

RANKS FOR SINGULAR CURVES:  
THE NODAL DEGREE  $n + 1$  LINEARLY  
NORMAL SUBCURVE OF  $\mathbb{P}^n$

E. Ballico

Department of Mathematics  
University of Trento  
38 123 Povo (Trento) - Via Sommarive, 14, ITALY  
e-mail: ballico@science.unitn.it

**Abstract:** Let  $X \subset \mathbb{P}^n$  be an integral and non-degenerate curve. Here we introduce some invariants related to the  $X$ -ranks and study these invariants and the  $X$ -rank when  $X$  is a linearly normal curve of degree  $n + 1$  with a node.

**AMS Subject Classification:** 14H50, 14N05

**Key Words:** ranks, border rank, singular curve

\*

Let  $X \subseteq \mathbb{P}^n$  be an integral and non-degenerate curve defined over an algebraically closed field  $\mathbb{K}$ . Let  $f : C \rightarrow X$  be the normalization map. For any  $P \in \mathbb{P}^n$  the  $X$ -rank  $r_X(P)$  of  $P$  is the minimal cardinality of a finite set  $S \subset X$  such that  $P \in \langle S \rangle$ , where  $\langle \ \rangle$  denote the linear span. Set  $d := \deg(X)$ . For any integer  $t \geq 1$  let  $C^{(t)}$  denote the set of all effective divisors of  $C$  with degree  $t$ . For any hyperplane  $H \subset \mathbb{P}^n$ , let  $f^*(H) \in C^{(d)}$  denote the associated effective divisor. Let  $|\mathcal{O}_f(1)|$  denote the  $n$ -dimensional projective subspace of  $C^{(d)}$  formed by the divisors  $f^*(H)$ ,  $H \in \mathbb{P}^{n*}$ . Fix  $P \in \mathbb{P}^n$  and  $D \in C^{(t)}$ . We say that  $P \in \langle D \rangle$  if  $f^*(H) - D$  is effective for every  $H \in \mathbb{P}^{n*}$  containing  $P$ . Let  $\beta_f(P)$  (resp.  $\rho_f(P)$ ) denote the minimal integer  $t$  such that there is  $D \in C^{(t)}$  (resp. a reduced  $D \in C^{(t)}$ ) with  $P \in \langle D \rangle$ . Since  $\langle E \rangle = \langle f(E) \rangle$  for any reduced  $A \subset C$ , we have  $\rho_f(P) = r_X(P)$  for all  $P \in \mathbb{P}^n$ . However, a small modification of this invariant will give a new invariant (an element of  $\mathbb{N}^{\oplus(s+1)}$ ,  $s := \sharp(f^{-1}(\text{Sing}(X)))$ ). For any integer  $t \geq 1$  let  $\sigma_t(X)$  denote the closure in  $\mathbb{P}^n$  of the union of all  $(t-1)$ -

dimensional linear subspaces of  $\mathbb{P}^n$  spanned by  $t$  points of  $X$ . Hence  $\sigma_1(X) = X$ . Set  $\sigma_0(X) := \emptyset$ . We say that a point  $P \in \sigma_t(X) \setminus \sigma_{t-1}(X)$  has border rank  $t$  and write  $b_X(P) = t$ . Set  $\sigma_{t,x}(X) := \{P \in \mathbb{P}^n : b_X(P) = t, r_X(P) = t\}$ . If  $X$  is a smooth curve (but we are not interested in this case) and  $P \in \sigma_t(X) \setminus \sigma_{t-1}(X)$ , then there is a degree  $t$  zero-dimensional scheme  $Z \subset X$  such that  $P \in \langle Z \rangle$  and  $P \notin \langle Z' \rangle$  for any  $Z' \subsetneq Z$ . Since  $C^{(t)}$  is complete, for arbitrary  $X$  to any  $P \in \sigma_t(X) \setminus \sigma_{t-1}(X)$  we may associate at least one element  $D \in C^{(t)}$ . It is not clear when  $P \in \langle D \rangle$ . Set  $A_f := f^{-1}(\text{Sing}(X))$  (seen as a reduced subscheme of  $C$ ). Since  $X, C$  and  $f$  is fixed,  $A_f$  is a finite fixed subset of  $C$ . Since we are interested in the variable point  $P \in \mathbb{P}^n$  it is not very expensive from the computational point of view to compute several derivatives at the points of the fix set  $A_f$ . For every effective divisor  $D \subset C$  let  $D'$  be the part supported by  $C \setminus A_f$  and  $D'' := D - D'$ . Hence  $D''$  is the part of  $D$  supported by  $A_f$  (but with arbitrary non-negative multiplicities). For any  $P \in \mathbb{P}^n$  let  $V_f(P)$  be the set of all pairs  $(\deg(D'), \deg(D''))$ , where  $D \subset C$  is effective,  $D$  is reduced outside  $A_f$  and  $P \in \langle D \rangle$ . When  $s := \#(A_f) \geq 2$  we may refine the set  $V_f(P)$  in the following way. We fix an ordering  $Q_1, \dots, Q_s$  of the points of  $A_f$  and instead of the pair  $(\deg(D'), \deg(D''))$  we write the pair (whose second term is an s-ple of integers)  $(\deg(D_1); a_1, \dots, a_s)$ , where  $a_i$  is the degree of the connected component of  $D''$  supported by  $Q_i$ .

We prove the following result (part (b) gives another examples of pairs  $(X, P)$  for which [5], Proposition 4.1, is sharp).

**Theorem 1.** *Let  $X \subset \mathbb{P}^n, n \geq 3$ , be an integral and non-degenerate curve such that  $\deg(X) = n + 1$  and  $X$  has an ordinary node,  $O$ . Set  $\{Q_1, Q_2\} := f^{-1}(O)$ . Let  $L_i, i = 1, 2$ , be the tangent line to the branch of  $X$  at  $O$  corresponding to  $Q_i$ . Let  $T_O X \cong \mathbb{P}^2$  be the Zariski tangent space of  $X$  at  $O$ .*

(a) *Fix any  $P \in T_O X \setminus \{O\}$ . Then  $(0; 2, 2) \in V_f(P), (0; 1, 2) \in V_f(P)$  if and only if  $P \in L_2$  and  $(0; 1, 2) \in V_f(P)$  if and only if  $P \in L_1$ .*

(b) *If  $P \in L_1 \cup L_2$ , then  $r_X(P) = n$ .*

(c) *If  $n = 3$ , then there are constructible subsets  $\mathcal{A}$  and  $\mathcal{B}$  of  $T_O X$  such that  $T_O X \setminus (L_1 \cup L_2) = \mathcal{A} \cup \mathcal{B}, \mathcal{A} \neq \emptyset, \mathcal{B} \neq \emptyset, \mathcal{A} \cap \mathcal{B} = \emptyset, \dim(\mathcal{A}) = 2, \dim(\mathcal{B}) = 1, r_X(P) = 2$  for all  $P \in \mathcal{A}$  and  $r_X(P) = 3$  for all  $P \in \mathcal{B}$ .*

(d) *Assume  $n \geq 7$  and that either  $\text{char}(\mathbb{K}) = 0$  or  $\text{char}(\mathbb{K}) \geq n + 2$ . Then  $r_X(P) \leq n - 1$  for all  $P \in \mathbb{P}^n \setminus (L_1 \cup L_2)$ . We have  $r_X(P) = n - 1$  for all  $P \in T_A X \setminus \{A\}$  for some  $A \in X_{\text{reg}}$  and for all  $P \in T_O A \setminus (L_1 \cup L_2)$ .*

**Remark 1.** Assume  $p := \text{char}(\mathbb{K}) > 0$ . Let  $X \subset \mathbb{P}^n, n \geq 3$ , be a non-degenerate strange curve with strange point  $P \notin X$ . Let  $q$  and  $s$  respectively

the inseparable and the separable degree of the morphism of  $X$  induced by the linear projection from  $P$ . Thus  $q$  is a  $p$ -power. Assume  $s = 1$ . We have  $r_X(P) = n + 1$  and all pairs  $(X, P)$  with  $r_X(P) = n + 1$  arises in this way (see [1], Theorem 2). Fix any  $O \in \text{Sing}(X)$  and any  $Q \in C$  such that  $f(Q) = O$ . For any  $A \in X_{\text{reg}}$  the line  $\langle\{P, A\}\rangle$  contains the effective divisor  $qA$  of  $A$ . Hence  $P \notin |(q - 1)(f^{-1}(A))|$ . Hence  $P \notin (q - 1)Q'$ . If  $q > n$  it is easy to check the non existence of a divisor  $D \in C^{(n)}$  such that  $P \in \langle D \rangle$ , at least when  $X$  has a unique singular point. For an example with arbitrary  $n$  and arbitrary  $q$  and a unique singular point, see [1], Example 1.

Linear algebra and the definition of seminormal point gives the following result.

**Proposition 1.** *Fix  $O \in \text{Sing}(X)$  which is a seminormal point with multiplicity  $s$ . Fix an ordering  $Q_1, \dots, Q_s$  of  $f^{-1}(O)$ . Let  $L_1, \dots, L_s$  the corresponding lines of  $\mathbb{P}^n$  such that  $O \in L_i$  for all  $i$  and  $L_j$  is the tangent line of the branch of  $X$  at  $O$  associated to  $Q_j$ . Fix an integer  $k$  such that  $1 \leq k \leq \min\{n, s\}$  and  $S \subseteq \{1, \dots, s\}$  such that  $\#(S) = k$ . Let  $E_S \subseteq \mathbb{P}^n$  denote the  $k$ -dimensional linear subspace of  $\mathbb{P}^n$  spanned by the line  $L_j$  with  $j \in S$ . Fix any  $P \in E_S$ . Then  $(0; a_1, \dots, a_s) \in V_f(P)$ , where  $a_i = 1$  if  $i \notin S$  and  $a_i := 2$  if  $i \in S$ .*

*Proof of Theorem 1.* For any  $B \in \mathbb{P}^m$ ,  $m \geq 3$ , let  $\ell_B : \mathbb{P}^m \setminus \{B\} \rightarrow \mathbb{P}^{m-1}$  denote the linear projection from  $B$ . Obviously  $C \cong \mathbb{P}^1$ ,  $p_a(X) = 1$ ,  $O$  is the unique singular point of  $X$  and  $X$  is linearly normal. Proposition 1 gives the statements concerning  $(0; 2, 2)$ ,  $(0; 1, 2)$  and  $(0; 2, 1)$ . Part (a) easily follows.

(i) We have  $r_X(P) \leq n$  for all  $P \in \mathbb{P}^n$  (if  $\text{char}(\mathbb{K}) = 0$  apply [5], Proposition 5.1; if  $\text{char}(\mathbb{K}) > 0$  apply [1], Theorem 2, and the fact that  $X$  is not strange because  $f$  is unramified (see [4], Proposition 3). First assume  $P \in (L_1 \cup L_2) \setminus \{O\}$ , say  $P \in L_1$ . The closure  $Y \subset \mathbb{P}^{n-1}$  of the image of  $X \setminus \{O\}$  by the linear projection  $\ell_O$  from  $O$  is a rational normal curve. Since  $L_1$  is tangent to a branch of  $X$  at  $O$ , it corresponds to a point  $O_1 \in Y$ . The closure  $T \subset \mathbb{P}^{n-2}$  of the image of  $X$  by the linear projection from  $L_1$  is the closure of the image of  $Y$  by the linear projection from  $O_1$ . Thus  $T$  is a rational normal curve of  $\mathbb{P}^{n-2}$ . Hence any  $n - 1$  points of  $T$  are linearly independent. Since  $P \in L_1$ , we get  $P \notin \langle S \rangle$  for any set  $S \subset X \setminus \{O\}$  such that  $\#(S) \leq n - 1$ . Now assume the existence of  $S \subset X$  such that  $O \in S$ ,  $S$  is linearly independent,  $P \in \langle S \rangle$  and  $\#(S) \leq n - 1$ . Set  $S_1 := \ell_O(S \setminus \{O\})$ . Notice that  $S'$  is a subset of  $Y$  with cardinality at most  $n - 2$ . Since  $P \in \langle S \rangle$ , we have  $O_1 := \ell_O(P) \in \langle S_1 \rangle$ . Since  $(L_1 \cap X)_{\text{red}} = \{O\}$  and  $S$  is linearly independent, we have  $S_1 \subset Y \setminus \{O_1\}$ . Since

any  $n - 1$  points of the rational normal curve  $Y$  are linearly independent, while  $O_1 \in \langle S_1 \rangle$ , we obtained a contradiction, proving part (b).

(iii) Now assume  $n = 3$  and fix  $P \in T_OX \setminus (L_1 \cup L_2)$ . Since  $P \notin L_1 \cup L_2$ , the degree 4 plane curve  $\ell_P(X)$  has a tacnode with arithmetic genus 2 at the point  $\ell_P(O)$ . Hence the genus formula for plane curves shows that there is another line  $R \subset \mathbb{P}^3$  such that the scheme  $R \cap X$  has degree  $\geq 2$ , that this line is unique and  $\deg(R \cap X) = 2$ . Since  $O \notin R$ ,  $X \cap R$  is not reduced if and only if there is  $A \in X_{reg}$  such that  $R = T_AX$ . The set  $X_{reg}$  is non-empty and of dimension 1. Every line  $T_AX$  meets the plane  $T_OX$  and only finitely many of them meets  $L_1 \cup L_2$ . Thus taking the points  $(T_AX \cap (T_OX \setminus (L_1 \cup L_2)))$ ,  $A \in X_{reg}$ , we get a non-empty constructible subset  $\mathcal{B}$  of  $T_OX \setminus (L_1 \cup L_2)$  with pure dimension 1. If  $X \cap D$  is reduced, then  $r_X(P) = 2$ . Hence to prove part (c) it is sufficient to take  $\mathcal{A} := T_OX \setminus (L_1 \cup L_2 \cup \mathcal{B})$ .

(iv) From now on we assume  $n \geq 7$  and that either  $\text{char}(\mathbb{K}) = 0$  or  $\text{char}(\mathbb{K}) \geq n + 2$ . Fix  $P \in \mathbb{P}^n \setminus (L_1 \cup L_2)$ . We want to prove that  $r_X(P) \leq n - 1$ . There are a smooth rational normal curve  $D \subset \mathbb{P}^{n+1}$ ,  $B_1, B_2 \in D$  such that  $B_1 \neq B_2$  and  $U \in \langle \{B_1, B_2\} \rangle \setminus \{B_1, B_2\}$  such that  $X = \ell_U(D)$  and  $P_i = \ell_U(B_i)$ ,  $i = 1, 2$ . Moreover,  $L_i = \ell_U(T_{B_i}D)$ ,  $i = 1, 2$ , and  $T_OX = \ell_U(\langle T_{B_1} \cup T_{B_2}D \rangle \setminus \{U\})$ . Fix any  $P' \in \mathbb{P}^{n+1} \setminus \{U\}$  such that  $\ell_U(P') = P$ . If there is  $S \subset D$  such that  $\sharp(S \cap \{B_1, B_2\}) \leq 1$  and  $P' \in \langle S' \rangle$ , then  $P \in \langle \ell_U(S) \rangle$  and  $\sharp(\ell_U(S)) = \sharp(S)$ . If there is  $S \subset D$  such that  $P' \in \langle S \rangle$  and  $\{B_1, B_2\} \subseteq S$ , then  $P \in \langle \ell_U(S \setminus B_1) \rangle$  and  $\sharp(\ell_U(S \setminus B_1)) = \sharp(S) - 1$ . Thus to prove the inequality  $r_X(P) \leq n - 1$ , it would be sufficient to find  $P'$  as above and with  $r_D(P') \leq n - 1$ . This condition is satisfied if and only if there is no unreduced set  $Z_{P'} \subset D$  such that  $\deg(Z_{P'}) \leq 3$  and  $P' \in \langle Z \rangle$  (see [3] or [5], Theorem 4.1, if  $\text{char}(\mathbb{K}) = 0$ , [2] if  $\text{char}(\mathbb{K}) \geq n + 2$ ). Assume that  $Z_{P''}$  exists for all  $P'' \in \mathbb{P}^{n+1} \setminus \{U\}$  such that  $\ell_U(P'') = P$ , i.e. such that  $P'' \in \langle \{P', U\} \rangle \setminus \{U\}$ . Take  $P''$  as above and different from  $P'$  we get  $U \in \langle Z_{P', P''} \rangle$ , where  $Z_{P', P''}$  is the minimal positive divisor of  $D$  containing both  $Z_{P'}$  and  $Z_{P''}$ . Notice that if  $Z_{P'} = Z_{P''}$  for all  $P''$ , then  $U \in Z_{P'}$ . Since any degree  $n + 2$  effective divisor of  $D$  is linearly independent,  $U \in \langle \{B_1, B_2\} \rangle$  and  $n + 2 \geq 5$ , we get  $\{B_1, B_2\} \subseteq (Z_{P'})_{red}$ . Since  $Z_{P'}$  is not reduced, we get that either  $Z_{P'} = 2B_1 + B_2$  or  $Z_{P'} = B_1 + 2B_2$ . In the first case we get  $P \in L_1$ , while in the second case we get  $P \in L_2$ . Since  $P \notin L_1 \cup L_2$  by assumption, we get  $Z_{P'} \neq Z_{P''}$  and that at least one  $Z_{P''}$  has the property that one of the points  $B_1, B_2$ , is not in the support of  $Z_{P''}$ . Since  $\langle \{P', U\} \rangle \setminus \{U\}$  is an integral variety, while the set  $\{B_1, B_2\}$  is finite, for general  $(Q, Q', Q'') \in \langle (\{P', U\}) \setminus \{U\} \rangle^3$  one of the points  $B_1, B_2$  is not in the support of  $Z_Q + Z_{Q'} + Z_{Q''}$ . Since  $U \in \langle Z_{Q, Q'} \rangle \cap \langle Z_{Q, Q''} \rangle \cap \langle Z_{Q', Q''} \rangle$ , we get the existence of a linearly dependent subscheme of  $D$  with degree  $\leq 9$ . Since

$n + 2 \geq 9$ , we got a contradiction, proving the first part of (d). Now assume either  $P \in T_OX \setminus (L_1 \cup L_2)$  or the existence of  $A \in X_{reg}$  such that  $P \in T_AX$ . In both cases there is an unreduced zero-dimensional scheme  $Z \subset X$  such that  $\deg(Z) = 2$  and  $P \in \langle Z \rangle$ . Since any zero-dimensional subscheme of  $X$  with degree at most  $n$  is linearly independent, we get  $r_X(P) \geq n - 1$ . The first part of (d) gives  $r_X(P) = n - 1$ .  $\square$

### Acknowledgements

The author was partially supported by MIUR and GNSAGA of INdAM (Italy).

### References

- [1] E. Ballico, An upper bound for the  $X$ -ranks of points of  $\mathbb{P}^n$  in positive characteristic, *Preprint*.
- [2] E. Ballico,  $X$ -ranks with respect to a rational normal curve in positive characteristic, *Preprint*.
- [3] G. Comas, M. Seiguer, On the rank of a binary form, *ArXiv: math.AG/0112311*.
- [4] D. Laksov, Indecomposability of restricted tangent bundles, In: *Young Tableaux and Schur Functors in Algebra and Geometry*, Toruń (1980), 221-247; *Astérisque*, Soc. Math. France, Paris (1981), 87-88.
- [5] J.M. Landsberg, Z. Teitler, On the ranks and border ranks of symmetric tensors, *ArXiv: 0901.0487v3*.

