

## CLASSIFICATION OF HOPF ALGEBRAS OF DIMENSION $p^2$

Tanja Stojadinović

Department of Algebra and Mathematical Logic  
Faculty of Mathematics  
University of Belgrade  
16, Studentski Trg., Belgrade, 11000, SERBIA  
e-mail: tanjas@matf.bg.ac.yu

**Abstract:** In this paper we give a simpler, more direct proof of the result that a non-semisimple Hopf algebra of dimension  $p^2$  is isomorphic to a Taft algebra.

**AMS Subject Classification:** 16W30

**Key Words:** Hopf algebra, non-semisimple

### 1. Introduction

In recent years, much effort has been focussed on classifying finite-dimensional Hopf algebras over an algebraically closed field  $k$  of characteristic 0. While the classification of finite-dimensional Hopf algebras of a given dimension seems to be a very difficult task, some subclasses, like semisimple or pointed Hopf algebras, have been classified for certain dimensions.

In 1994, Zhu showed that Hopf algebras of prime dimension  $p$  are isomorphic to the group algebra  $k\mathbb{Z}_p$  [9].

For dimension  $p^2$  it was proved in [3] that all semisimple Hopf algebras are group algebras, namely  $k\mathbb{Z}_{p^2}$  or  $k[\mathbb{Z}_p \times \mathbb{Z}_p]$ .

The only known example of non-semisimple Hopf algebras of dimension  $p^2$ , during the last thirty-five years was Taft algebras. However, there were some partial answers to the question whether Taft algebras are the only non-semisimple Hopf algebras of dimension  $p^2$ . Andruskiewitsch and Chin proved that non-semisimple pointed Hopf algebras of dimension  $p^2$  are indeed Taft

algebras [7]. Another proof of this result is given in [1], where authors also proved that a non-semisimple Hopf algebra of dimension  $p^2$ , with the antipode of order  $2p$ , must be pointed. Finally, in 2002, Ng showed that the order of the antipode in a non-semisimple Hopf algebra of dimension  $p^2$  must be  $2p$ .

Hence, the classification of Hopf algebras of dimension  $p^2$  is completed, and we have  $p + 1$  non-isomorphic Hopf algebras of dimension  $p^2$  over  $k$ :

- (a)  $k\mathbb{Z}_{p^2}$
- (b)  $k[\mathbb{Z}_p \times \mathbb{Z}_p]$
- (c)  $T(\xi)$ ,  $\xi \in k$  a primitive  $p$ th root of unity.

In this paper first we give basic notation and preliminaries and then we prove more directly that a non-semisimple Hopf algebra of dimension  $p^2$  is isomorphic to a Taft algebra.

## 2. Notation and Preliminaries

Throughout this paper we work over an algebraically closed field  $k$  of characteristic 0. Let  $H$  be a finite-dimensional Hopf algebra over  $k$  with antipode  $S$ . Its comultiplication and counit are, respectively, denoted by  $\Delta$  and  $\epsilon$ . A non-zero element  $g \in H$  is called group-like if  $\Delta(g) = g \otimes g$ . The set of all group-like elements  $G(H)$  of  $H$  is a linearly independent set and it forms a group under the multiplication of  $H$ . The divisibility of  $\dim H$  by  $|G(H)|$  is an immediate consequence of the following generalization of Lagrange Theorem, due to Nichols and Zoeller [6].

**Theorem 1.** *If  $B$  is a Hopf subalgebra of  $H$ , then  $H$  is a free  $B$ -module. In particular,  $\dim B$  divides  $\dim H$ .*

The semisimplicity of a finite-dimensional Hopf algebra can be characterized by the antipode [2].

**Theorem 2.** *Let  $H$  be a finite-dimensional Hopf algebra with antipode  $S$  over a field of characteristic 0. Then the following statements are equivalent:*

- (i)  $H$  is semisimple.
- (ii)  $H^*$  is semisimple.
- (iii)  $Tr(S^2) \neq 0$ .
- (iv)  $S^2 = id_H$ .

A basic result in classification of finite-dimensional Hopf algebras is Zhu

Theorem.

**Theorem 3.** *A Hopf algebra of dimension  $p$  is necessarily isomorphic to the group algebra  $k\mathbb{Z}_p$ , of cyclic group of order  $p$ .*

Let  $g \in H$  and  $\alpha \in H^*$  be the modular (or distinguished) group-like elements. Then we have the following formula for  $S^4$  in terms of  $\alpha$  and  $g$ , due to Radford:

$$S^4(h) = g(\alpha \rightharpoonup h \leftarrow \alpha^{-1})g^{-1}, \quad h \in H,$$

where  $\rightharpoonup$  and  $\leftarrow$  denote the natural actions of the Hopf algebra  $H^*$  on  $H$  described by

$$\beta \rightharpoonup h = \sum h_{(1)}\beta(h_{(2)}) \quad \text{and} \quad h \leftarrow \beta = \sum \beta(h_{(1)})h_{(2)},$$

for  $\beta \in H^*$  and  $h \in H$ . We know that Hopf algebra  $H$  (respectively  $H^*$ ) is unimodular iff  $\alpha$  (respectively  $g$ ) is trivial. If both  $H$  and  $H^*$  are unimodular, then  $S^4 = id_H$ .

The following lemma is one step in the proof of Zhu Theorem.

**Lemma 4.** *Let  $H$  be a finite-dimensional non-semisimple Hopf algebra with antipode  $S$  of odd dimension over  $k$ . Then  $H$  and  $H^*$  cannot be both unimodular and  $S^4 \neq id$ .*

*Proof.* Suppose that both  $H$  and  $H^*$  are unimodular. Then  $S^4 = id_H$ . Thus  $H = H_+ \oplus H_-$ , where  $H_{\pm}$  is the eigenspace of  $S^2$  of eigenvalue  $\pm 1$ . Hence  $\dim H = \dim H_+ + \dim H_-$ . By 2,  $Tr(S^2) = 0$ , and hence  $\dim H_+ = \dim H_-$ . Therefore  $\dim H$  is even, a contradiction!  $\square$

We will need the version of the Taft-Wilson Theorem proved in [4]. If  $H$  is a Hopf algebra, then  $G(H)$  will denote the group of grouplike elements of  $H$ , and  $H_0, H_1, \dots$  will denote the coradical filtration of  $H$ .  $H$  is called pointed if  $H_0 = kG(H)$ , where  $H_0$  is the coradical. If  $g, h \in G(H)$ , then  $P_{g,h} = \{x \in H : \Delta(x) = x \otimes g + h \otimes x\}$  is the set of  $(g, h)$ -primitive elements. If  $H$  is finite dimensional, there are no nonzero  $(1, 1)$ -primitive elements.

Since  $g - h \in P_{g,h}$  we can choose a subspace  $P'_{g,h}$  of  $P_{g,h}$  such that  $P_{g,h} = k(g - h) \oplus P'_{g,h}$ .

**Theorem 5.** *Let  $H$  be a pointed Hopf algebra. Then:*

$$(1) \quad H_1 = H_0 \oplus \left( \bigoplus_{g,h \in G(H)} P'_{g,h} \right).$$

(2) *For any  $n \geq 1$  and  $c \in H_n$ , there exist  $(c_{g,h})_{g,h \in G(H)}$  in  $H$  and  $w \in H_{n-1} \otimes H_{n-1}$  such that  $c = \sum_{g,h \in G(H)} c_{g,h}$  and  $\Delta(c_{g,h}) = c_{g,h} \otimes g + h \otimes c_{g,h} + w$ .*

Since  $P_{1,1} = 0$ , the first part of the theorem shows that  $G(H)$  is not trivial if  $H$  is pointed, nontrivial, finite dimensional Hopf algebra.

In order to compute comultiplication on products and powers of  $(g, h)$ -primitives, we will require Quantum Binomial Formula.

**Lemma 6.** *If  $x$  and  $y$  are elements of a  $k$ -algebra that  $q$ -commute, i.e.  $xy = qyx$ ,  $q \in k$ , then the following formula holds for every  $n \in \mathbb{N}$ :*

$$(x + y)^n = \sum_{i=0}^n \binom{n}{i}_q y^i x^{n-i}.$$

Here  $\binom{n}{i}_q = \frac{(n)!_q}{(i)!_q (n-i)!_q}$ , where  $(n)!_q = (n)_q \dots (2)_q (1)_q$ , and  $(n)_q = 1 + q + \dots + q^{n-1}$ . By definition,  $(0)!_q = 1$ .

**Corollary 7.** *If  $q$  is a primitive  $n$ -th root of unity, then  $(x + y)^n = x^n + y^n$ .*

We remind definition and basic facts about Taft algebras.

**Definition 8.** Let  $\omega \in k$  be a primitive  $n$ -th root of unity. The Taft algebra  $T(\xi)$  is generated by elements  $g$  and  $x$ , as a  $k$ -algebra, subject to the relations

$$g^n = 1, \quad x^n = 0, \quad gx = \xi xg.$$

The Hopf algebra structure is given by

$$\begin{aligned} \Delta(g) &= g \otimes g, & S(g) &= g^{-1}, & \epsilon(g) &= 1, \\ \Delta(x) &= 1 \otimes x + x \otimes g, & S(x) &= -xg^{-1}, & \epsilon(x) &= 0. \end{aligned}$$

The Hopf algebra  $T(\xi)$  is not semisimple and  $\dim T(\xi) = n^2$  (the set  $\{x^i g^j \mid 0 \leq i, j \leq n-1\}$  forms a basis for  $T(\xi)$ ). It is also known that  $T(\xi) \cong T(\xi)^*$  as Hopf algebras, and that  $T(\xi) \cong T(\xi')$  only if  $\xi = \xi'$ .

### 3. Main Theorems

In [1] Andruskiewitsch and Schneider proved the next theorem. Now we give simpler, more direct proof.

**Theorem 9.** *If  $H$  is a pointed non-semisimple Hopf algebra of dimension  $p^2$ , then  $H$  is a Taft algebra.*

*Proof.* Let  $H_0$  denotes the coradical of  $H$ , a Hopf subalgebra isomorphic to the group algebra. By the Nichols-Zoeller Theorem we have  $\dim H_0 \in$

$\{1, p, p^2\}$ . But we have seen that the coradical of  $H$  cannot be of dimension 1. Also,  $\dim H_0 \neq p^2$ , because  $H$  is not semisimple. So,  $\dim H_0 = p$ , and coradical of  $H$  is isomorphic to  $k\mathbb{Z}_p$  by Zhu's Theorem.

Further, the Taft-Wilson Theorem ensures the existence of some  $g \in G(H)$  such that  $P_{1,g} \neq k(1-g)$ . If  $\phi : P_{1,g} \rightarrow P_{1,g}$  is the map defined by  $\phi(a) = g^{-1}ag$  for every  $a \in H$ , then  $\phi^p = id$ , so  $P_{1,g}$  has a basis of eigenvectors for  $\phi$ . Let  $x$  be such an eigenvector, which is not in  $k(1-g)$ , and let  $\lambda$  be the corresponding eigenvalue. If  $\lambda = 1$ , then the Hopf subalgebra  $A$  generated by  $g$  and  $x$  is commutative, and hence involutory, i.e.  $S^2 = id$ . Now, by 2, this is equivalent with semisimplicity of  $H$ , but Hopf algebra over an algebraically closed field of characteristic zero is semisimple if and only if it is cosemisimple [2]. Thus  $A$  is cosemisimple of dimension bigger than  $p$ , and this is in contradiction with fact that coradical of  $H$  is  $k\mathbb{Z}_p$ . Therefore,  $\lambda \neq 1$ .

Now from  $\Delta(x) = 1 \otimes x + x \otimes g$ , using the quantum binomial formula, we obtain that  $\Delta(x^p) = 1 \otimes x^p + x^p \otimes g$ . Thus,  $x^p \in P_{1,1}$ , so  $x^p = 0$ . Together with  $\Delta(g) = g \otimes g$ ,  $g^p = 1$  and  $xg = \lambda gx$ , this shows that  $A$  is a Taft algebra. Since,  $\dim A = p^2 = \dim H$ , it follows that  $H$  is a Taft algebra.  $\square$

We need the next two results to prove that every non-semisimple Hopf algebra of dimension  $p^2$  is isomorphic to a Taft algebra. First of them is Proposition 5.1 from [1].

**Proposition 10.** *Let  $H$  be a finite-dimensional Hopf algebra whose antipode  $S$  has order  $2p$ . Assume also that  $H$  contains a cosemisimple Hopf subalgebra  $B$  such that  $\dim H = p \dim B$ . Then  $B$  is the coradical of  $H$ .*

The second is the main result of [5].

**Theorem 11.** *If  $H$  is a non-semisimple Hopf algebra of dimension  $p^2$ , with antipode  $S$ , then the order of  $S^2$  is  $p$ .*

Now we have the main classification result.

**Theorem 12.** *Let  $H$  be a non-semisimple Hopf algebra of dimension  $p^2$ , where  $p$  is any prime number. Then  $H$  is isomorphic to a Taft algebra.*

*Proof.* Case  $p = 2$ . Let  $C \subset H$  be simple subcoalgebra. As  $k$  is algebraically closed, any simple coalgebra  $C$  over  $k$  is isomorphic to the dual of the matrix algebra  $M_n(k)$ , for some  $n$ . Therefore,  $\dim C$  is a square. In our case ( $\dim H = 4$ ), that implies that  $\dim C = 1$ , because  $H$  is not cosimple. Hence, every simple subcoalgebra of  $H$  is of dimension 1, and, by definition,  $H$  is pointed. Now we can apply Theorem 9. So, if  $H$  is a non-semisimple Hopf

algebra of dimension 4, then  $H$  is isomorphic to the Taft algebra  $T(-1)$ .

*Case  $p > 2$ .* By Lemma 4,  $H$  or  $H^*$  have a nontrivial group-like element; say  $H$ . This element  $g$  is of order  $p$ , because  $H$  is not isomorphic to  $k\mathbb{Z}_{p^2}$ . Also, by Theorem 11, we know that the order of the antipode of  $H$  is  $p$ . Then Theorem 10 applies, with  $B = k[g]$ . Therefore, coradical of  $H$  is group algebra, and  $H$  is pointed. By Theorem 9,  $H$  is isomorphic to a Taft algebra. Because Taft algebras are self-dual, the assertion also follows in the case when  $H^*$  has a nontrivial group-like element.  $\square$

### Acknowledgements

This research is supported by the Project number 144020 from Serbian Ministry of Science.

### References

- [1] N. Andruskiewitsch, H.-J. Schneider, Hopf algebras of order  $p^2$  and braided Hopf algebras of order  $p$ , *J. Algebra*, **199**, No. 2 (1998), 430-454.
- [2] R.G. Larson, D.E. Radford, Finite-dimensional cosemisimple Hopf algebras in characteristic 0 are semisimple, *J. Algebra*, **117**, No. 2 (1988), 267-289.
- [3] A. Masuoka, The  $p^n$  theorem for semisimple Hopf algebras, *Proc. Amer. Math. Soc.*, **124**, No. 3 (1996), 735-737.
- [4] S. Montgomery, Hopf algebras and their actions on rings, In: *CBMS Regional Conference Series in Mathematics*, **82**, Washington DC (1993).
- [5] S.-H. Ng, Non-semisimple Hopf algebras of dimension  $p^2$ , *J. Algebra*, **255**, No. 1, (2002), 182-197.
- [6] W.D. Nichols, M.B. Zoeller, A Hopf algebra freeness theorem, *Amer. J. Math.*, **111**, No. 2 (1989), 381-385.
- [7] D. Stefan, Hopf subalgebras of pointed Hopf algebras and applications, *Proc. Amer. Math. Soc.*, **125**, No. 11 (1997), 3191-3193.
- [8] M.E. Sweedler, *Hopf Algebras*, W.A. Benjamin, Inc., New York (1969).
- [9] Y. Zhu, Hopf algebras of prime dimension, *Internat. Math. Res. Notices*, **1**, (1994), 53-59.