

RICCI OPERATOR ON $\text{Diff}(S^1)/S^1$

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Abstract: The bracket on the Lie algebra $\text{diff}(S^1)$ is given by $[u, v] = uv' - u'v$. Let a and b be two real numbers such that $\alpha(k) = bk^3 - ak$ is strictly positive for $k \geq 1$. The symplectic form is $\omega_{a,b}(u, v) = (1/2\pi) \int_0^\pi (au' + bu''')v d\theta$. For $u, v \in \text{diff}(S^1)$, we put $(u|v) = \omega_{a,b}(u, Jv)$, where J be the Hilbert transform on $\text{diff}(S^1)$. Let V be the subspace of $\text{diff}(S^1)$ generated by $\{\cos(k\theta), \sin(k\theta)\}$ with $k \geq 1$. For $v \in \text{diff}(S^1)$, the operator $\Gamma(v) : V \rightarrow V$ is defined by $2(\Gamma(v)u|w) = ([w, v]|u) + ([w, u]|v)$ and $B(v) : V \rightarrow V$ is defined by $2B(v)u = \pi[v, u]$, where π is the projection on V . We study $\Gamma_l(v) = \Gamma(v) + B(v)$ and $\Gamma_b(v) = \Gamma(v) - B(v)$. Then $\sum_{p \geq 1} \alpha(p)^{-1} [\Gamma_b(\cos p\theta)\Gamma_l(\cos p\theta) + \Gamma_b(\sin p\theta)\Gamma_l(\sin p\theta)]$ is a bounded operator on V .

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1. Introduction

The Lie algebra $\text{diff}(S^1)$ of the group $\text{Diff}(S^1)$ of diffeomorphisms of the circle is isomorphic to the space of Fourier series $u(\theta) = \sum_{k=0}^{+\infty} a_k \cos(k\theta) + b_k \sin(k\theta)$. For a diffeomorphism γ , let $\gamma_\epsilon(\theta) = \gamma(\theta + \epsilon u(\theta))$. On $\text{Diff}(S^1)$, let F_θ be the evaluation functions $F_\theta(\gamma) = \gamma(\theta)$. We define the vector field X_u on $\text{Diff}(S^1)$ by $X_u(\gamma) = \frac{d}{d\epsilon}|_{\epsilon=0} \gamma_\epsilon$. Then $X_u(\gamma)(F_\theta) = u(\theta)\gamma'(\theta)$ and $[X_u, X_v] = (uv' - u'v)\gamma'(\theta)$. As in [1], [3], [4], the Lie bracket on $\text{diff}(S^1)$ is

$$[u, v] = uv' - u'v. \tag{1}$$

For (1), the Jacobi identity is verified. In the trigonometrical basis,

$$\begin{aligned} 2[\cos j\theta, \cos k\theta] &= (j - k) \sin(j + k)\theta + (j + k) \sin(j - k)\theta, \\ 2[\sin j\theta, \sin k\theta] &= (k - j) \sin(j + k)\theta + (j + k) \sin(j - k)\theta, \\ 2[\sin j\theta, \cos k\theta] &= (k - j) \cos(j + k)\theta - (j + k) \cos(j - k)\theta. \end{aligned}$$

The subspace \mathcal{L} generated by $\{\sin(k\theta), k \geq 1\}$ is a subalgebra of $\text{diff}(S^1)$, as well as the subspaces \mathcal{M}_0 of constant functions corresponding to the rotations in $\text{Diff}(S^1)$ and for $k \geq 1$, the subspaces \mathcal{M}_k generated by $\{\cos(k\theta), \sin(k\theta), 1\}$. The Hilbert transform J on $\text{diff}(S^1)$ is given by the singular integral

$$J(u)(\theta) = -\frac{1}{2\pi} \int_{-\pi}^{\pi} \frac{u(\theta + t)}{\tan(\frac{t}{2})} dt. \quad (2)$$

Since $\int_0^\pi \frac{\sin(kt)}{\tan(t/2)} dt = \pi$, we have $J \cos k\theta = \sin k\theta$, $J \sin k\theta = -\cos k\theta$ if $k \geq 1$, $J1 = 0$. Moreover $J(u') = (Ju)'$ and by interverting the order of integration,

$$\int_0^{2\pi} u(\theta) (Jv)(\theta) d\theta = - \int_0^{2\pi} (Ju)(\theta) v(\theta) d\theta. \quad (3)$$

2. Symplectic Form and Scalar Product

Let $\omega_{a,b}(u, v) = \int_0^{2\pi} (a u' + b u''') v \frac{d\theta}{\pi}$, where $\alpha(k) = bk^3 - ak$ is strictly positive for $k \geq 1$,

$$\omega_{a,b}(\cos k\theta, \sin k\theta) = \omega_{a,b}(\sin k\theta, -\cos k\theta) = bk^3 - ak. \quad (4)$$

If $u = 1$, then $\omega_{a,b}(1, v) = 0$ for any $v \in \text{diff}(S^1)$. The map $(u, v) \rightarrow \omega_{a,b}(u, Jv)$ is bilinear symmetric and

$$\omega_{a,b}(u, Ju) \geq 0. \quad (5)$$

Let $u(\theta) = \sum_{k \geq 0} a_k(u) \cos(k\theta) + \sum_{k \geq 1} b_k(u) \sin(k\theta)$, we have

$$\omega_{a,b}(u, Jv) = \sum_{k \geq 1} (bk^3 - ak)(a_k(u)a_k(v) + b_k(u)b_k(v)). \quad (6)$$

The derivation is antisymmetric, $(u'|v) = -(u|v')$ with respect to the pseudo-scalar product

$$(u|v) = \omega_{a,b}(u, Jv). \quad (7)$$

The associated norm satisfies

$$\|\cos k\theta\|^2 = \|\sin k\theta\|^2 = \alpha(k). \quad (8)$$

The vectors $\{\cos(k\theta), \sin(k\theta)\}$ with $k \geq 1$ are orthogonal. The function $\alpha(k) = bk^3 - ak$ has very special properties, for example to verify the Jacobi identity

$$\omega_{a,b}([u, v], w) + \omega_{a,b}([v, w], u) + \omega_{a,b}([w, u], v) = 0, \quad (9)$$

we can integrate by parts or we can verify as in [3], its validity on the trigonometric functions, $\cos k\theta, \sin k\theta, k \geq 0$, since

$$(k - j)\alpha(j + k) - (2j + k)\alpha(k) + (2k + j)\alpha(j) = 0. \tag{10}$$

3. Invariance Properties

Lemma 3.1. 1) For any a, b , the form $\omega_{a,b}$ is invariant by rotation.

2) For $k \geq 1$, the form $\omega_{a,b}$ is invariant by the action of the subgroup H_k with Lie algebra \mathcal{M}_k if $a = bk^2$.

Proof. For 2), let $u_t(\theta)$ and $v_t(\theta)$ be two distinct solutions of $\frac{d}{dt}h_t(\theta) = [f(\theta), h_t(\theta)]$, where $f \in \mathcal{M}_k$, then

$$\frac{d}{dt}\omega_{a,b}(u_t, v_t) = \omega_{a,b}\left(\frac{d}{dt}u_t, v_t\right) + \omega_{a,b}\left(u_t, \frac{d}{dt}v_t\right) = \omega_{a,b}(f, [u_t, v_t])$$

and this is zero if $bf''' + af' = 0$, this gives $bk^3 - ak = 0$. □

Remark. If $a = b = 1$, then $\omega_{1,1}(u, v)$ is invariant under the subgroup of homographic transformations. This permits to define a symplectic structure on the quotient space $\text{Diff}(S^1)$ modulo the subgroup of homographic transformations, see [2].

4. The Operators $\Gamma_l(v)$ and $\Gamma_b(v)$

Let V be the subspace of $\text{diff}(S^1)$ generated by $\{\cos(k\theta), \sin(k\theta)\}$ with $k \geq 1$. To any $u \in \text{diff}(S^1)$, we associate the linear operators $\Gamma_l(u)$ and $\Gamma_b(u)$ on V defined by

$$\begin{cases} 2(\Gamma_l(u)v | w) = ([w, v] | u) + ([w, u] | v) + ([u, v] | w), \\ 2(\Gamma_b(u)v | w) = ([w, v] | u) + ([w, u] | v) - ([u, v] | w). \end{cases} \tag{11}$$

The method of defining an operator with a triple sum as above goes back to [7]. It has been developed in the present context in [1], [3], [4]. The two operators Γ_l and Γ_b differ by the bracket,

$$(\Gamma_l(u)v | w) = (\Gamma_b(u)v + [u, v] | w). \tag{12}$$

It is easy to verify that $\Gamma_l(1)(u) = u'$ and $\Gamma_b(1)(u) = 0$. We put $c_p = \cos p\theta$ and $s_p = \sin p\theta$, we have (13)-(14) for Γ_l and (15)-(16) for Γ_b ,

$$\begin{cases} 2\Gamma_l(c_p)(s_k) = (p+k) 1_{k \geq p+1} c_{k-p} + \frac{(2p+k)\alpha(k)}{\alpha(p+k)} c_{p+k}, \\ 2\Gamma_l(c_p)(c_k) = -(p+k) 1_{k \geq p+1} s_{k-p} - \frac{(2p+k)\alpha(k)}{\alpha(p+k)} s_{p+k}, \end{cases} \quad (13)$$

$$\begin{cases} 2\Gamma_l(s_p)(s_k) = -(p+k) 1_{k \geq p+1} s_{k-p} + \frac{(2p+k)\alpha(k)}{\alpha(p+k)} s_{p+k}, \\ 2\Gamma_l(s_p)(c_k) = -(p+k) 1_{k \geq p+1} c_{k-p} + \frac{(2p+k)\alpha(k)}{\alpha(p+k)} c_{p+k}, \end{cases} \quad (14)$$

$$\begin{cases} 2\Gamma_b(c_p)(s_k) = -(p+k) 1_{p \geq k+1} c_{p-k} + \frac{(p+2k)\alpha(p)}{\alpha(p+k)} c_{p+k}, \\ 2\Gamma_b(c_p)(c_k) = -(p+k) 1_{p \geq k+1} s_{p-k} - \frac{(p+2k)\alpha(p)}{\alpha(p+k)} s_{p+k}, \end{cases} \quad (15)$$

$$\begin{cases} 2\Gamma_b(s_p)(s_k) = -(p+k) 1_{p \geq k+1} s_{p-k} + \frac{(p+2k)\alpha(p)}{\alpha(p+k)} s_{p+k}, \\ 2\Gamma_b(s_p)(c_k) = (p+k) 1_{p \geq k+1} c_{p-k} + \frac{(p+2k)\alpha(p)}{\alpha(p+k)} c_{p+k}. \end{cases} \quad (16)$$

5. The Ricci Type Operator $\Delta(\Gamma_b, \Gamma_l)$

Definition 5.1. Let the series of operators be

$$\begin{cases} \Delta(\Gamma_b, \Gamma_l) = \sum_{p \geq 1} \frac{1}{\alpha(p)} [\Gamma_b(c_p)\Gamma_l(c_p) + \Gamma_b(s_p)\Gamma_l(s_p)], \\ \Delta(\Gamma_l, \Gamma_b) = \sum_{p \geq 1} \frac{1}{\alpha(p)} [\Gamma_l(c_p)\Gamma_b(c_p) + \Gamma_l(s_p)\Gamma_b(s_p)]. \end{cases} \quad (17)$$

Definition 5.2. Let $p \geq 1$, p integer, the linear operators τ_p and τ_{-p} on V are given by

$$\begin{cases} \tau_p(c_k) = c_{k+p}, & \text{and} \begin{cases} \tau_{-p}(c_k) = 1_{k \geq p+1} c_{k-p}, \\ \tau_{-p}(s_k) = 1_{k \geq p+1} s_{k-p}. \end{cases} \end{cases} \quad (18)$$

Lemma 5.3. The operators $A_p = \Gamma_b(c_p)\Gamma_l(c_p) + \Gamma_b(s_p)\Gamma_l(s_p)$ and $B_p = \Gamma_l(c_p)\Gamma_b(c_p) + \Gamma_l(s_p)\Gamma_b(s_p)$ are diagonal in the trigonometrical basis $\{c_k, s_k\}_{k \geq 1}$

$$\tau_{-p} \circ A_p \circ \tau_p = B_p. \quad (19)$$

Theorem 5.4. Let $\alpha(k) = bk^3 - ak$ as in Sections 2 and 4. If $b \neq 0$, the first series $\Delta(\Gamma_b, \Gamma_l)$ converge and the operator $\Delta(\Gamma_b, \Gamma_l)$ is bounded, the second series $\Delta(\Gamma_l, \Gamma_b)$ diverge. If $b = 0$, both series diverge.

Proof. For $\Delta(\Gamma_b, \Gamma_l)$, we have

$$2[\Gamma_b(c_p)\Gamma_l(c_p) + \Gamma_b(s_p)\Gamma_l(s_p)] c_k = -(p+k)1_{k \geq p+1} \frac{(2k-p)\alpha(p)}{\alpha(k)} c_k,$$

$$2[\Gamma_b(c_p)\Gamma_l(c_p) + \Gamma_b(s_p)\Gamma_l(s_p)] s_k = -(p+k)1_{k \geq p+1} \frac{(2k-p)\alpha(p)}{\alpha(k)} s_k.$$

Since $\sum_{\substack{p, \\ 1 \leq p \leq k-1}} -(p+k)(2k-p) = -\frac{(k-1)(13k^2+k)}{6}$, we deduce

that $\Delta(\Gamma_b, \Gamma_l)$ is a bounded operator if $b \neq 0$. For $\Delta(\Gamma_l, \Gamma_b)$,

$$2[\Gamma_l(c_p)\Gamma_b(c_p) + \Gamma_l(s_p)\Gamma_b(s_p)] c_k = -\frac{(p+2k)(2p+k)\alpha(p)}{\alpha(p+k)} c_k,$$

$$2[\Gamma_l(c_p)\Gamma_b(c_p) + \Gamma_l(s_p)\Gamma_b(s_p)] s_k = -\frac{(p+2k)(2p+k)\alpha(p)}{\alpha(p+k)} s_k.$$

Since

$$\sum_{p \geq 1} \frac{(p+2k)(2p+k)}{\alpha(p+k)} = +\infty,$$

the series $\Delta(\Gamma_l, \Gamma_b)$ diverge. □

Remark. $\Delta(\Gamma_b, \Gamma_l)$ is a Ricci type operator on $\text{diff}(S^1)/S^1$. It is obtained as a trace of operators on V . In [5], [6], [8], [9], in relation with string theory, other bounded Ricci operators have been obtained as Ricci curvature on the infinite dimensional manifolds which are the quotient of $\text{Diff}(S^1)$ by the subgroup of rotation or by the subgroup of homographic transformations.

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