

ON THE MINIMAL FREE RESOLUTION OF  
GENERAL UNIONS OF FAT POINTS IN  $\mathbf{P}^2$

E. Ballico

Department of Mathematics

University of Trento

380 50 Povo (Trento) - Via Sommarive, 14, ITALY

e-mail: ballico@science.unitn.it

**Abstract:** Here we prove the following result. Fix integers  $s > 0$ ,  $m_1 > \dots > m_s > 0$ ,  $a_i > 0$ ,  $1 \leq i \leq s$ . Take  $\sum_{i=1}^s a_i$  general points  $P_{i,j} \in \mathbf{P}^2$ ,  $1 \leq i \leq s$ ,  $1 \leq j \leq a_i$  and set  $Z := \cup_{i=1}^s \cup_{j=1}^{a_i} m_i P_{i,j} \subset \mathbf{P}^2$  and  $\delta := \sum_{i=1}^s a_i \binom{m_i}{2}$ . Let  $k$  be the minimal integer such that  $\delta \leq \binom{k+2}{2}$ . Assume  $m_s = 1$  and either  $a_s \geq km(m_1 + 2)(m_1 + 1)/2 + m_1k$  or  $m_{s-1} = 2$ ,  $m_s \geq k$  and  $2a_{s-1} + a_s \geq km(m_1 + 2)(m_1 + 1)/2 + m_1k$  or  $\text{char}(\mathbb{K}) \neq 2$ ,  $m_{s-1} = 2$ ,  $2a_{s-1} + a_s \geq km(m_1 + 2)(m_1 + 1)/2 + m_1k$  and  $m_s \geq 2$ . Then  $h^0(\mathbf{P}^2, \mathcal{I}_Z(t)) = 0$  for all  $t < k$ ,  $h^1(\mathbf{P}^2, \mathcal{I}_Z(t)) = 0$  for all  $t \geq k$  and the homogeneous ideal of  $Z$  is minimally generated by  $\binom{k+2}{2} - \delta$  forms of degree  $k$  and by  $\max\{0, 2\delta - k(k + 2)\}$  forms of degree  $k + 1$ .

**AMS Subject Classification:** 14N05

**Key Words:** minimal free resolution, homogeneous ideal, zero-dimensional scheme, postulation, fat point, projective plane

1. Introduction

Here we use a key lemma proved in [4], i.e. [4], Proposition 2.6, to prove the following result.

**Theorem 1.** Fix integers  $s > 0$ ,  $m_1 > \dots > m_s > 0$ ,  $a_i > 0$ ,  $1 \leq i \leq s$ . Take  $\sum_{i=1}^s a_i$  general points  $P_{i,j} \in \mathbf{P}^2$ ,  $1 \leq i \leq s$ ,  $1 \leq j \leq a_i$  and set  $Z := \cup_{i=1}^s \cup_{j=1}^{a_i} m_i P_{i,j} \subset \mathbf{P}^2$  and  $\delta := \sum_{i=1}^s a_i \binom{m_i}{2}$ . Let  $k$  be the minimal integer such that  $\delta \leq \binom{k+2}{2}$ . Assume  $m_s = 1$  and either  $a_s \geq km(m_1 + 2)(m_1 + 1)/2 + m_1k$

or  $m_{s-1} = 2$ ,  $m_s \geq k$  and  $2a_{s-1} + a_s \geq km(m_1 + 2)(m_1 + 1)/2 + m_1k$  or  $\text{char}(\mathbb{K}) \neq 2$ ,  $m_{s-1} = 2$ ,  $2a_{s-1} + a_s \geq km(m_1 + 2)(m_1 + 1)/2 + m_1k$  and  $m_s \geq 2$ . Then  $h^0(\mathbf{P}^2, \mathcal{I}_Z(t)) = 0$  for all  $t < k$ ,  $h^1(\mathbf{P}^2, \mathcal{I}_Z(t)) = 0$  for all  $t \geq k$  and the homogeneous ideal of  $Z$  is minimally generated by  $\binom{k+2}{2} - \delta$  forms of degree  $k$  and by  $\max\{0, 2\delta - k(k + 2)\}$  forms of degree  $k + 1$ .

The assertions on  $\mathcal{I}_Z(t)$  in the statement of Theorem 1 are equivalent to say that  $Z$  has maximal rank. The assertion on the homogeneous ideal of  $Z$  in the statement of Theorem 1 is equivalent to say that the minimal free resolution of  $Z$  is the expected one (see e.g. [2] or [3]).

**Remark 1.** We work over an algebraically closed field  $\mathbb{K}$  such that either  $\text{char}(\mathbb{K}) = 0$  or  $\text{char}(\mathbb{K}) = 2$ . The differential Horace Lemma for line bundles (see [1], Lemma 2.1) is proved and stated without any restriction on  $\text{char}(\mathbb{K})$ . In [4] there is a blanket  $\text{char}(\mathbb{K}) = 0$  assumption. In [4] the  $\text{char}(\mathbb{K}) = 0$  assumption is used in [4] only in the proof of Proposition 2.6 (the key result needed for our paper) making a map  $t_i \mapsto t_i^{r_i}$ ,  $1 \leq i \leq n$ , between formal power series rings. To get the injectivity of the differential of this map at  $(0, \dots, 0)$  it is sufficient to assume  $\text{char}(\mathbb{K}) > r_i$  for all  $i$ . Here we will use it only for double points for the last set of assumptions of Theorem 1 and hence it is sufficient to assume  $\text{char}(\mathbb{K}) \neq 2$  when we want to use those assumptions.

## 2. Proof of Theorem 1

**Remark 2.** From the dual of the Euler’s sequence of  $T\mathbf{P}^2$  we get  $h^0(\mathbf{P}^2, \Omega^1_{\mathbf{P}^2}(t+1)) = t(t+2)$  for all  $t \geq 2$ ,  $h^0(\mathbf{P}^2, \Omega^1_{\mathbf{P}^2}(t+1)) = 0$  for all  $t \leq 0$ ,  $h^0(\mathbf{P}^2, \Omega^1_{\mathbf{P}^2}(2)) = 3$ ,  $h^1(\mathbf{P}^2, \Omega^1_{\mathbf{P}^2}(t+1)) = 0$  for all  $t \neq -1$  and  $h^1(\mathbf{P}^2, \Omega^1_{\mathbf{P}^2}) = 1$ .

**Remark 3.** Let  $B \subset \mathbf{P}^2$  be a zero-dimensional scheme and  $t$  a positive integer. We recall that  $h^1(\mathbf{P}^2, \mathcal{I}_B \otimes \Omega^1_{\mathbf{P}^2}(t+1)) = h^1(\mathbf{P}^2, \mathcal{I}_B(t)) = 0$  if and only if the minimal free resolution of  $B$  is in degree at most  $t$  (see e.g. [3]).

**Remark 4.** Let  $D \subset \mathbf{P}^n$  be a rational normal curve. Then  $\Omega^1_{\mathbf{P}^n}|_D$  is isomorphic to the direct sum of  $n$  line bundles of degree  $-n-1$  ([2], Lemma 1.3). Now assume  $n = 2$ . Hence  $D$  is a smooth conic. For all integers  $m \geq 2$  the vector bundle  $\Omega^1_{\mathbf{P}^2}(m)|_D$  is isomorphic to the direct sum of 2 line bundles of degree  $2m - 3$ . Hence  $h^0(D, \mathcal{I}_{B,D} \otimes (\Omega^1_{\mathbf{P}^2}(m)|_D)) = h^1(D, \mathcal{I}_{B,D} \otimes (\Omega^1_{\mathbf{P}^2}(m)|_D)) = 0$  for every zero-dimensional scheme  $B \subset D$  such that  $\text{length}(B) = 2m - 2$ . Notice that  $2m - 2$  is even for every integer  $m$ .

**Remark 5.** Let  $X$  be an integral projective scheme,  $E$  a vector bundle

on  $X$ ,  $Z \subset X$  a closed subscheme and  $D \subset X$  an effective Cartier divisor. The residual scheme  $\text{Res}_D(Z)$  of  $Z$  with respect to  $D$  is the closed subscheme of  $X$  with  $\text{Hom}(\mathcal{I}_D, \mathcal{I}_Z)$  as its ideal sheaf. For instance, if  $P \in D_{\text{reg}}$  and  $m > 0$ , then  $\{mP, X\}|_D = \{mP, D\}$  and  $\text{Res}_D(\{mP, X\}) = \{(m-1)P, X\}$ , with the convention  $\{0P, X\} := \emptyset$ . We have an exact sequence on  $X$ :

$$0 \rightarrow \mathcal{I}_{\text{Res}_D(X)}(-D) \rightarrow \mathcal{I}_Z \rightarrow \mathcal{I}_{Z \cap D, D} \rightarrow 0. \tag{1}$$

By tensoring the exact sequence (1) with  $E$  we obtain the exact sequence

$$0 \rightarrow \mathcal{I}_{\text{Res}_D(Z)} \otimes E(-D) \rightarrow \mathcal{I}_Z \otimes E \rightarrow \mathcal{I}_{Z \cap D, D} \otimes (E|_D) \rightarrow 0. \tag{2}$$

Hence:

$$h^0(X, \mathcal{I}_Z \otimes E) \leq h^0(X, \mathcal{I}_{\text{Res}_D(Z)} \otimes E(-D)) + h^0(D, \mathcal{I}_{Z \cap D, D} \otimes (E|_D)),$$

$$h^1(X, \mathcal{I}_Z \otimes E) \leq h^1(X, \mathcal{I}_{\text{Res}_D(Z)} \otimes E(-D)) + h^1(D, \mathcal{I}_{Z \cap D, D} \otimes (E|_D)).$$

**Notation 1.** Let  $X$  be an integral variety,  $D$  an effective Cartier divisor of  $X$ ,  $P \in D_{\text{reg}}$  and  $Z \subset X$  a zero-dimensional scheme such that either  $Z = \emptyset$  or  $Z_{\text{red}} = \{P\}$ . The associated sequence  $(m_1(Z, D, P), m_2(Z, D, P), \dots)$  of  $Z$  at  $P$  with respect to  $D$  is defined inductively by the rules  $m_1(Z, D, P) := \text{length}(Z \cap D)$  and  $m_i(Z, D, P) := m_{i-1}(\text{Res}_D(Z), D, P)$  for all  $i \geq 2$ .

**Remark 6.** Let  $C \subset \mathbb{P}^2$  be a smooth conic,  $P \in C$ ,  $Z \subset \mathbb{P}^2 X$  a zero-dimensional scheme such that  $P \notin Z_{\text{red}}$  and  $m, i$  integers such that  $0 \leq i \leq m$ . By [4], Proposition 2.6, to prove  $h^1(X, \mathcal{I}_{Z \cup mQ} \otimes \Omega^1_{\mathbb{P}^2}(t+1)) = 0$  (resp.  $h^1(X, \mathcal{I}_{Z \cup mQ} \otimes \Omega^1_{\mathbb{P}^2}(t+1)) = 0$ ) it is sufficient to prove:  $h^1(X, \mathcal{I}_{\text{Res}_D(Z) \cup A} \otimes \Omega^1_{\mathbb{P}^2}(t+1)(-C)) = h^1(C, \mathcal{I}_{Z \cap C, C \cup \{iP, C\}} \otimes (\Omega^1_{\mathbb{P}^2}(t+1)|_C)) = 0$  (resp.  $h^0(X, \mathcal{I}_{\text{Res}_C(Z) \cup A} \otimes \Omega^1_{\mathbb{P}^2}(t+1)(-C)) = h^0(C, \mathcal{I}_{Z \cap C, C} \otimes (\Omega^1_{\mathbb{P}^2}(t+1)|_C)) = 0$ ), where  $A$  is suitable zero-dimensional subscheme of  $X$  such that  $A_{\text{red}} = \{P\}$  and its associated sequence at  $P$  with respect to  $D$  is the same as the one of  $\{mP, X\}$  (i.e.  $(m, m-1, \dots)$ ), except that it omits the term “ $i$ ”. Furthermore,  $A$  is vertically graded in the sense of [4], Section 2. Since  $A$  is vertically graded, by [4], Proposition 2.6, we may do another step (from  $\Omega^1_{\mathbb{P}^2}(t+1)(-C)$  to  $\Omega^1_{\mathbb{P}^2}(t+1)(-2C)$ ) to reduce to a statement concerning  $E(-2C)$  for a vertically graded scheme  $A'$  obtained from  $A$  deleting one of its rows. For Theorem 1 we need only the case  $m = 2$ .

**Remark 7.** Fix an integer  $t > 0$  and a zero-dimensional scheme  $Z \subset \mathbb{P}^2$ . If  $h^1(\mathbb{P}^2, \mathcal{I}_Z(t)) = 0$ , then  $h^1(\mathbb{P}^2, \mathcal{I}_Z(y)) = 0$  for all integers  $y > t$ . If  $h^0(\mathbb{P}^2, \mathcal{I}_Z(t)) = 0$ , then  $h^0(\mathbb{P}^2, \mathcal{I}_Z(y)) = 0$  for all integers  $y < t$ . By the cohomology of  $\Omega^1_{\mathbb{P}^2}(x)$ ,  $x \in \mathbb{Z}$ , we also get that if  $h^1(\mathbb{P}^2, \mathcal{I}_Z \otimes \Omega^1_{\mathbb{P}^2}(t)) = 0$ , then

$h^1(\mathbb{P}^2, \mathcal{I}_Z \otimes \Omega^1_{\mathbf{P}^2}(y)) = 0$  for all integers  $y > t$ , while if  $h^0(\mathbb{P}^2, \mathcal{I}_Z \otimes \Omega^1_{\mathbf{P}^2}(t)) = 0$ , then  $h^0(\mathbb{P}^2, \mathcal{I}_Z \otimes \Omega^1_{\mathbf{P}^2}(y)) = 0$  for all integers  $y < t$ . By a twist of the Euler's exact sequence we get that if  $h^1(\mathbb{P}^2, \mathcal{I}_Z \otimes \Omega^1_{\mathbf{P}^2}(t + 1)) = 0$ , then  $h^1(\mathbb{P}^2, \mathcal{I}_Z(t)) = 0$ . Since  $\Omega^1_{\mathbf{P}^2}(2)$  is spanned, if  $h^0(\mathbb{P}^2, \mathcal{I}_Z \otimes \Omega^1_{\mathbf{P}^2}(t + 1)) = 0$ , then  $h^0(\mathbb{P}^2, \mathcal{I}_Z(t - 1)) = 0$ .

*Proof of Theorem 1.* In parts (a), (b) and (c) we will do the case  $k(k + 1) < 2\delta \leq k(k + 2)$ . Under this assumption the maximal rank of  $Z$  follows from the assertion on the homogeneous ideal of  $Z$  by Remark 7. Hence in these parts we will only prove  $h^1(\mathbb{P}^2, \mathcal{I}_Z \otimes \Omega^1_{\mathbf{P}^2}(k + 1)) = 0$ . Anyway, it is easier the check that the maximal rank condition is satisfied for a general  $Z$  and by the irreducibility of the product of  $a_1 + \dots + a_s$  copies of  $\mathbf{P}^2$  and the semicontinuity theorem these two properties may be checked just for one single  $Z$  (different for the two properties) and then we have both for a general  $Z$ . In part (d) we will assume  $k(k + 2) \leq 2\delta \leq (k + 2)(k + 1)$ . Here we will only check  $h^0(\mathbb{P}^2, \mathcal{I}_Z \otimes \Omega^1_{\mathbf{P}^2}(k + 1)) = 0$ . Let  $C \subset \mathbf{P}^2$  be a smooth conic.

(a) Here we assume  $m_s = 1$  and  $a_s \geq (m_1 + 2)(m_1 + 1)/2 + m_1k$ . We take some of the points  $P_{i,j} \in C$ , until the sum of the corresponding multiplicity add to  $2k$ , taking as much as possible points with multiplicity  $m_1$  as possible, then as much as possible points with multiplicity  $m_2$ , and so on. We may do so obtaing a scheme  $Z_1$  with  $\text{length}(Z_1) = 2k$ , because  $m_s = 1$  and  $a_s \geq 2$ . We apply Remark 4 and Remark 5 to reduce Theorem 1 for the integer  $k$  to a certain assertion for the integer  $k - 2$ . Set  $A_1 := Z_1$ ,  $W_0 := \emptyset$  and  $W_1 := \text{Res}_C(A_1)$ . Let  $Z_2$  be the disjoint union of  $Z_1$  and the union  $A_2$  of as many of the remaining points  $m_{i,j}$  as possible, with the condition  $\text{length}(A_2 \cap C) = 2k - 4 - \text{length}(W_1)$  and that we take in  $A_2$  as many points of maximal multiplicity as possible. we may satisfy these conditions by our assumption on  $a_s$ . Set  $W_2 := \text{Res}_C(W_1 \cup A_2)$ . Then we continue at most  $\lfloor k/2 \rfloor$  times. Since  $m_1 = 1$  and  $a_s \geq + + +$ , we may do all these steps by our assumptions on  $a_s$ . Since  $a_s \geq (m_1 + 2)(m_1 + 1)/2 + m_1k$ , in the last  $\lfloor m/2 \rfloor$  steps we only use points with multiplicity one.

(b) Here we assume  $m_s = 1$ ,  $m_{s-1} = 2$ ,  $m_1 \geq k$  and  $2a_{s-1} + a_s \geq km(m_1 + 2)(m_1 + 1)/2 + m_1k$ . We made the same construction. Our assumptions on  $m_{s-1}$  and  $a_{s-1}$  assures that we need to use at most one of the  $a_s$  points with multiplicity 1 at each step, until we use all the points with higher multiplicities.

(c) Here we assume  $\text{char}(\mathbb{K}) \neq 2$ ,  $m_s = 1$ ,  $m_{s-1} = 2$ ,  $m_1 \geq 2$  and  $2a_{s-1} + a_s \geq km(m_1 + 2)(m_1 + 1)/2 + m_1k$ . The only difference with respect to part (b) is that now we also use Remark 6.

(d) We repeat parts (a), (b) or (c) (according to the different assumptions on  $a_s$ ), just looking at  $h^0$ -vanishings instead of  $h^1$ -vanishings.  $\square$

### Acknowledgements

The author was partially supported by MIUR and GNSAGA of INdAM (Italy).

### References

- [1] J. Alexander, A. Hirschowitz, An asymptotic vanishing theorem for generic unions of multiple points, *Invent. Math.*, **140**, No. 2 (2000), 303-325.
- [2] E. Ballico, Generators for the homogeneous ideal of  $s$  general points of  $\mathbb{P}^3$ , *J. Algebra*, **106**, No. 1 (1987), 46-52.
- [3] E. Ballico, A.V. Geramita, The minimal free resolution of the ideal of  $s$  general points in  $\mathbb{P}^3$ , In: *Proceedings of the 1984 Vancouver Conference in Algebraic Geometry*, CMS Conference Proceedings, **6**, Canadian Math. Soc. and Amer. Math. Soc, Providence, RI (1986), 1-11,
- [4] A. Gimigliano, M. Idà, The ideal resolution for generic 3-fat points in  $\mathbb{P}^2$ , *J. Pure Appl. Algebra*, **187**, No. 1-3 (2004), 99-128.

