

EMBEDDINGS OF STABLE CURVES IN  
 $\mathbb{P}^n$ ,  $n \geq 4$ , WITH GOOD POSTULATION

E. Ballico

Department of Mathematics  
University of Trento  
380 50 Povo (Trento) - Via Sommarive, 14, ITALY  
e-mail: ballico@science.unitn.it

**Abstract:** Let  $X$  be a stable curve of genus  $g$ . Here we prove the existence of high degree embeddings of  $X$  in  $\mathbb{P}^n$  with good postulation (maximal rank). We may also fix the degrees of all components, provided that all these degrees are sufficiently large.

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### 1. Introduction

Let  $X$  be a connected and projective curve with only nodes as singularities. Set  $g := p_a(X)$ . We will always assume  $g \geq 2$ . For the case  $g = 1$  see Remark 7. Let  $S(X)$  be the set of all smooth and rational irreducible components of  $X$  intersecting at most two other irreducible components of  $X$ . Set  $s(X) := \#(S(X))$ .

Let  $T \subset \mathbb{P}^n$  be any scheme. For every integer  $t \geq 0$  let  $\rho_{T,t} : H^0(\mathbb{P}^n, \mathcal{O}_{\mathbb{P}^n}(t)) \rightarrow H^0(T, \mathcal{O}_T(t))$  denote the restriction map. The scheme  $T$  has *maximal rank* if for every integer  $t \geq 0$  the linear map  $\rho_{T,t}$  has maximal rank, i.e. it is injective or surjective. Now assume  $\dim(T) \leq 1$  and that  $h^1(T, \mathcal{O}_T(x)) = 0$  for every  $x \geq 1$ . Let  $d$  be the degree of the one-dimensional part of  $T$ . Obviously,  $\rho_{T,0}$  has maximal rank. Fix an integer  $t \geq 1$ . Since  $h^0(T, \mathcal{O}_T(t)) = td + \chi(\mathcal{O}_T)$ ,  $\rho_{T,t}$  has

maximal rank if and only if either  $h^1(\mathbb{P}^n, I_T(t)) = 0$  (case  $td + \chi(\mathcal{O}_T) \leq \binom{n+t}{n}$ ) or  $h^0(\mathbb{P}^n, I_T(t)) = 0$  (case  $td + \chi(\mathcal{O}_T) \geq \binom{n+t}{n}$ ). For any reduced projective curve  $A$  let  $\mathcal{B}(A)$  denote the set of the irreducible components of  $A$ . Here we prove the following results.

**Theorem 1.** *Fix integers  $n \geq 4$ ,  $g \geq 2$  and  $s \geq 0$ . There is an integer  $\alpha(n, g, s) > 0$  with the following property. Let  $X$  be a nodal and connected projective curve such that  $p_a(X) = g$  and  $s(X) = s$ . Fix integers  $d_T$ ,  $T \in \mathcal{B}(X)$ , with the following properties:*

- (i)  $d_T > 0$  if  $T \in S(X)$ ;
- (ii)  $d_T \geq 2p_a(T) + 2 + \sharp(T \cap \overline{X \setminus T})$  for all  $T \in \mathcal{B}(X) \setminus S(X)$ ;
- (iii)  $\sum_{T \in \mathcal{B}(X)} d_T \geq \alpha(n, g, s)$ .

*Then there exists an embedding  $j : X \hookrightarrow \mathbb{P}^n$  such that  $\deg(j(T)) = d_T$  for all  $T \in \mathcal{B}(X)$  and  $j(X)$  has maximal rank.*

If  $X$  is a stable curve, then  $s(X) = 0$ . Hence as an immediate corollary of Theorem 1 we get the following result.

**Corollary 1.** *Fix integers  $n \geq 4$ , and  $g \geq 2$ . There is an integer  $\alpha(n, g) > 0$  with the following property. Let  $X$  be a stable curve of genus  $g$ . Fix integers  $d_T$ ,  $T \in \mathcal{B}(X)$  such that  $d_T \geq 2p_a(T) + 2 + \sharp(T \cap \overline{X \setminus T})$  for all  $T$  and  $\sum_{T \in \mathcal{B}(X)} d_T \geq \alpha(n, g)$ . Then there exists an embedding  $j : X \hookrightarrow \mathbb{P}^n$  such that  $\deg(j(T)) = d_T$  for all  $T \in \mathcal{B}(X)$  and  $j(X)$  has maximal rank.*

Notice that we allow  $d_T = 1$  in Theorem 1 only if  $T \cong \mathbb{P}^1$  and  $\sharp(T \cap \overline{X \setminus T}) \leq 2$ .

If  $X$  is quasi-stable, the case  $d_T = 1$  for all  $T \in S(X)$  of Theorem 1 has an important geometric interpretation in terms of relative Picard scheme on  $\overline{\mathcal{M}}_g$  (see [3]).

We will use the so-called Horace method introduced by A. Hirschowitz.

## 2. The Proofs

For all integers  $q, t, n$ , such that  $n \geq 3$  and  $t \geq 1$  define the integers  $a(n, q, t)$  and  $b(n, q, t)$ , by the following relation:

$$t \cdot a(n, q, t) + 1 - q + b(n, q, t) = \binom{n+t}{n}, \quad 0 \leq b(n, q, t) \leq t - 1. \quad (1)$$

Obviously,  $a(n, q, t) \geq a(n, 0, t)$  for all  $q \geq 0$ . If  $t \geq q \geq 0$ , then either  $b(n, 0, t) \leq n - 1 - q$ ,  $a(n, q, t) = a(n, 0, t)$  or  $b(n, q, t) \geq n - g$ ,  $a(n, q, t) = a(n, 0, t) + 1$  and  $b(n, q, t) = b(n, 0, t) + g - n$ . For every integer  $d \geq |q| + n$

let  $c_{n,q,d}$  be the minimal integer  $t$  such that  $d \leq a(n, q, t)$ . The integer  $c_{n,q,d}$  is called the *critical value* of the triple  $(n, q, d)$ .

**Remark 1.** Let  $C \subset \mathbb{P}^n$  be a reduced and connected curve such that  $p_a(C) = g$ ,  $\deg(C) = d$  and  $h^1(C, \mathcal{O}_C(1)) = 0$ . Hence  $h^1(C, \mathcal{O}_C(t)) = 0$  for every  $t \geq 1$ . The curve  $C$  has maximal rank if and only if  $h^1(\mathbb{P}^n, \mathcal{I}_C(c_{n,q,d}-1)) = 0$  and  $h^0(\mathbb{P}^n, \mathcal{I}_C(c_{n,q,d})) = 0$ .

**Remark 2.** Let  $M$  be a projective scheme and  $D$  an effective Cartier divisor of  $M$ . For any closed subscheme  $Y \subseteq M$  let  $\text{Res}_D(Y)$  denote the residual scheme of  $Y$  with respect to  $D$ , i.e. the closed subscheme of  $M$  with  $\mathcal{I}_{D,M} : \mathcal{I}_{Y,M}$  as its ideal sheaf. For any  $L \in \text{Pic}(M)$  we have an exact sequence

$$0 \rightarrow \mathcal{I}_{\text{Res}_D(Y),M} \otimes L(-D) \rightarrow \mathcal{I}_{Y,M} \otimes L \rightarrow \mathcal{I}_{Y \cap D,D} \otimes (L|_D) \rightarrow 0. \quad (2)$$

From (2) we get

$$h^i(M, \mathcal{I}_{Y,M} \otimes L) \leq h^i(M, \mathcal{I}_{\text{Res}_D(Y),M} \otimes L(-D)) + h^i(D, \mathcal{I}_{Y \cap D,D} \otimes (L|_D)) \quad (3)$$

for all integers  $i \geq 0$ .

**Remark 3.** Fix  $Q \in \mathbb{P}^n$ ,  $n \geq 3$ , and any 3-dimensional linear subspace  $M$  of  $\mathbb{P}^n$  such that  $P \in M$ . Let  $\chi_M(Q)$  denote the first infinitesimal neighborhood of  $Q$  in  $M$ , i.e. the closed subscheme of  $M$  with  $\mathcal{I}_{Q,M}^2$  as its ideal sheaf. We have  $\text{length}(\chi_M(Q)) = 4$ . Now assume  $n \geq 4$  and take any hyperplane  $H \subset \mathbb{P}^n$  containing  $Q$ , but not containing  $M$ . Then  $\text{Res}_H(\chi_M(Q)) = \{Q\}$ .

**Remark 4.** Let  $C \subset \mathbb{P}^m$ ,  $m \geq 3$ , be a nodal integral curve. We do not assume that  $C$  is non-degenerate. Assume  $h^1(C, \mathcal{O}_C(1)) = 0$ . Fix positive integers  $k, d_i, 1 \leq i \leq k$ , and  $k$  distinct points of  $C_{reg}$ . Set  $L := \mathcal{O}_C(1)(d_1 P_1 + \dots + d_k P_k)$ . Notice that  $h^1(C, L) = 0$ ,  $\deg(L) = \deg(C) + d_1 + \dots + d_k$  and  $h^0(C, L) = h^0(C, \mathcal{O}_C(1)) + d_1 + \dots + d_k$ .

**Remark 5.** Let  $Y \subset \mathbb{P}^m$ ,  $m \geq 2$ , be a connected nodal curve such that  $p_a(Y) = 0$ . It is classical (see [7]) that  $Y$  is a limit in the Hilbert scheme of  $\mathbb{P}^m$  of a flat family of smooth rational curves with the same degrees.

**Lemma 1.** Fix an integral nodal curve  $C \subset \mathbb{P}^m$ ,  $m \geq 3$ , such that  $C$  spans  $\mathbb{P}^m$ , and  $h^1(C, \mathcal{O}_C(1)) = 0$ . Fix  $P \in C_{reg}$ , and an integer  $d > 0$ . Let  $D \subset \mathbb{P}^m$  be a general smooth rational curve such that  $P \in D$  and  $\deg(D) = d$ . The curve  $C \cup D$  is connected, nodal, and  $p_a(C \cup D) = p_a(C)$ . There are an integral curve  $E$ ,  $o \in E$ , and a flat family  $\{Y_t\}_{t \in E}$  of curves in  $\mathbb{P}^m$  such that  $Y_o = C \cup D$ , while  $Y_t \cong C$  for all  $t \in E \setminus \{o\}$ .

*Proof.* First assume that  $C$  is linearly normal, i.e. assume  $h^0(C, \mathcal{O}_C(1)) = m + 1$ . The case  $m = 3$  and  $C$  smooth was proved by A. Tannenbaum (see [7], Theorem 1.1). The same proof (working in a product  $C \times \mathbb{P}^1$ ) works if  $m > 3$  and  $C$  is singular, because  $P \in C_{reg}$ . Now assume  $x := h^0(C, \mathcal{O}_C(1)) - 1 \geq m + 1$ . Consider the linearly normal embedding  $\phi : C \hookrightarrow \mathbb{P}^x$ . Notice that there is a smooth rational degree  $d$  curve  $D' \subset \mathbb{P}^x$  such that  $D' \cap \phi(C) = \{\phi(P)\}$ ,  $\phi(C) \cup D'$  is nodal and  $C \cup D$  is a linear projection of  $\phi(C) \cup D'$ . Apply the linearly normal case to  $\phi(C) \cup D'$  and then apply the given linear projection to all nearby fibers of the flat deformation of  $\phi(C) \cup D'$ .  $\square$

**Lemma 2.** *Fix integers  $m \geq 3$ ,  $x \geq 0$  and  $c > 0$ . There exists an integer  $k(m, x, c)$  with the following property. Fix any  $S \subset \mathbb{P}^m$  such that  $\sharp(S) = x$ , any integer  $k \geq k(m, x, c)$  and integers  $d_1, \dots, d_c$  such that  $x + c + d_1 + \dots + d_c \geq \binom{m+k-1}{m}$ . Let  $Y \subset \mathbb{P}^m$  be a general union of  $S$  and  $c$  smooth rational curves of degrees  $d_1, \dots, d_s$ . Then  $Y$  has maximal rank.*

*Proof.* The case  $x = 0$  is a very weak form of [1] (case  $m = 3$ ) or [2], case  $m \geq 4$ . The general case may be proved in a similar way either inserting one point of  $S$  at each inductive step (from critical value  $t - 2$  to critical value  $t$  when  $m = 3$  with the new point of  $S$  in a smooth quadric surface, from critical value  $t - 1$  to critical value  $t$  with the new point of  $S$  is a hyperplane) or taking a general  $S' \subset \mathbb{P}^m$  such that  $\sharp(S') = \binom{m+s}{m} - x$  and  $h^i(\mathbb{P}^m, \mathcal{I}_{S \cup S'}(x)) = 0$ ,  $i = 0, 1$ , and then copying the proof of Theorem 1 below with  $S$  instead of  $W$  and adding only rational curves disjoint from  $S$ .  $\square$

**Lemma 3.** *Fix an integer  $m \geq 3$ , an integral non-degenerate curve  $C \subset \mathbb{P}^m$  and a finite set  $S \subset C_{reg}$ . Assume  $h^1(C, \mathcal{O}_C(1)(-S)) = 0$  and  $h^0(C, \mathcal{O}_C(1)(-S)) \geq m + 1$ . Fix a flat family  $\{S_t\}_{t \in E}$  of subsets of  $\mathbb{P}^m$  such that  $E$  is integral and  $S_o = S$  for some  $o \in E$ . Then there exist a quasi-finite covering  $u : E' \rightarrow E$  such that  $o \in u(E')$ , and an open neighborhood  $U$  of  $u^{-1}(o)$ , a flat family  $\{C_t\}_{t \in U}$  of curves in  $\mathbb{P}^m$  and a flat family  $\{f_t\}_{t \in U}$  of isomorphism  $f_t : C \rightarrow C_t$  such that  $C_{o'} = C$  and  $f_{o'} = \text{Id}_C$  for all  $o' \in u^{-1}(o)$ , and  $S_t = f_t(S)$  for all  $t \in U$ .*

*Proof.* Set  $x := \sharp(S)$ . Since  $h^1(C, \mathcal{O}_C(1)(-S)) = 0$ , we have  $h^1(C, \mathcal{O}_C(1)) = 0$  and  $h^0(C, \mathcal{O}_C(1)) = h^0(C, \mathcal{O}_C(1)(-S)) + x$ . Since  $\mathcal{O}_C(1)$  is very ample, its complete linear system induces an embedding  $\psi : C \hookrightarrow \mathbb{P}^r$ ,  $r := h^0(C, \mathcal{O}_C(1)) - 1$ . Let  $M$  be the  $(m - r - 1)$ -dimensional linear subspace of  $\mathbb{P}^r$  such that the linear projection of  $\psi(C)$  from  $M$  induces the given embedding  $C \hookrightarrow \mathbb{P}^m$ . By assumption  $M \cap \psi(M) = \emptyset$ . Since  $h^0(C, \mathcal{O}_C(1)) = h^0(C, \mathcal{O}_C(1)(-S)) + x$ , the set

$\psi(S)$  is a set of  $\sharp(S)$  points in linearly general projection and  $\sharp(S) \leq \dim(M) - 1$ . If  $x = 1$ , then we take  $E' = E$  and  $u = \text{Id}_E$ . If  $x \geq 2$  then we take a normalization  $E'$  of a certain iterated fiber product to get the existence on  $E'$  of  $x$  morphisms  $v_P : E' \rightarrow \mathbb{P}^m$ ,  $P \in S$  such that  $S_t = \{v_P(t)\}_{P \in S}$  for all  $t \in E'$ . We see  $\mathbb{P}^m$  as a linear subspace of  $\mathbb{P}^r$ . For any  $P \in S$  and any  $t \in E'$  set  $W(P, t) : \langle W \cup \{v_P(t)\} \rangle$ . Restricting if necessary  $E'$  to a neighborhood of  $u^{-1}(o)$  we may assume  $\dim(W(P, t)) = r - m$  for all  $t$ . It is sufficient to prove the existence (restricting if necessary to an open neighborhood of  $u^{-1}(o)$  and then taking if a finite covering of this neighborhood) of a flat family  $\{W_t\}_{t \in E'}$  of  $(r - m - 1)$ -dimensional linear subspaces of  $\mathbb{P}^r$  such that  $W_{o'} = W$  for all  $o' \in u^{-1}(o)$  and  $W_t \cap W(P, t)$  a hyperplane of  $W(P, t)$  for all  $P \in S$  and  $t$  in a neighborhood of  $u^{-1}(o)$ . This is possible (after a finite covering), because  $\dim(W) \geq s - 1$ .  $\square$

**Remark 6.** Fix integers  $d, t > 0$  and  $n \geq 3$ . Fix a hyperplane  $H \subset \mathbb{P}^n$  and  $P \in \mathbb{P}^n \setminus H$ . Let  $C \subset \mathbb{P}^n$  be a general smooth rational curve such that  $\deg(C) = d$  and  $P \in C$ . Let  $D \subset H$  be a general degree  $t$  smooth rational curve. Let  $N_C$  denote the normal bundle of  $C$  in  $\mathbb{P}^n$  and  $N_{D,H}$  the normal bundle of  $D$  in  $H$ . Thus  $N_C$  is a rank  $n - 1$  vector bundle on  $C$  with degree  $(n + 1)d - 2$ , while  $N_{D,H}$  is a rank  $n - 2$  vector bundle on  $D$  with degree  $tn - 2$ . First assume  $d \leq n - 1$ . Let  $M$  be the linear span of  $C$ . The generality of  $C$  gives  $\dim(M) = d$ . Hence  $C$  is a rational normal curve of  $M$ . Hence  $N_C$  is a direct sum of  $d - 1$  line bundles of degree  $d + 2$  and  $n - d$  line bundles of degree  $d$ . Now assume  $d \geq n$ . The generality of  $C$  gives that  $N_C$  is rigid (see [6]), i.e. each rank 1 indecomposable factor of  $N_C$  has either degree  $\lfloor ((n + 1)d - 2)/(n - 1) \rfloor$  or degree  $\lceil ((n + 1)d - 2)/(n - 1) \rceil$ . In both cases we have  $h^1(C, N_C(-1)) = 0$ . Hence for general  $C$  we may assume that  $C \cap H$  is formed by  $d$  general points of  $H$  (see [5], Theorem 1.5). Now assume  $t \leq n - 2$  and call  $V$  the linear span of  $D$ . The generality of  $D$  gives  $\dim(V) = t$  and that  $D$  is a rational normal curve of  $V$ . Varying  $V$  and then  $D$  inside  $V$  we see that for a  $S \subset H$  such that  $\sharp(S) = t + 1$  there is  $D$  as above such that  $S \subset D$ . We may also fix a codimension 2 closed subset  $T$  of  $H$  and then find  $(S, D)$  with the additional condition  $D \cap T = \emptyset$ . Now assume  $t \geq n - 1$ . Since every indecomposable rank 1 factor of  $N_{D,H}$  has degree at least  $\lfloor (nt - 2)/(n - 2) \rfloor$ , we may find  $D$  as above containing a general  $S' \subset H$  such that  $\sharp(S') = \lfloor (nt - 2)/(n - 2) \rfloor + 1$  and  $D \cap T = \emptyset$ .

**Lemma 4.** We may take  $k_0$  so large (depending only from the fixed integers  $n, g, s$ ) such that the following inequalities are satisfied for all integers  $t > k_0$ :

**Lemma 5.** *For large  $k_0$  (depending only from  $n, g$  and  $s$ ) we have*

$$a(n, g, t) - a(n, g, t - 1) \geq 3t + 2n \quad (4)$$

for all  $t > k_0$ .

*Proof.* For fixed  $n$  and  $g$  the function  $a(n, g, t)$  increases as  $t^{n-1}/n!$  (use (1) and that  $0 \leq b(n, g, t) \leq t - 1$ ). Hence  $a(n, g, t) - a(n, g, t - 1)$  increases as  $(n - 1)t^{n-2}/n!$ .  $\square$

*Proof of Theorem 1.* By [2] we may assume that  $X$  is singular. Fix a non-degenerate embedding  $W \hookrightarrow \mathbb{P}^n$  of  $X$  such that the following properties hold:

- (i)  $h^1(W, \mathcal{O}_W(1)) = 0$ ;
- (ii) for any  $T \in \mathcal{B}(W)$  set  $w_T := \deg(T)$ ; assume  $w_T = 1$  if  $T \in S(X)$  and  $w_T = 2p_a(T) + 2 + 2 \cdot \sharp(\text{Sing}(X) \cap T)$  for all  $T \in \mathcal{B}(X) \setminus S(X)$ .

Thus there is an upper bound for the integer  $x := \deg(W)$  depending only on  $n, g, s$ . Hence there is an integer  $k_0$  depending only on  $n, g$  and  $s$  such that  $h^1(\mathbb{P}^n, \mathcal{I}_W(t)) = 0$  for all  $t \geq k_0$ . Increasing if necessary  $k_0$  we assume that Lemma 2 holds for  $m := n - 1$  and  $x := \deg(W)$  and any positive integer  $c \leq 4g + 4s$ , i.e. that  $k_0(m, x, c) \leq k_0$  for all such  $c$ . Fix a general  $A \subset \mathbb{P}^m$  such that  $\sharp(A) = \binom{n+k_0}{n} - k_0 \cdot \deg(W) + 1 - g$ . The generality of  $A$  gives  $h^i(\mathbb{P}^n, \mathcal{I}_{W \cup A}(k_0)) = 0$ ,  $i = 0, 1$ . For all integers  $t \geq k_0$  set  $a_t := \max\{0, \sharp(A) - t + k_0\}$ . For every integer  $t \geq k_0 - 1$  we fix a subset  $A_t \subseteq A$  such that  $\sharp(A_t) = A + k_0 - 2 - t$  if  $t \leq k_0 - 1 + \sharp(A)$  and  $A_t = \emptyset$  if  $t \geq k_0 + \sharp(A)$ . Set  $A' := A_{k_0}$  and  $\{P\} := A \setminus A'$ . The generality of  $A'$  gives  $h^0(\mathbb{P}^n, \mathcal{I}_{W \cup A'}(k_0 - 1)) = 0$ . We fix a general hyperplane  $H$  of  $\mathbb{P}^m$ . Thus  $W \cap H$  is formed by  $\deg(W)$  distinct points. Since  $h^0(\mathbb{P}^n, \mathcal{I}_{W \cup A' \cup H}(k_0)) = 0$ , we get  $h^i(\mathbb{P}^m, \mathcal{I}_{W \cup A' \cup \{Q\}}(k_0)) = 0$ ,  $i = 0, 1$ , where  $A''$  is a union of  $A'$  and a general point of  $H$ . For all integer  $t \geq k_0$  set  $\epsilon(t) = 1$  if  $t \leq k_0 + \sharp(A) + 1$  and  $\epsilon(t) = 0$  if  $t \geq k_0 + \sharp(A) + 2$ . For all non-negative integers  $c, c'$  such that  $c' \leq \deg(W)$  set  $u(k_0, c, c') = 0$  and  $v(k_0, c, c') = 0$ . For all integers  $t > k_0$  and all non-negative integers  $c, c'$  such that  $c' \leq \deg(W)$  and  $c + c' > 0$  define the integers  $u(t, c, c')$  and  $v(t, c, c')$  (depending also from  $n, g$  and  $s$ ) by the relations

$$\begin{aligned} x - c' + c_{t,c,c'} + \epsilon(t) + t \cdot u(t, c, c') + u(t - 1, c, c') &= \binom{n + t - 1}{n - 1}, \\ 0 \leq v(t, c, c') &\leq n - 1. \end{aligned} \quad (5)$$

Let  $H \subset \mathbb{P}^n$  be a general hyperplane. Thus  $W \cap H$  is a union of  $x := \deg(W)$  distinct points. We also fix  $E_t \subset H \setminus H \cap W$  such that  $\sharp(E_t) = \epsilon(t)$ . Thus  $E_t$

is a point if  $k_0 < t \leq k_0(n, g, s) + \sharp(A) + 1$  and  $E_t = \emptyset$  if  $t \geq k_0 + \sharp(A) + 2$ . If  $E_t \neq \emptyset$  we fix a 3-dimensional linear subspace  $M_t$  of  $\mathbb{P}^n$  containing  $E_t$ , but not contained in  $H$ . Recall that  $\text{Res}_H(\chi_{M_t}(E_t)) = \{E_t\}$  (Remark 3).

(a) After fixing the integers  $g, s$  we found  $W$ . Hence we may assume that  $x := \deg(W)$  is a fixed integer. We define the following assertion  $A(t, c, c')$  for all integers  $t > k_0$  and all integers  $c, c'$  such that  $c \geq 0, 0 \leq c' \leq x, 0 < c + c' \leq 2x$ :

$A(t, c, c')$ : There exist a finite set  $A_t \subset H$ , a disjoint union  $Y_t \subset H$  of  $c + c' + v(t, c, c')$  smooth rational curves such that exactly  $c'$  of these curves intersects  $W \cap H$ , each of them not at a point of a component in  $B$ , such that  $\sharp(A_t) = u(t - 1, c, c')$ ,  $\deg(Y_t) = u(t, c, c')$ ,  $A_t \cap W = A_t \cap E_t = Y_t \cap A_t = Y_t \cap E_t = \emptyset$ , and  $h^i(H, \mathcal{I}_{W \cap H \cup E_t \cup A_t \cup Y_t}(t)) = 0, t = 0, 1$ .

The equality in (5) shows that in the assertion  $A(t, c, c')$  the  $h^0$ -vanishing is equivalent to the  $h^1$ -vanishing. Since for fixed  $W, E_t, Y_t$  we may take  $A_t$  general, the assertion  $A(t, c, c')$  is equivalent to the existence of  $Y_t$  as above such that  $h^1(H, \mathcal{I}_{W \cap H \cup E_t \cup Y_t}(t)) = 0$ .

We will also use the following assertion  $B(t, c, c')$  defined for all integers  $t > k_0$  and all integers  $c, c'$  such that  $c \geq 0, 0 \leq c' \leq \deg(W), 0 < c + c' \leq 2 \cdot \deg(W)$ :

$B(t, c, c')$ : Fix any integer  $a$  such that  $1 \leq u(t, c, c') - 1 - c_t - c' - v(t, c, c')$ . There exists a disjoint union  $Y_t \subset H$  of  $c_t + c' + v(t, c, c')$  curves such only that one of them is not a line, exactly  $c'$  of these curves intersect  $W \cap H$ ,  $\deg(Y_t) = u(t, c, c')$ ,  $Y_t \cap E_t = \emptyset$ , and  $h^1(H, \mathcal{I}_{W \cap H \cup E_t \cup A_t \cup Y_t}(t)) = 0$ ; the degree  $> 1$  connected component  $C$  of  $Y_t$  is nodal, with a unique singular point and with two irreducible components, both rational, one of them having degree  $a$ .

Notice that the curve  $C$  appearing in  $B(t, c, c')$  has arithmetic genus zero and that its irreducible components are smooth. We also state the following assertion  $D(c, t)$  and  $D(c, t)'$  defined for all integers  $t, c$  such that  $1 \leq c \leq \sharp(\mathcal{B}(X))$  and all integers  $t > k_0 + \sharp(A)$ .

$D(c, t)$  Fix integers  $a_1, \dots, a_c$  such that  $a_i > 0$  for all  $i$  and  $x + c + t(a_1 + \dots + a_c) \leq \binom{n+t-1}{n-1}$ . Then there exists a disjoint union  $Y_t \subset H$  of  $c$  smooth rational curves of degrees  $a_1, \dots, a_c$  such that  $Y_t \cap W = \emptyset$  and  $h^1(H, \mathcal{I}_{Y_t \cup W \cap H}(t)) = 0$ .

$D(c, t)'$  Fix integers  $a_1, \dots, a_c$  such that  $a_i > 0$  for all  $i$  and  $x + c + t(a_1 + \dots + a_c) \leq \binom{n+t-1}{n-1}$ . Then there exists a disjoint union  $Y_t \subset H$  of  $c$  smooth rational curves of degrees  $a_1, \dots, a_c$  such that  $Y_t \cap W = \emptyset$  and  $h^1(H, \mathcal{I}_{Y_t \cup W \cap H}(t)) = 0$ .

$D(c, t)''$  Fix integers  $a_1, \dots, a_c$  such that  $a_i > 0$  for all  $i$  and  $x + c + t(a_1 + \dots + a_c) \leq \binom{n+t-1}{n-1}$ . Fix an integer  $j \in \{1, \dots, c\}$  such that  $a_j \geq n + 1$ . We assume that  $j$  exists. Then there exists a disjoint union  $Y_t \subset H$  of  $c$  curves  $U_1, \dots, U_c$ , such that:

- (i) if  $i \neq j$ , then  $U_i$  is a smooth rational curve of degree  $a_i$ ;

(ii)  $U_j$  is connected and nodal;  $U_j$  is the union of a smooth degree  $a_j - 2$  rational curve  $V_j$  and a reducible conic  $D_j$  such that each line of  $D_j$  intersects  $V_j$  at a unique point;

(iii)  $Y_t \cap W = \emptyset$ ;

(iv)  $h^1(H, \mathcal{I}_{Y_t \cup W \cap H}(t)) = 0$ .

(b) Assertions  $A(t, c, c')$  and  $B(t, c, c')$  easily follow from a very small part of the proofs in [1] (case  $n - 1 = 3$ ) or [2] (case  $n - 1 \geq 4$ ). To get  $D(t, c)$  one also need through the proofs and use that  $k_0$  is large with respect to  $x$ . Obviously,  $D(t, c)'$  is a particular (and very weak form) of  $D(t, c)$ . We will not use  $D(t, c)$ , but only  $D(t, c)'$ . As in [1] and [2] their proofs use induction on  $D$  and in the last inductive step ( $t - 1 \mapsto t$  if  $n - 1 \geq 4$ ,  $t - 2 \mapsto t$  if  $n - 1 = 3$ ) we may also obtain the reducible conic needed to prove  $D(t, c)''$ . A nodal curve  $T \subset \mathbb{P}^m$ ,  $m \geq 3$ , is called a *bamboo* of degree  $\delta$  if its irreducible components are lines and we there is an ordering  $T_1, \dots, T_\delta$  of them such that  $T_i \cap T_j \neq \emptyset$  if and only if  $|i - j| \leq 1$ . Any bamboo has arithmetic genus zero. There are solutions for the statement of  $A(t, c, c)$ ,  $D(t, c)$ ,  $D(t, c)'$  and  $D(t, c)''$  in which we take a bamboo instead of smooth rational curves of the same degree (see [2]), Lemma 5, for the case  $n - 1 = 3$ , while the case  $n - 1 \geq 4$  is far easier, as explained in [2].

(c) Fix an integer  $t > k_0$  and assume the existence of a disjoint union  $B_{t-1}$  of smooth rational curves, each of them intersecting  $W$  at most at one point and with  $W \cup B_t$  nodal such that  $h^i(\mathbb{P}^n, \mathcal{I}_{A_{t-1} \cup B_{t-1} \cup W}(t-1)) = 0$ ,  $i = 0, 1$ . First assume  $t \leq k_0 + \sharp(A) + 1$ . Fix integers  $z_i$ ,  $1 \leq i \leq c$ , such that  $z_i \geq 0$  for all  $i$ ,  $z_i \leq \max\{a_i + 1, 1 + \lfloor na_i / (n - 2) \rfloor\}$  for all  $i \neq j$ , and  $z_j \leq \max\{a_j - 1, 1 + \lfloor n(a_j - 2) / (n - 2) \rfloor\}$  and  $z_1 + \dots + z_c = z$ . ++By semicontinuity and Remark 3 we may assume that, after fixing  $W \cap H$ ,  $B_{t-1} \cap H$  is a general subset of  $\deg(B_t)$  points of  $H$ . Since  $h^0(\mathbb{P}^n, \mathcal{I}_{A_{t-2} \cup B_{t-1} \cup W}(t-2)) = 0$ , we also see that  $h^i(\mathbb{P}^n, \mathcal{I}_{A_{t-2} \cup B_{t-1} \cup W \cup \{Q\}}(t-1)) = 0$ ,  $i = 0, 1$ , for a general  $Q \in H$ . Let  $Y_t$  be a general curve satisfying  $D(t, c)''$ . Call  $Y[i]$ ,  $1 \leq i \leq c$ , the connected components of  $Y_t$  with  $\deg(Y[i]) = a_i$  for all  $i$ . We assume  $\sharp(Y[i] \cap B_{t-1}) = z_i$  for all  $i$  and that the reducible conic of  $Y[j]$  is disjoint from  $B_{t-1}$ . For general  $Y_t$  and  $Q$  we may also assume that  $Q$  is the singular point of the reducible conic of  $Y_t$ . Fix a 3-dimensional linear subspace  $M_Q$  of  $\mathbb{P}^n$  containing the reducible conic of  $Y_t$ , but not contained in  $H$ . We impose that  $W \cup B_{t-1} \cup Y_t$  is nodal, and that each connected component of  $B_{t-1} \cup Y_t$  has arithmetic genus zero and it intersects  $W$  at at most at one point. Hence the connected component of  $W \cup B_{t-1} \cup Y_t$  containing  $W$  is a specialization of a nodal union of  $W$  and some smooth rational curves, each of them intersecting  $W$  at a unique point. Define



the non-negative integer  $z$  by the following equality

$$x + \epsilon(t) + c + t(a_1 + \dots + a_c) + \deg(B_{t-1}) - z = \binom{n+t-1}{n-1}. \quad (6)$$

We choose  $a_1, \dots, a_c$  maximal so that  $0 \leq z \leq t - 1$ . We get positive integers  $a_i$  and with  $a_1 + \dots + a_c$  large by Lemma 5. Set  $U[t] := W \cup A_{t-1} \cup B_{t-1} \cup Y_t \cup \chi_{M_Q}(Q)$ . Notice that  $\text{Res}_H(U[t]) = W \cup A_{t-1} \cup B_{t-1} \cup \{Q\}$  (Remark 3). Hence  $h^i(\mathbb{P}^n, \mathcal{I}_{\text{Res}_H(U[t])}(t-1)) = 0$ ,  $i = 0, 1$ .  $U[t] \cap H$  is the disjoint union of  $Y_t$ ,  $W \cap H$ , and  $B_{t-1} \cap H$ . Since  $M_Q$  contains the reducible conic of  $Y_t$  with  $Q$  as its singular point, but it is not contained in  $H$ ,  $(Y_t \cup \chi_{M_Q}(Q)) \cap H = Y_t$  (scheme-theoretic intersection). By (6) and  $\sharp(B_{t-1} \cap (H \setminus Y_t)) = z$ , we have  $h^0(U[t] \cap H, \mathcal{O}_{U[t] \cap H}(t)) = \binom{n+t-1}{n-1}$ . Hence  $h^0(H, \mathcal{I}_{U[t] \cap H}(t)) = 0$  if and only if  $h^1(H, \mathcal{I}_{U[t] \cap H}(t)) = 0$ . Since (after fixing  $W \cap H$  and  $Y_t$  we may assume that  $B_{t-1} \cap (H \setminus Y_t)$  is general in  $H$  and  $++$ ,  $h^1(H, \mathcal{I}_{U[t] \cap H}(t)) = 0$  if and only if  $h^1(H, \mathcal{I}_{W \cap H \cup Y_t}(t)) = 0$ . The latter vanishing holds by  $D(t, c)''$ . Hence Remark 2 gives  $h^i(\mathbb{P}^n, \mathcal{I}_{U[t]}(t)) = 0$ ,  $i = 0, 1$ . Then we continue in the same way, from postulation in degree  $t$  to postulation in degree  $t + 1$ ; if  $t \leq k_0 + \sharp(A)$  we first use  $A_t \cup \{Q'\}$ ,  $Q'$  general in  $H$ , instead of  $A_t$ . If  $t \geq k_0 + \sharp(A) + 2$ , we make a similar proof, without the point  $Q$  and using  $D(t, c)'$  instead of  $D(t, c)''$ . At each step we have a different pair  $(c, z)$ , but the difference  $c - z$  is uniquely determined by other data (see (6)). Varying the integers  $z_i$  and  $a_i$  we get the number of connected components we want and the control the degrees of the rational connected components of  $\overline{U[t] \setminus W}$  intersecting each  $T \in \mathcal{B}(X)$ .

(c) Take integers  $d_T$ ,  $T \in \mathcal{B}(X)$  as in the statement of Theorem 1 and set  $\beta := \sum_{T \in \mathcal{B}(X)} d_T$ . Let  $y$  be the critical value for the triple  $(n, \beta, g)$ , i.e. the first integer  $k$  such that  $\beta \leq a(n, g, y)$ . In step (2) we got some curve  $Y[y-1]$  containing  $W$  such that  $h^i(\mathbb{P}^n, \mathcal{I}_{Y[y-1]}(y-1)) = 0$ ,  $i = 0, 1$ . In the step  $y-1 \mapsto y$  we would obtain a curve  $U[y]$  such that  $U[y-1] \subset U[y]$ . We modify the last step to obtain a connected curve  $K$  of arithmetic genus  $g$ ,  $h^1(K, \mathcal{O}_K(1)) = 0$  and with degree  $\beta$  and with  $h^1(\mathbb{P}^n, \mathcal{I}_K(y)) = 0$ . Since  $U[y-1] \subset K$ , we have  $h^0(\mathbb{P}^n, \mathcal{I}_K(y-1)) = 0$ . Thus  $K$  has maximal rank.

(d) Fix an irreducible component  $T$  of  $W$  such that  $w_T \geq 2$ . We found  $Y$  with good postulation and such that the union  $Y_T$  of  $T$  and the rational subcurves of  $Y$  intersecting  $T$  has degree  $d_T$ . Remark 5 and Lemma 1 gives that we may smooth  $Y_T$  inside  $\mathbb{P}^n$  to a degree  $d_T$  curve  $X_T$  isomorphic to  $T$ . By Lemma 3 we may move all other components of  $W$  so that they intersect the new curve isomorphic to  $T$  at the points of  $X_T$  corresponding to the images of  $T \cap \overline{W \setminus T}$  by these isomorphisms. Notice that the union  $Z_T$  of  $X_T$  and the deformations of the other moved components is a curve isomorphic to  $X$ . Then

we continue in the same way for the other irreducible components of  $X$ . Notice that there is no problem for the components  $C$  such that  $d_C = 1$ , because any two points of  $\mathbb{P}^n$  are contained in a line.  $\square$

$$0 \leq u(t, c, c') \leq (n + t - 1)^{n-1}/n! \quad (7)$$

$$u(t, c, c') \geq (n + t - 1)^{n-2}/((n - 1)!) - (n + t - 2)^{n-2}/n! - 2x - t. \quad (8)$$

*Proof.* Recall that  $u(k_0, c, c') = 0$ . Fix an integer  $t \geq k_0 + 1$ . We assume the inequalities in (7) for the integer  $t' := t - 1$ . Now we use (5). Since  $u(t - 1, c, c') \geq 0$ , we get the last inequality in (7). Since  $u(t - 1, c, c') \leq (n + t - 2)^{n-1}/n!$ , we get (8). For  $k_0 \gg x$  (8) implies the first inequality in (7).  $\square$

**Remark 7.** Take a connected nodal curve  $X$  such that  $p_a(X) = 1$ . Either  $X$  is irreducible or  $X = X_1 \cup X_2$  with  $\sharp(X_1 \cap X_2) = 2$  or there is a unique  $C \in \mathcal{B}(X)$  and an ordering  $T_1, \dots, T_k$  of the other irreducible components of  $X$  such that  $p_a(C) = 1$ ,  $T_i \cong \mathbb{P}^1$  for all  $i$ ,  $\sharp(C \cap T_1) = 1$  and  $\sharp(T_{i+1} \cap (C \cup T_1 \cup \dots \cup T_i)) = 1$  for all  $i \in \{1, \dots, k - 1\}$ . It is trivial to do the proof of Theorem 1 in each of these cases.

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