

## A COMPUTER SEARCH FOR $N_{1L}$ CONFIGURATIONS

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**Abstract:** In an earlier paper the author defined  $N_{1L}$  configurations, and stated a conjecture concerning them which would lead to an improvement by a constant factor to the sphere-packing bound for linear double error correcting codes. Here a computer search is presented, in an effort to gather evidence on the conjecture.

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### 1. Introduction

In Dowd [4], some configurations in binary linear codes, first considered in Dowd [3], were further considered. In particular, a conjecture was made, on the maximum number of rows of a configuration called an  $N_{1L}$  configuration. If true, the conjecture would yield an improvement by a constant factor to the currently known bound (sphere packing bound) on the maximum length  $n$  of a double error correcting binary linear code of redundancy  $r$ .

As in Dowd [4], the following conventions will be used. Let  $\mathcal{F}_q$  denote the finite field of order  $q$ , for a prime power  $q$ . A binary code of length  $n$  is a subset of the vector space  $\mathcal{F}_2^n$  over  $\mathcal{F}_2$ . Such a code is linear if it is a subspace; if  $k$  is the dimension the redundancy  $r$  is defined to be  $n - k$ . Codewords are generally denoted  $v, w$ , etc. Positions are generally denoted  $i, j$ , etc., with  $1 \leq i \leq n$ . As usual,  $v_i$  denotes the element of  $\mathcal{F}_2$  in position  $i$  of  $v$ . A vector in  $\mathcal{F}_2^n$  will be called a bit vector, of length  $n$ . Generator matrices will be considered to be  $k \times n$ , and parity check matrices  $n \times r$ . A vector  $v$  may be identified with its "support"  $\{i : v_i = 1\}$ . The Hamming weight  $|v|$  of a vector is the cardinality

of the support.

An  $N_1$  configuration is defined to be a set  $S$  of weight 5 vectors, of minimum distance 6, where there is a weight 5 “anchor” vector  $v$  and a position  $i \in v$ , such that for  $w \in S$ ,  $i \in w$  and  $|v \cap w| = 2$ . An  $N'_1$  configuration is a configuration of triples (weight 3 vectors), which may be obtained from an  $N_1$  configuration by deleting the positions of  $v$ .

Again as in Dowd [4] a partial linear space is defined to be an incidence matrix (matrix over  $\mathcal{F}_2$ ), where two columns are incident to at most one row. Note that the requirement may equally be stated as, two rows are incident to at most one column; the requirement is that no “rectangle” of 1’s occur. The following is observed in Dowd [4].

**Theorem 1.** *An  $N'_1$  configuration is a partial linear space, of constant row weight 3, together with a partition of the rows into 4 or fewer parts, such that in each part the rows are disjoint.*

Note that an  $N_1$  configuration from which an  $N'_1$  configuration arises can be determined from the partition. Clearly the maximum number of rows in an  $N_1$  configuration of length  $n$  (equivalently an  $N'_1$  configuration of length  $n - 5$ ) is  $4\lfloor(n - 5)/3\rfloor$ . This bound is achieved in a  $3$ -( $n, 5, 1$ ) design; these exist for  $n = 4^m + 1$  where  $m \geq 1$  (see Beth et al [1], Theorem 6.9).

An  $N_1$  configuration is said to be an  $N_{1L}$  configuration if the linear span of its rows and the anchor vector has minimum weight 5, and an  $N'_{1L}$  configuration is an  $N'_1$  configuration which arises from an  $N_{1L}$  configuration. Let  $N_{1L}(n)$  denote the maximum number of rows in an  $N_{1L}$  configuration in a linear double error correcting code of length  $n$ . The following conjecture was made in Dowd [4].

**Conjecture 2.**  *$N_{1L}(n)$  is  $\leq c_1(n - 5)$  almost everywhere, for a constant  $c_1$  smaller than  $4/3$ .*

It was also shown that the conjecture yields an upper bound on the length of a linear double error correcting code of redundancy  $r$ , better by a constant factor than the sphere packing bound. A computer search showed that for  $r \leq 8$ , the conjecture holds with  $c_1 = 2/3$ . However, an  $N_{1L}(n)$  configuration with  $n - 5 = 60$  and 44 rows was found in a cyclic code.

In this paper, a computer search is carried out for all  $N'_{1L}$  configurations with  $n - 5 \leq 18$  and  $r \leq 14$ , where  $r$  is the number of rows. This serves two purposes. First, the existence of  $N_{1L}$  configurations for small  $r, n$  pairs is determined. Second, data is provided for possibly inferring rules for an inductive proof of the conjecture. It thus represents an attempt to achieve a goal stated in

Kaski [7], that “occasionally a practical algorithm and thereby a classification result is obtained.”

We note here that there is a “replication” argument which shows that if a ratio  $r/(n - 5)$  is achieved then it is achieved infinitely often. Let  $M$  be an incidence matrix whose rows are divided into classes  $M_i$ ,  $0 \leq i \leq 3$ . For  $m \geq 1$  let  $M_i^m$  have  $m$  copies of  $M_i$  down the diagonal. Let  $M^m$  be the matrix whose classes are the  $M_i^m$ .

**Theorem 3.** *With notation as above,*

- a. *if  $M$  is an  $N'_1$  configuration then  $M^m$  is; and*
- b. *if  $M$  is an  $N'_{1L}$  configuration then  $M^m$  is.*

*Proof.* Part a follows readily using Theorem 1 and is left to the reader. For part b, Theorem 5b of Dowd [4] will be used. By a “section” of  $M^m$  we mean the rows or columns of one of the replications. Consider a sum of rows of  $M^m$ . If the weight of the sum is zero in a column section then the corresponding rows can be deleted from the sum. If there is more than one column section where the sum is nonzero then the total weight of the sum is already at least 6. Otherwise, the requirements of Theorem 5b are satisfied in the nonzero section.  $\square$

## 2. Outline of Search Procedure

A search for  $N'_{1L}$  configurations can be carried out inductively, computing the isomorphism classes with  $r$  rows from those with  $r - 1$  rows. A bound can be imposed on the number of columns  $c$  ( $n - 5$  in the preceding section), since only configurations with large  $r/c$  are of interest. In this paper, the bound on  $c$  is 18, and the maximum value of  $r$  considered is 14 (although see Section 4).

General procedures for isomorphism testing of incidence matrices exist, including Brendan McKay’s “Nauty” (McKay [11]), and Leon [6]. For one use of Nauty in coding theory, see Jaffe [5]. Discussions of using isomorphism detection procedures in searching for combinatorial configurations can be found in the literature, for example Chen [2], Kocay [8], Margot [10], and Raaphorst [12]. One common method is to convert each configuration as it is generated to a “canonical representative” of its equivalence class under isomorphism.

In this paper, specialized methods are used to reduce the matrix to one of several “partial canonicalizations”. Each such is partitioned into 4 row classes and 15 column classes. Standard methods are then used to canonicalize the

partitioned partial canonicalizations, and the lexicographically highest such is used. Source code may be requested by email from the author, and the description here omits various details.

An  $N_1'$  configuration is assumed to be given as an incidence matrix  $M$ , and a partition into 4 or fewer parts of the rows. It may be assumed that the rows of a part are consecutive; in some contexts missing parts are considered to be empty parts. The parts are also called “row classes”.

Numbering the parts from 0 to 3, a column may be given a type, namely the function  $f$  mapping  $\{0, 1, 2, 3\}$  to  $\{0, 1\}$ , where  $f(i) = 1$  iff the column has a one in part  $i$ . The type may be considered as a bit string of length 4, and denoted by a hexadecimal digit 0-F (bit 0 being the low order bit).

Only configurations with no columns of type 0 need be considered. Writing the nonzero types in the order F7BDE3596AC1248, a configuration has a “signature”, the 15-tuple of natural numbers which in each position gives the number of columns of the corresponding type.

The symmetric group  $S_4$  acts on the row classes, a permutation  $\alpha \in S_4$  being considered as “moving” the class in position  $i$  to position  $\alpha(i)$ . This induces an action on the column types, namely  $T \mapsto \alpha[T]$  where  $T$  is the support (note that, considering  $T$  as a characteristic function,  $T'(p(i)) = T(i)$  where  $T'$  is the image). Considering the signature to be a function  $\sigma$  from  $\{T\}$  to the natural numbers,  $\alpha$  acts on the signatures by mapping  $\sigma$  to  $\sigma_\alpha$ , where  $\sigma_\alpha(\alpha[T]) = \sigma(T)$ .

Given a signature  $\sigma$ , the “canonicalized signature”  $\sigma^c$  is defined to be the lexicographically greatest among the  $\sigma_\alpha$ . As will be seen, it is useful to determine this, and also the right coset  $Gr$  of elements of  $\alpha$  for which  $\sigma_\alpha = \sigma^c$ . These can readily be determined by trying all 24 possibilities. Indeed, since signature canonicalization is of secondary cost, an efficient implementation of this method would undoubtedly suffice. As will be seen, one refinement was made.

For canonicalizing the signature it is useful to have a library of routines for computing with permutations in  $S_4$ . The permutations can be ordered (a recursive order where the first 6 elements are  $S_3$  was used), and tables coded which apply a permutation given as an index in the order, by an array reference.

$S_4$  has 30 subgroups Maguit [9]. Not all of them can occur as a stabilizer of a signature, but it is simplest to code tables for all of them, in particular a table of elements per subgroup index. There are 234 cosets. A coset may be represented as a bit vector of length 24. As noted above, the right coset for a canonicalized signature is readily computed along with it. A hash table can

be used to obtain the subgroup index and a coset representative from the bit vector.

To speed up the process of canonicalizing the signature, the columns may be grouped according to weight, and for each weight, the canonicalized signature and right coset determined successively. For a given weight, only permutations in the stabilizer of the higher weight columns need be considered.

For the weight 3 columns, the weights may be sorted. There are 8 possibilities  $S_1RS_2RS_3RS_4$  where  $R$  is  $<$  or  $=$  among the sorted sizes; each yields a partition of  $\{1, 2, 3, 4\}$ , and thereby a subgroup of  $S_4$ , consisting of the product of the symmetric groups acting on the parts. For the remaining weights, all possibilities within the stabilizer so far are tried. It might be possible to achieve a speed up in the case of weight 2 vectors with the full group acting, and this is certainly true in the case of weight 1 vectors; but this was omitted.

The columns of type  $f$  for some  $f$  will be called a column class. In addition to requiring an incidence matrix to have the rows of each row class contiguous, the columns of each column class will be required to be also. Further, the column classes are required to be in the order given above. A matrix  $M$  with a such a row partition consists of  $60 = 4 \times 15$  blocks, one for each row class and column class (some of blocks may be empty, i.e., have 0 rows or 0 columns).

Supposing a method is specified for specifying the canonicalization  $M^{cf}$  of an  $N'_1$  configuration  $M$  when the row classes are fixed, the canonicalization of  $M^c$  of  $M$  may be defined as the lexicographically highest of the  $M_\alpha^{cf}$ , where  $M_\alpha$  are those matrices obtained from  $M$  by permuting the row classes, to yield the canonicalized signature (i.e, where  $\alpha \in Gr$ , where  $Gr$  is as above).

When applying a permutation of the row classes, the columns may be permuted in any manner to obey the column restriction. In obtaining  $M^{cf}$ , only permutations which preserve the blocks need be considered.

At first, the author intended to write a canonicalization procedure from scratch, under the belief that this would be faster and thus more likely to complete. However, Nauty has a reputation for being fast; it permits specifying an initial partition, in this case into blocks as above; and a preliminary version using it would permit debugging the other code and provide a check. A version using Nauty was thus coded.

The generation of the configurations proceeds in stages, for  $r$  increasing up to some maximum value, where the number of columns is limited to some maximum value. At the beginning of the stage for  $r$ , the  $r-1$  row configurations are packed in an array; the rest of memory is used for a hash table for the  $r$

row configurations. At the end of a stage, the hash table is packed down to the beginning of memory.

Each  $r - 1$  row configuration is unpacked, and the span generated. For each part of its row partition, a row is added in every possible way. For each resulting configuration, a check is made whether it is  $N_{1L}$ . If so its signature is computed and canonicalized, and  $M^c$  is obtained as described above.  $M^c$  is added to the hash table if it is not already in it.

### 3. Results of Search

Initially the program was run with maximum values of 10 and 15 for  $r$  and  $c$ . This ran in 21 seconds, so the limits were raised to 12 and 18. This run found that configurations with  $r = 12$  and  $c = 16$  exist. The limit on  $r$  was increased to 14. The time for the run with these values was 228 minutes.

For this paper, further increases to the limit were omitted, as this would have required additional work. For example, the input graph to Nauty has  $14+18=32$  nodes. If the graph has more than 32 nodes the rows of the adjacency matrix no longer fit in a word, and Nauty's execution time would increase. Again, though, see Section 4. For this paper, with the limits of 14 and 18, the Nauty version is the final version.

Table 1 shows the number of isomorphism classes of  $N_{1L}$  configurations with  $r$  rows and  $c$  columns, for  $2 \leq r \leq 14$  and  $5 \leq c \leq 18$ .

From this, the value of  $c_1$  is larger than  $2/3$ . Indeed, writing  $c_{\min}$  for the smallest  $c$  for which configurations exist, for even  $r$  with  $8 \leq r \leq 14$ ,  $c_{\min}$  increases by 2 as  $r$  does. This suggests that  $c_1$  is at least 1.

In Dowd [4] the following observations are made.

- An  $N'_{1L}$  configuration with 2 flags in each column is a cubic graph.
- Such a cubic graph must be triangle free.
- Two of the 6 cubic graphs on 8 vertices are triangle free.
- Among the  $N'_{1L}$  configurations with  $r = 8$  and  $c = 12$ , both triangle-free cubic graphs occur.

From the table, there are 5 configurations with  $r = 8$  and  $c = 12$ . It is readily verified that 3 of these are the cube, and 2 are the other possible cubic graph. For all 5 configurations the list of partition sizes is 2,2,2,2.

$r$	5	6	7	8	9	10	11	12	13	14
2	1	2	0	0	0	0	0	0	0	0
3	0	0	3	2	3	0	0	0	0	0
4	0	0	0	2	10	11	5	5	0	0
5	0	0	0	0	0	12	42	38	24	8
6	0	0	0	0	0	0	23	153	257	213
7	0	0	0	0	0	0	0	30	583	1635
8	0	0	0	0	0	0	0	5	13	2442
9	0	0	0	0	0	0	0	0	1	30
10	0	0	0	0	0	0	0	0	0	1
11	0	0	0	0	0	0	0	0	0	0
12	0	0	0	0	0	0	0	0	0	0
13	0	0	0	0	0	0	0	0	0	0
14	0	0	0	0	0	0	0	0	0	0

$r$	15	16	17	18
2	0	0	0	0
3	0	0	0	0
4	0	0	0	0
5	6	0	0	0
6	108	48	14	9
7	1927	1262	607	223
8	11813	18982	16261	9187
9	9153	87725	200690	219285
10	170	26957	652926	2220665
11	0	840	48624	4677339
12	0	6	2513	85836
13	0	0	24	3372
14	0	0	0	100

Table 1: Search results

#### 4. A Second Search

Since the “gcc” compiler for the “x86” processor supports a 64 bit “long long” type, a version of Nauty can be used where the rows of an adjacency matrix fit in a “long long”. A partial search was conducted using this feature. Starting from the configurations with  $r = 13$ , and  $c = 17$  or  $c = 18$ , only extensions

by up to two columns were considered, and only the minimum two  $c$  values for each  $r$  used as input to the next stage.

This search yields an upper bound on  $c_{\min}$ . The results are given in the following table. After  $r = 19$  the program aborted due to insufficient memory.

$r$	15	16	17	18	19
bound	19	19	21	22	22

The results of this search suggest that the status of conjecture 2 is unclear. The ratio  $r/c$  increases, but so slowly that the computer searches done here do not give any clear indication of its limiting value. For example, it is still open whether it can exceed 1.

It should also be noted that the best known linear double error correcting codes do not contain  $N'_{1L}$  configurations with values of  $r/c$  as high as those of configurations found here (see Dowd [4]).

Topics for further research clearly include the following.

— An  $N_{1L}$  configuration yields a linear double error correcting code containing it, with  $n = c + 5$  and  $k$  the rank of the configuration, augmented with  $v$ . This code may not be very good. A “goodness” measure of interest is  $(1 + n + \binom{n}{2}) / 2^{(n-k)}$ .

— Good codes for a given configuration, and configurations for a given good code, should be more extensively investigated.

— Methods for obtaining  $N$  configurations from  $N_1$  configurations (for example using classical groups) might be of interest.

— Although omitted here, determination of all configurations up to  $r = 16$  and  $c = 19$  can be probably be achieved by the methods presented here.

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