mathbf{P}^3$**

Here we consider rank 2 vector bundles on  $\mathbf{P}^3$ . We distinguish the case  $c_1$  even and the case  $c_1$  odd. In the former case we may reduce to the case  $c_1 = 0$ . In the latter case we may reduce to the case  $c_1 = -1$ . Recall that  $c_1(E)c_2(E) \equiv 0 \pmod{2}$  for any rank 2 vector bundle  $E$  on  $\mathbf{P}^3$  ([4], Corollary 2.2), and that  $c_1(E)^2 - 4c_2(E) < 0$  for any rank 2 stable vector bundle  $E$  on  $\mathbf{P}^3$  ([4], Lemma 3.2).

**Theorem 2.** *Fix a prime power  $q$ , and integers  $r, y, e$  such that  $r \geq 2$ ,  $0 \leq y \leq r$ ,  $y \neq r - 1$ ,  $0 \leq e \leq r - y$ ,  $e \neq 1$  and  $e \neq r - y - 1$ . Set  $x := (q + 1)y + e$ . Then there exist a rank 2 vector bundle  $E$  on  $\mathbf{P}^3$  defined over  $\mathbb{F}_q$  such that  $c_1(E) = 2$  and  $c_2(E) = r$ , and  $s \in H^0(\mathbf{P}^3, E)$  defined over  $\mathbb{F}_q$  such that:*

- (a) over  $\overline{\mathbb{F}}_q$  the scheme  $(s)_0$  is reduced and it is the disjoint union of  $r$  lines;
- (b)  $\sharp((s)_0 \cap \mathbf{P}^3(\mathbb{F}_q)) = x$ .

**Theorem 3.** *Fix a prime power  $q$ , and integers  $r, y, e_1, e_2$  such that  $r \geq 2$ ,  $0 \leq y \leq r$ ,  $y \neq r - 1$ ,  $e_1 \geq 0$ ,  $e_2 \geq 0$ ,  $e_1 + e_2 \leq r - y$ ,  $e_1 \neq 1$ ,  $e_2 \neq 1$  and  $e_1 + e_2 \neq r - y$ . Set  $x := (q + 1)y + e_1 + 2e_2$ . Then there exist a rank 2 vector bundle  $E$  on  $\mathbf{P}^3$  defined over  $\mathbb{F}_q$  such that  $c_1(E) = 3$  and  $c_2(E) = 2r$ , and  $s \in H^0(\mathbf{P}^3, E)$  defined over  $\mathbb{F}_q$  such that:*

- (a) over  $\overline{\mathbb{F}}_q$  the scheme  $(s)_0$  is reduced and it is the disjoint union of  $r$  lines;
- (b)  $\sharp((s)_0 \cap \mathbf{P}^3(\mathbb{F}_q)) = x$ .

**Remark 1.** Take  $E$  as in the statement of Theorem 2. By [4], p. 236, we have  $c_1(E(-1)) = 0$  and  $c_2(E(-1)) = r - 1$ . Take  $E$  as in the statement of Theorem 3. By [4], p. 236, we have  $c_1(E(-2)) = -1$  and  $c_2(E(-1)) = 2r - 2$ .

*Proof of Theorem 2.* Take as  $Z := (s)_0$  the disjoint union of  $r$  lines. Assume that  $Z$  is defined over  $\mathbb{F}_q$ . A smooth conic  $D \subset \mathbf{P}^3$  is defined over  $\mathbb{F}_q$  if and only if  $\sharp(D \cap \mathbf{P}^3(\mathbb{F}_q)) \geq 3$  and in this case we have  $\sharp(D \cap \mathbf{P}^3(\mathbb{F}_q)) = q + 1$ . If  $D$  is not defined over  $\mathbb{F}_q$ , then either  $\sharp(D \cap \mathbf{P}^3(\mathbb{F}_q)) = 0$  or  $\sharp(D \cap \mathbf{P}^3(\mathbb{F}_q)) = 1$  and both cases are possible. Take  $Z$  such that exactly  $y$  of the lines of  $Z$  are defined over  $\mathbb{F}_q$ . Fix an integer  $f \geq 2$  and let  $U \subset \mathbf{P}^3$  the disjoint union of  $f$  lines. Assume that  $U$  is defined over  $\mathbb{F}_q$ , but that the  $f$  lines  $U_1, \dots, U_f$  of  $U$  are not defined over a smaller field, i.e. that these  $f$  lines are conjugate for the action of the Galois group of the field extension  $[\mathbb{F}_{q^f} : \mathbb{F}_q]$ . Notice that  $\sharp(U_1 \cap \mathbf{P}^3(\mathbb{F}_q)) = 1$  if and only if  $\sharp(U_1 \cap \mathbf{P}^3(\mathbb{F}_q)) = 1$ . Take  $Z$  such that exactly  $e$  of its lines contain a point of  $\mathbf{P}^3(\mathbb{F}_q)$ . Then apply [4], Example 4.3.1. □

*Proof of Theorem 3.* Take as  $Z := (s)_0$  the disjoint union of  $r$  smooth conics. Assume that  $Z$  is defined over  $\mathbb{F}_q$ . A smooth conic  $D \subset \mathbf{P}^3$  is defined over  $\mathbb{F}_q$  if

and only if  $\sharp(D \cap \mathbf{P}^3(\mathbb{F}_q)) \geq 3$  and in this case we have  $\sharp(D \cap \mathbf{P}^3(\mathbb{F}_q)) = q + 1$ . If  $D$  is not defined over  $\mathbb{F}_q$ , then either  $\sharp(D \cap \mathbf{P}^3(\mathbb{F}_q)) = 0$  or  $\sharp(D \cap \mathbf{P}^3(\mathbb{F}_q)) = 1$  or  $\sharp(D \cap \mathbf{P}^3(\mathbb{F}_q)) = 2$  and all these cases are possible. Take  $Z$  such that exactly  $y$  of the lines of  $Z$  are defined over  $\mathbb{F}_q$ . Fix an integer  $f \geq 2$  and let  $U \subset \mathbf{P}^3$  the disjoint union of  $f$  smooth conics  $U_1, \dots, U_f$  of  $U$  are not defined over a smaller field, i.e. that these  $f$  smooth conics are conjugate for the action of the Galois group of the field extension  $[\mathbb{F}_{q^f} : \mathbb{F}_q]$ . Notice that  $\sharp(U_i \cap \mathbf{P}^3(\mathbb{F}_q)) = \sharp(U_j \cap \mathbf{P}^3(\mathbb{F}_q))$  for all  $i, j$ . Assume that exactly  $e_i$  conics of  $Z$  contain  $i$  points of  $\mathbf{P}^3(\mathbb{F}_q)$ . Then apply [4], Example 4.3.2.  $\square$

### 3. Rank 2 Vector Bundles on surfaces over $\mathbb{F}_q$

We will first consider the case  $X = \mathbf{P}^2$ .

**Theorem 4.** *Fix a prime power  $q$  and integers  $c_2 \geq c_1 + 3 \geq 4$ ,  $c_2 \geq x > 0$  such that  $q \geq x$ . Then there exist a rank 2 vector bundle  $E$  on  $\mathbf{P}^2$  defined over  $\mathbb{F}_q$  such that  $c_1(E) = c_1$  and  $c_2(E) = c_2$  and  $s \in H^0(\mathbf{P}^2, E)$  defined over  $\mathbb{F}_q$  such that  $\sharp(((s)_0)_{red}) = x$ .*

*Proof.* Fix a line  $D \subset \mathbf{P}^2$  defined over  $\mathbb{F}_q$  and  $x$  distinct points  $P_1, \dots, P_x \in D(\mathbb{F}_q)$ . This is possible because  $q \geq x$ . Let  $W$  denote the zero-dimensional closed subscheme of  $D$  with length  $(c_2 - x + 1)$  such that  $W_{red} = \{P_x\}$ . Set  $Z := W \cup \{P_1, \dots, P_{x-1}\}$ . Thus  $Z$  is a length  $c_2$  curvilinear scheme defined over  $\mathbb{F}_q$ . We will take as  $E$  a sheaf fitting in an exact sequence

$$0 \rightarrow \mathcal{O}_{\mathbf{P}^2} \rightarrow E \rightarrow \mathcal{I}_Z(c_1) \rightarrow 0. \quad (3)$$

Since  $c_2 > c_1 - 2$ , we have  $h^0(\mathbf{P}^2, \mathcal{I}_{Z'}(c_1 - 3)) = h^0(\mathbf{P}^2, \mathcal{O}_{\mathbf{P}^2}(c_1 - 4)) = h^0(\mathbf{P}^2, \mathcal{I}_Z(c_1 - 3))$  for every length  $(c_2 - 1)$  subscheme  $Z'$  of  $Z$ . Hence the pair  $(Z, c_1)$  satisfies the Cayley-Bacharach condition. Hence a general  $E$  fitting in (3) is locally free ([2]). Call  $\Gamma$  the  $\overline{\mathbb{F}}_q$ -vector space of all extensions (3) defined over  $\overline{\mathbb{F}}_q$  and  $\Gamma_q$  the  $\mathbb{F}_q$ -vector space of all extensions (3) defined over  $\mathbb{F}_q$ . There are  $x$  length  $(c_2 - 1)$  subschemes  $Z'$  of  $Z$  and each of them is defined over  $\mathbb{F}_q$ . For each such  $Z'$  there is a codimension one linear subspace  $B_{Z'}$  of  $\Gamma_q$  corresponding to the extensions (3) for which the Cayley-Bacharach condition is not satisfied by  $Z'$ . Since  $q \geq x$  and any two linear subspaces of a vector space have  $\{0\}$  in their common intersection, there is an extension  $\epsilon \in \Gamma_q \setminus (\bigcup_{Z'} B_{Z'})$ . The extension  $\epsilon$  gives the locally free  $E$  defined over  $\mathbb{F}_q$  we were looking for.  $\square$

**Theorem 5.** *Fix a prime power  $q$ . Let  $X$  be a smooth and connected projective surface defined over  $\mathbb{F}_q$ ,  $L, R \in \text{Pic}(X)(\mathbb{F}_q)$  and integers  $c_2, x$  such*

that  $0 < x \leq c_2$  and  $q \geq x$ . Assume  $h^0(X, R^*) = 0$ ,  $c_2 \geq 2 + L^2 + L \cdot R + L \cdot \omega_X$ , and the existence of an integral  $D \in |L \otimes R \otimes \omega_X|$  defined over  $\mathbb{F}_q$  and with  $D_{reg}(\mathbb{F}_q) \neq \emptyset$ . Set  $\alpha := \sharp(D(\mathbb{F}_q))$  and assume  $x \leq \alpha$ . Then there exists a rank 2 vector bundle  $E$  on  $X$  such that  $c_1(E) = L$ ,  $c_2(E) = c_2$ , and  $s \in H^0(X, E)$ ,  $s \neq 0$ , such that the zero locus  $(s)_0$  of  $s$  is finite and  $\sharp(((s)_0)_{red}) = x$ .

*Proof.* Fix  $P_x \in D_{reg}(\mathbb{F}_q)$  and  $x - 1$  distinct points of  $D(\mathbb{F}_q) \setminus \{P_x\}$ . Let  $(c_2 - x - 1)P_x$  the effective degree  $(c_2 - x + 1)$  Cartier divisor of  $D$  supported by  $P_x$ . Set  $Z := \{P_1, \dots, P_{x-1}\} \cup (c_2 - x - 1)P_x$ .  $Z$  is a length  $c_2$  zero-dimensional scheme of  $X$ . Since  $P_x \in D_{reg}$ ,  $Z$  is curvilinear. Since  $c_2 - 1 > \deg(L \otimes \omega_X|D)$ , every  $f \in H^0(D, (L \otimes \omega_X)|D)$  vanishing of a length  $c_2 - 1$  closed subscheme of  $Z$  vanishes identically on  $D$ . Hence we may repeat the proof of Theorem 1 omitting the quotation of [3] and with the following added observation. Call  $\Gamma$  the  $\bar{\mathbb{F}}_q$ -vector space of all extensions (1) defined over  $\bar{\mathbb{F}}_q$  and  $\Gamma_q$  the  $\mathbb{F}_q$ -vector space of all extensions (1) defined over  $\mathbb{F}_q$ . There are  $x$  length  $(c_2 - 1)$  subschemes  $Z'$  of  $Z$  and each of them is defined over  $\mathbb{F}_q$ . For each such  $Z'$  there is a codimension one linear subspace  $B_{Z'}$  of  $\Gamma_q$  corresponding to the extensions (1) for which the Cayley-Bacharach condition is not satisfied by  $Z'$ . Since  $q \geq x$  and any two linear subspaces of a vector space have  $\{0\}$  in their common intersection, there is an extension  $\epsilon \in \Gamma_q \setminus (\bigcup_{Z'} B_{Z'})$ . The extension  $\epsilon$  gives the locally free  $E$  defined over  $\mathbb{F}_q$  we were looking for.  $\square$

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