

SINGULAR CURVES AND
RANK ONE TORSION FREE SHEAVES

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Abstract: Here we introduce and study a few notions for spanned rank one torsion free sheaves on singular projective curves.

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1. Singular Curves and Rank One Torsion Free Sheaves

Let A be a smooth and connected quasi-projective curve. Fix an integer $s \geq 1$, s distinct points $Q_1, \dots, Q_s \in A$ and integers $a_i > 0$, $1 \leq i \leq s$. Let m_{A, Q_i} be the maximal ideal of the local ring \mathcal{O}_{A, Q_i} . There is a unique integral quasi-projective curve B , $P \in B$ and $f : A \rightarrow B$ such that $P = \text{Sing}(B)$, $f^{-1}(P) = \{Q_1, \dots, Q_s\}$, $f|_{A \setminus \{Q_1, \dots, Q_s\}} : A \setminus \{Q_1, \dots, Q_s\} \rightarrow B \setminus \{P\}$ is an isomorphism and f^* induces an isomorphism between the maximal ideal $m_{B, P}$ of the local ring $\mathcal{O}_{B, P}$ and the ideal $\prod_{i=1}^s m_{A, Q_i}^{a_i}$ of the semilocal ring $\bigoplus_{i=1}^s \mathcal{O}_{A, Q_i}$ ([2]). Indeed, with the terminology of [2] the singularity (B, P) is said to have a modulus; it is associated to the effective divisor $a_1 Q_1 + \dots + a_s Q_s$.

Definition 1. Let X be an integral projective curve, $u : C \rightarrow X$ its normalization, \mathcal{F} a rank one torsion free sheaf on X and $V \subseteq H^0(X, \mathcal{F})$ a

linear subspace. Set $n := \dim(V) - 1$. Since C is smooth and one-dimensional, the torsion free sheaf $u[\mathcal{F}] := u^*(\mathcal{F})/\text{Tors}(u^*(\mathcal{F}))$ is locally free. Since tensor product is a right tensor function, it is easy to check that the image $u[V]$ of $u^*(V)$ in $H^0(C, u[\mathcal{F}])$ spans $u[\mathcal{F}]$. Looking at the dense open set $u^{-1}(X_{reg})$ we easily get $\dim(u[V]) = \dim(V) = n + 1$. Hence the pair $(u[\mathcal{F}], u[V])$ defines a morphism $w : C \rightarrow \mathbf{P}^n$ such that $w(C)$ spans \mathbf{P}^n . By the universal property of singularities with a modulus, there is a unique (up to isomorphisms) integral curve Y and morphisms $a : C \rightarrow Y$, $a' : Y \rightarrow X$, $f : Y \rightarrow \mathbf{P}^n$ such that:

- (i) Y has only singularities with a modulus, $u = a' \circ a$ and $u = f \circ a$;
- (ii) for every integral curve Y_1 whose only singularity such that there are morphisms $a_1 : C \rightarrow Y_1$, $a'_1 : Y_1 \rightarrow X$, $f_1 : Y_1 \rightarrow \mathbf{P}^n$ with $u = a'_1 \circ a_1$ and $u = f_1 \circ a_1$ there is a morphism $b : Y_1 \rightarrow Y$ such that $a = b \circ a_1$.

We will say that the quadruple (Y, a, a', f) is the modular minimal model of the triple (X, \mathcal{F}, V) . If there is a similar defined quadruple (Y, a, a', f) in which we drop the assumption that Y and Y_1 have only singularities with a modulus, then we will call this quadruple the universal minimal model of (X, \mathcal{F}, V) .

Definition 2. Let X be an integral projective curve and \mathcal{F} a rank one torsion free sheaf on X . We will say that \mathcal{F} is weakly full if there are an integral projective curve Y , a birational morphism $b : Y \rightarrow X$ and $L \in \text{Pic}(Y)$ such that $\mathcal{F} \cong b_*(L)$. Notice that $h^0(X, \mathcal{F}) = h^0(Y, L)$ and $\deg(\mathcal{F}) = \deg(L) + p_a(X) + p_a(Y)$ and hence $h^1(X, \mathcal{F}) = h^1(Y, L) + p_a(X) - p_a(Y)$ (Riemann-Roch). Now assume \mathcal{F} spanned. Hence L is spanned and if $V \subseteq H^0(X, \mathcal{F})$ is a linear subspace of $H^0(X, \mathcal{F})$ spanning \mathcal{F} , then the associated $\dim(V)$ -dimensional linear subspace $b[V]$ of $H^0(Y, L)$ spans L .

Our first main result is that the universal minimal model always exists and to give an explicit construction of it.

Remark 1. Let X be an integral projective curve and $u : C \rightarrow X$ its normalization. Fix a spanned rank one torsion free sheaf \mathcal{F} on X and an $(n + 1)$ -dimensional, $n \geq 1$, linear subspace V of $H^0(X, \mathcal{F})$ spanning \mathcal{F} . See V as a linear subspace $u[V]$ of $H^0(C, u[\mathcal{F}])$ spanning $u[\mathcal{F}]$ and call $f : C \rightarrow \mathbf{P}^n$ the morphism induced by the pair $(u[\mathcal{F}], u[V])$. Since u is birational, the map $(u, f) : C \rightarrow X \times \mathbf{P}^n$ is birational onto its image. Set $Y := \text{Im}((u, f))$ and let $a := (u, f)$ seen as a birational morphism $C \rightarrow Y$. The projections from Y into the two factors of $X \times \mathbf{P}^n$ induces morphisms $a' : Y \rightarrow X$, $f : Y \rightarrow \mathbf{P}^n$ such that $u = a' \circ a$ and $u = f \circ a$. It is easy to check that the quadruple (Y, a, a', f) is the universal minimal model of the triple (X, \mathcal{F}, V) . From the normalization

map $C \rightarrow Y$ it is obvious how to obtain the universal modular reduction of the triple (X, \mathcal{F}, V) : use the universal property of singularities with a modulus.

Let D be any integral projective curve and \mathcal{F} any rank one torsion free sheaf on D . Set $\text{Sing}(\mathcal{F}) := \{P \in D : \mathcal{F} \text{ is not locally free at } P\}$. Hence $\text{Sing}(\mathcal{F}) \subseteq \text{Sing}(D)$.

Definition 3. Let T be an integral projective curve and $h : T \rightarrow \mathbf{P}^n$, $n \geq 1$, a non-degenerate morphism. For each $P \in T_{\text{reg}}$ let $a_P \geq 0$ be the order of vanishing of the differential $d(h)$ of h at P . Let $\gamma : T \rightarrow Y$ be the only birational and bijective morphism between integral curves which is a local isomorphism at each point of $\text{Sing}(T)$ and such that each point $\gamma(Q)$, $Q \in T_{\text{reg}}$, is a unibranch singularity with a modulus in the sense of [2], with T as partial normalization and with modulus $a_Q + 1$ at Q . By the universal property of the singularities with a modulus there is a unique morphism $f : Y \rightarrow \mathbf{P}^n$ such that $h = f \circ \gamma$. We will say that the pair (Y, f) is the cuspidal reduction of the pair (T, h) .

Proposition 1. Fix integers $k \geq 2$ and $x > 0$ and a set $S \subset \mathbf{P}^1$ such that $\sharp(S) = kx$. Let $h : C \rightarrow \mathbf{P}^1$ be the degree k cyclic covering of \mathbf{P}^1 ramified exactly on S . Hence C is a genus $(1 + k(k-1)x/2 + k)$ smooth curve. Let $f : Y \rightarrow \mathbf{P}^1$ be the cuspidal reduction of h . Then $p_a(Y) = (k-1)kx + p_a(C) = 3(k-1)kx/2 + k + 1$ and $f_*(\mathcal{O}_Y) \cong \bigoplus_{i=0}^{k-1} \mathcal{O}_{\mathbf{P}^1}(-3x)$.

Proof. The equality $p_a(Y) = (k-1)kx + p_a(C)$ follows from the structure of h and the definition of cuspidal reduction. Set $R := \mathcal{O}_{\mathbf{P}^1}(-x)$ and $M := \mathcal{O}_{\mathbf{P}^1}(-3x)$. Since h is a cyclic covering of \mathbf{P}^1 ramified at exactly kx points of S , we have $h_*(\mathcal{O}_C) \cong \bigoplus_{i=0}^{k-1} R^{\otimes i}$ and the pair (C, h) is uniquely determined by the choice of R and the set S . Since $h^i(C, \mathcal{O}_C) = h^i(\mathbf{P}^1, h_*(\mathcal{O}_C))$ by the finiteness of h we obtain $p_a(C) = 1 + k(k-1)x/2 + k$ (or use the Riemann-Hurwitz formula). The torsion-free sheaf $f_*(\mathcal{O}_Y)$ is locally free because \mathbf{P}^1 is a smooth curve. Since cyclic group $\mathbb{Z}/k\mathbb{Z}$ act on the pair (C, h) , it acts on the pair (Y, f) and hence on the rank k vector bundle $f_*(\mathcal{O}_Y)$. Since this group is cyclic, we easily get $f_*(\mathcal{O}_Y) \cong \bigoplus_{i=0}^{k-1} L^{\otimes i}$ for some $L \in \text{Pic}(\mathbf{P}^1)$. For degree reason we get $L \cong M$. \square

Notation 1. For all integers g, r, d set $\rho(g, r, d) := g - (r+1)(g+r-d)$ (the Brill-Noether number).

Theorem 1. Fix integers $q > 0$ and $g \geq 2q + 1$ and a smooth and connected genus q projective curve C . If $\text{char}(\mathbb{K}) = 2$ assume $2q + 1 \leq g \leq 3q$. Then there exists an integral projective curve X with C as its normalization, with exactly $g - q$ ordinary cusps as only singularities and $L \in \text{Pic}(X)$ such

that L is spanned by its global sections $\deg(L) > 0$ (or, equivalently (by the other assumptions) $h^0(X, L) \geq 2$) and $\rho(g, \deg(L), 1) < 0$.

Proof. First assume either $\text{char}(\mathbb{K}) \neq 2$ and $2q+1 \leq g \leq 5q$ or $\text{char}(\mathbb{K}) = 2$ and $2q+1 \leq g \leq 3q$. Notice that $\rho(g, q+1, 1) < 0$ because $g \geq q+1$. Counting dimension we see the existence of $M \in \text{Pic}^{q+1}(C)$ such that $h^1(C, M) = 0$ (i.e. $h^0(C, M) = 2$), M is spanned and the induced morphism $h_M : C \rightarrow \mathbf{P}^1$ has only ordinary ramification points (even if $\text{char}(\mathbb{K}) = 2$, i.e. in this case each of them count only two for the Riemann-Hurwitz formula of h_M). By Riemann-Hurwitz h_M has exactly $4q$ (resp. $2q$) ramification points if $\text{char}(\mathbb{K}) \neq 2$ (resp. $\text{char}(\mathbb{K}) = 2$). Fix any $g - q$ of them and call X the curve with C as normalization, $g - q$ ordinary cusp as only singularities and these cusps have as counterimages in C exactly these marked points. Hence h_M induces a degree $q + 1$ morphism $f : X \rightarrow \mathbf{P}^1$ and hence $L \in \text{Pic}^{q+1}(X)$ such that $h^0(X, L) \geq 2$, proving this case. Now assume $\text{char}(\mathbb{K}) \neq 2$ and $g > 5q$. There is an integer $x \geq q + 2$ such that $3q - 2 + 2x \geq g$. Fix any such integer x . Counting dimensions we see the existence $M \in \text{Pic}^{q+1}(C)$ such that $h^1(C, M) = 0$ (i.e. $H^0(C, L) = x - q + 1$), M is spanned and a general two-dimensional linear subspace V of $H^0(C, M)$ induces morphism $h_V : C \rightarrow \mathbf{P}^1$ has only ordinary ramification points. Since h_V has exactly $2q - 2 + 2x$ ramification points (Riemann-Hurwitz) and $\rho(g, x, 1) < 0$ because $g \geq 2x - 1$, we may repeat without any modification the proof of the case $2q + 1 \leq g \leq 5q$. \square

Proposition 2. *Fix integers $q > 0$ and g and a smooth and connected genus q projective curve C . Assume either q even and $g = q + 1$ or $\text{char}(\mathbb{K}) = 0$ and $g = q + 2$. Then there exists an integral projective curve X with C as its normalization, with exactly $g - q$ ordinary cusps as only singularities and $L \in \text{Pic}(X)$ such that L is spanned by its global sections $\deg(L) > 0$ (or, equivalently (by the other assumptions) $h^0(X, L) \geq 2$) and $\rho(g, \deg(L), 1) < 0$.*

Proof. Let k be the minimal integer such that there is $M \in \text{Pic}^k(C)$ such that $h^0(C, M) \geq 2$. By the minimality of k we have $h^0(C, M) = 2$ and M is spanned by its global sections. Hence M induces a degree k morphism $h_M : C \rightarrow \mathbf{P}^1$. If $\text{char}(\mathbb{K}) = 0$, then $\mathbb{A}_{\mathbb{K}}^1$ has no étale covering and hence the differential of h_M vanishes at at least two points of C . By Brill-Noether theory we have $k \leq \lfloor (q + 3)/2 \rfloor$. Hence $\rho(q + 2, k, 1) < 0$ and $\rho(q + 1, k, 1) < 0$ if q is even. In arbitrary characteristic $\mathbf{P}_{\mathbb{K}}^1$ has no étale covering and hence in the same way we get the case $g = q + 1$ and q even. \square

Remark 2. Proposition 2 is false for $q = 0$ (see [1]).

Proposition 3. *Fix integers $q \geq 2$ and g . If $\text{char}(\mathbb{K}) \neq 2$ and q is even assume $q + 1 \leq g \leq 4q$. If $\text{char}(\mathbb{K}) \neq 2$ and q is odd assume $q + 2 \leq g \leq 4q + 1$. If $\text{char}(\mathbb{K}) = 2$ and q is even assume $q + 1 \leq g \leq 5q/2$. If $\text{char}(\mathbb{K}) = 2$ and q is odd assume $q + 2 \leq g \leq 2q + (q + 1)/2$. Let C be a general smooth curve of genus q . Then there exists an integral projective curve X with C as its normalization, with exactly $g - q$ ordinary cusps as only singularities and $L \in \text{Pic}^k(X)$, $k := \lfloor (q + 3)/2 \rfloor$, such that L is spanned by its global sections, and $h^0(X, L) = 2$ and $\rho(g, \deg(L), 1) < 0$.*

Proof. There is $M\text{Pic}^k(C)$, $k := \lfloor (q + 3)/2 \rfloor$ such that $h^0(C, M) = 2$ and M is spanned by its global sections and the induced degree k morphism has only ordinary ramification points (even if $\text{char}(\mathbb{K}) = 2$). Apply the Riemann-Hurwitz formula. \square

Proposition 4. *Assume $\text{char}(\mathbb{K}) \neq 2$. Fix integers q, g, k such that $q \geq 3$, $2 \leq k < \lfloor (q + 3)/2 \rfloor$ and $q + 1 \leq g \leq 3q - 2 + 2k$. Let C be a general smooth k -gonal curve of genus q . Let C be a general smooth curve of genus q . Then there exists an integral projective curve X with C as its normalization, with exactly $g - q$ ordinary cusps as only singularities and $L \in \text{Pic}^k(X)$ such that L is spanned by its global sections, and $h^0(X, L) = 2$ and $\rho(g, \deg(L), 1) < 0$.*

Proof. It is known that the degree k morphism $C \rightarrow \mathbf{P}^1$ has only ordinary ramification points. Apply the proofs of Proposition 3 and Proposition 4. \square

Let F_e , $e \geq 0$, the Hirzebruch surface with invariant e , i.e. such that $-e$ is the minimal self-intersection of a section of the ruling of F_e . Hence $F_0 \cong \mathbf{P}^1 \times \mathbf{P}^1$ is isomorphic to a smooth quadric surface and F_1 is isomorphic to the blowing-up of \mathbf{P}^2 at one point. We fix a ruling $u : F_e \rightarrow \mathbf{P}^1$; f is unique if and only if $e > 0$. We have $\text{Pic}(F_e) \cong \mathbf{Z}^{\oplus 2}$ and we take as a basis of $\text{Pic}(F_e)$ a section h of f with $h^2 = -e$ and a class, f , of the ruling u . Thus $h \cdot f = 1$ and $f^2 = 0$. We will use both the additive and the multiplicative notation for line bundles and divisors on F_e . We have $\omega_{F_e} \cong -2h - (2 + e)F$. We have $h^0(F_e, \mathcal{O}_{F_e}(ah + bf)) = 0$ if $a < 0$. By the projection formula we have $f_*(\mathcal{O}_{F_e}(ah + bf)) \cong \bigoplus_{i=0}^a \mathcal{O}_{\mathbf{P}^1}(b - ie)$ for every $a \geq 0$. Thus $h^0(F_e, \mathcal{O}_{F_e}(ah + bf)) = 0$ if $a \geq 0$ and $b < ea$, $h^0(F_e, \mathcal{O}_{F_e}(ah + bf)) = \sum_{i=1}^a (b - ie + 1) = (2b + 2 - ae)(a + 1)/2$ if $a \geq 0$ and $b \geq 0$ and $h^1(F_e, \mathcal{O}_{F_e}(ah + bf)) = 0$ if $a \geq 0$ and $b \geq ae - 1$. Now we will see how to translate any statement concerning the postulation of a zero-dimensional scheme $Z \subset F_e$ in a statement concerning an interpolation problem for suitable polynomials.

Notation 2. First assume $e = 0$ and fix integers $a \geq 0$ and $b \geq 0$. We may parametrize $H^0(F_0, \mathcal{O}_{F_0}(ah + bf))$ as the linear subspace of $\mathbb{K}[w_0, w_1, x_0, x_1]$ of all polynomials spanned by the monomials with degree a in the variables w_0, w_1 and degree b in the variables x_0, x_1 . Fix a reduced $T \in |ah + bf|$ and $P \in T$; we will say that P is a cuspidal point if the normalization map of T is not étale over P ; we will say that a cuspidal point (resp. an ordinary cusp) $P \in C$ is a vertical cuspidal point if it is a cuspidal point (resp. an ordinary cusp) and the line of type $(1, 0)$ through P is tangent at P to a non-ordinary branch of T at P . Now assume $e > 0$. Fix integers $a > 0$ and $b \geq ae$. In the polynomial ring $\mathbb{K}[w, x_0, x_1]$ assign weight one to the variables x_0, x_1 . We may parametrize $H^0(F_0, \mathcal{O}_{F_0}(ah + bf))$ as the linear subspace of $\mathbb{K}[w, x_0, x_1]$ with total weight b and with degree at most a in the variable w . Fix a reduced $T \in |ah + bf|$ and $P \in T$; we will say that P is a cuspidal point if the normalization map of T is not étale over P ; we will say that a cuspidal point (resp. an ordinary cusp) $P \in T$ is a vertical cuspidal point if it is a cuspidal point (resp. an ordinary cusp) and the fiber of u passing through P is tangent at P to a non-ordinary branch of T at P .

Remark 3. Take the set-up of Notation 2, $T \in |ah + bf|$ and $P \in T$ a vertical cuspidal point. First assume $e > 0$. Let $m(w, x_0, x_1)$ be an equation of C . First assume that $\partial_w(m)$ is not identically zero. Notice that $\partial_w(m)$ is weighted homogeneous of weight $b - e$ and each monomial appearing with non-zero coefficient in its expansion has degree at most $a - 1$ in the variable w . Hence $\partial_w(m)$ defines a curve $T_w \in |(a - 1)h + (b - e)f|$. By assumption the intersection number of T and T_w at P is at least 3. Notice that $T_w \cdot T = (ah + bf) \cdot ((a - 1)h + (b - e)f) = b(a - 1) + (b - e)a - ea(a - 1)$. Hence T has at most $\lfloor (2ba - b - ea^2)/3 \rfloor$ vertical cuspidal points. Now assume $\partial_w(m) \equiv 0$. If $\text{char}(\mathbb{K}) = 0$, then this implies that m does not depend from w . Since $a > 0$, this easily implies that T has multiple components, contradiction. Now assume $\partial_w(m) \equiv 0$ and $p := \text{char}(\mathbb{K}) > 0$. We get that in each monomial appearing with a non-zero coefficient of m the variable w appears to a p -power. As in the characteristic zero case this gives a contradiction if $p > a$. Now assume $e = 0$ and take an equation $m(w_0, w_1, x_0, x_1)$ of C . Assume either $\text{char}(\mathbb{K}) = 0$ or $\text{char}(\mathbb{K}) > a$. We obtain that at least one of the two partial derivatives $\partial_{w_0}(m)$ or $\partial_{w_1}(m)$ is non-zero and hence it defines a curve $T' \in |(a - 1)h + bf|$. As above we get that T has at most $\lfloor (2ab - b)/3 \rfloor$ vertical cuspidal points.

In summary we proved the following results.

Proposition 5. Fix integers $e > 0$, $a > 0$ and $b \geq ea$ and an integral curve $T \subset F_e$ such that $T \in |ah + bf|$. Assume either $\text{char}(\mathbb{K}) = 0$ or $\text{char}(\mathbb{K}) > a$.

Then T has at most $\lfloor (2ba - b - ea^2)/3 \rfloor$ vertical cuspidal points.

Proposition 6. *Fix integers $a > 0$, $b > 0$ and an integral curve $T \subset F_e$ such that $T \in |ah + bf|$. Assume either $\text{char}(\mathbb{K}) = 0$ or $\text{char}(\mathbb{K}) > a$. Then T has at most $\lfloor (2ab - b)/3 \rfloor$ vertical cuspidal points.*

These results are too weak to get lower bounds for the scrollar invariants of the cuspidal reduction of morphisms $C \rightarrow \mathbf{P}^1$. We hope that some reader may do better.

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