

**TORSION FREE SHEAVES ON REDUCIBLE  
CURVES WITH ONLY PLANAR SINGULARITIES**

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**Abstract:** Let  $X$  be a reduced projective curve with only planar singularities with some ordinary node or ordinary cusp. Here we give a local stratification of the moduli space of stable torsion free sheaves on  $X$  with pure rank, depending only on the singular points which are ordinary nodes or ordinary cusps.

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**Key Words:** torsion free sheaf, reducible curve, planar singularities Gorenstein curve

**1. Introduction**

Let  $X$  be a reduced and connected projective curve defined over an algebraically closed field  $\mathbb{K}$  with  $\text{char}(\mathbb{K}) = 0$ . We will say that a torsion free sheaf  $E$  on  $X$  has pure rank  $r$  if for every irreducible component  $T$  of  $X$  the vector bundle  $E|_{T_{reg}}$  has rank  $r$ . Set  $\text{Sing}(E) := \{P \in X : E \text{ is not locally free at } P\}$ . Obviously,  $\text{Sing}(E)$  is finite and  $\text{Sing}(E) \subseteq \text{Sing}(X)$ . For any  $P \in X$  let  $E_P$  denote the germ of  $E$  at  $P$ : Thus  $E_P$  is a finite  $\mathcal{O}_{X,P}$ -module. Let  $\widehat{\mathcal{O}}_{X,P}$  denote the completion of the local ring  $\mathcal{O}_{X,P}$  with respect to its maximal ideal  $m_{X,P}$ . Set  $\widehat{E}_P := E_P \otimes_{\mathcal{O}_{X,P}} \widehat{\mathcal{O}}_{X,P}$ . We will first prove the following result.

**Proposition 1.** *Assume that  $X$  has only planar singularities. Let  $E$  be a torsion free sheaf on  $X$  with pure rank  $r$ . Assume that  $E$  is not locally free and fix  $S \subsetneq \text{Sing}(E)$ . Then there exist a flat family of torsion free sheaves  $\{E_t\}_{t \in T}$  on  $X$  and  $o \in T$  such that  $T$  is an integral curve,  $o \in T$ ,  $E_o \cong E$ ,  $\text{Sing}(E_t) = S$*

for every  $t \in T \setminus \{o\}$ , and for every  $P \in S$  and every  $t \in T \setminus \{o\}$  the  $\widehat{\mathcal{O}}_{X,P}$ -module  $\widehat{E}_{t,P}$  is formally equivalent to the  $\widehat{\mathcal{O}}_{X,P}$ -module  $\widehat{E}_P$ .

Taking  $S = \emptyset$  in the statement of Proposition 1 we get the following results.

**Corollary 1.** *Assume that  $X$  has only planar singularities. Let  $E$  be a torsion free sheaf on  $X$  with pure rank  $r$ . Then  $E$  is a flat limit of a flat family of vector bundles on  $X$ .*

Since stability is an open condition even for reducible curves (see [5]), Proposition 1 implies the following results.

**Proposition 2.** *Assume that  $X$  has only planar singularities. Fix a polarization  $H$  on  $X$ . Let  $E$  be a torsion free sheaf on  $X$  with pure rank  $r$ . Assume that  $E$  is not locally free and fix  $S \subsetneq \text{Sing}(E)$ . Then there exist a flat family of torsion free sheaves  $\{E_t\}_{t \in T}$  on  $X$  and  $o \in T$  such that  $T$  is an integral curve,  $o \in T$ ,  $E_o \cong E$ ,  $\text{Sing}(E_t) = S$  for every  $t \in T \setminus \{o\}$ , for every  $P \in S$  and every  $t \in T \setminus \{o\}$  the  $\widehat{\mathcal{O}}_{X,P}$ -module  $\widehat{E}_{t,P}$  is formally equivalent to the  $\widehat{\mathcal{O}}_{X,P}$ -module  $\widehat{E}_P$ , and each  $E_t$  is  $H$ -stable.*

**Corollary 2.** *Assume that  $X$  has only planar singularities. Fix a polarization  $H$  on  $X$ . Let  $E$  be a torsion free sheaf on  $X$  with pure rank  $r$ . Then  $E$  is a flat limit of a flat family of  $H$ -stable vector bundles on  $X$ .*

Then we look at curves for which some of the singular points are ordinary nodes or ordinary cusps and give a stratification of the moduli space of stable reflexive sheaves. We could just avoid the stability condition and work with local deformation spaces.

**Theorem 1.** *Assume that  $X$  has only planar singularities. Fix a polarization  $H$  on  $X$  and an  $H$ -stable torsion free sheaf  $E$  on  $X$  with pure rank  $r$ . Fix  $\Sigma = \Sigma_1 \cup \Sigma_2 \cup \Sigma_3 \subseteq \text{Sing}(E)$  and assume that each point of  $\Sigma_1$  (resp.  $\Sigma_2$ ) is an ordinary node of  $X$  lying on one (resp. two) irreducible components of  $X$ , while every point of  $\Sigma_3$  is an ordinary cusp of  $X$ . For any  $P \in \Sigma_i$ ,  $i = 1, 2$ , let  $c_P$  be the integer  $r - a$  associated to  $F_P$  in Remark 1 below. For any  $P \in \Sigma_3$  let  $c_P$  be the integer  $c$  associated to  $E_P$  in Remark 2 below. There is a neighborhood  $\mathcal{U}$  of  $E$  in the moduli space  $\mathcal{M}$  of  $H$ -stable torsion free sheaves on  $X$  with pure rank  $r$  such that  $\mathcal{U}$  has a stratification described in the following way. There are  $\sum_{P \in \Sigma_1 \cup \Sigma_3} c_P + \sum_{P \in \Sigma_2} c_P$  strata. Each strata is labelled by  $\sum_{P \in \Sigma_1 \cup \Sigma_3} c_P + \sum_{P \in \Sigma_2} c_P$  non-negative integers and in the decomposition (codimension and so on) the contribution of different points of  $\Sigma$  are independent. If  $P \in \Sigma_1 \cup \Sigma_3$  the labeling associated to  $P$  is given by the choice of an integer  $w_P$  such that  $0 \leq w_P \leq c_P$ . If  $P \in \Sigma_2$  the labelling associated to  $P$  is*

given by a pair of non-negative integers  $z_{1,P}, z_{2,P}$  such that  $z_{1,P} + z_{2,P} \leq c_P$ . See Remarks 1 and 2 for the description of when a stratum is in the closure of another stratum.

For more general singularities we only know the following result.

**Theorem 2.** *Assume that  $X$  has only planar singularities. Fix a polarization  $H$  on  $X$  and an  $H$ -stable torsion free sheaf  $E$  on  $X$  with pure rank  $r$ . Fix  $S \subseteq \text{Sing}(E)$  and assume that for every  $P \in S$  there is an integer  $c_P$  such that  $1 \leq c_P \leq r$  and  $\tilde{E}_P \cong \hat{\mathcal{O}}_{X,P}^{\oplus(r-c_P)} \oplus \hat{\mathfrak{m}}_{X,P}^{\oplus c_P}$ . For each  $P \in S$  let  $h_P$  be the number of the irreducible components of  $X$  containing  $P$ . There is a neighborhood  $\mathcal{U}$  of  $E$  in the moduli space  $\mathcal{M}$  of  $H$ -stable torsion free sheaves on  $X$  with pure rank  $r$  such that  $\mathcal{U}$  has a stratification described in the following way. There are  $\sum_{P \in S} c_P$  strata. Each strata is labelled by  $\sum_{P \in S} h_P c_P$  integers and in the decomposition (codimension and so on) the contribution of different points of  $S$  are independent. For every  $P \in S$  the labeling associated to  $P$  is given by the choice of  $h_P$  non-negative integers  $z_{i,P}$ ,  $1 \leq i \leq h_P$ , such that  $\sum_{i=1}^{h_P} z_{i,P} \leq w_P$ .*

Of course, one can also use simultaneously Theorems 1 and 2 at different subsets of  $\text{Sing}(X)$ .

## 2. Proofs and Related Results

*Proof of Proposition 1.* Fix an ample line bundle  $H$  on  $X$  and an integer  $a$  such that  $E^* \otimes H^{\otimes a}$  is spanned. There is an injective morphism  $u : \mathcal{O}_X^{\otimes a} \rightarrow E^*$ , which is surjective at each point of  $\text{Sing}(X) \setminus \text{Sing}(E)$  (if any), that it has rank at least  $r - 1$  at each point of  $X_{reg}$  and that at each of these points  $P \in X_{reg}$  these  $r$  sections of  $E^*$  generates a subsheaf formally isomorphic to  $\mathcal{O}_{X,P} \oplus \mathfrak{m}_{X,P}$ , where  $\mathfrak{m}_{X,P}$  is the maximal ideal of  $\mathcal{O}_{X,P}$ . Since  $X$  has only planar singularities, it is Gorenstein. Hence every torsion free sheaf on  $X$  is reflexive (see [3], Remark at p. 230). Hence the natural map  $E \rightarrow E^{**}$  is an isomorphism. Hence the dual of  $u$  induces an exact sequence

$$0 \rightarrow E \rightarrow \mathcal{O}_X^{\oplus r} \xrightarrow{f} Z \rightarrow 0 \tag{1}$$

with  $Z$  a torsion sheaf,  $Z$  supported by the union of  $\text{Sing}(E)$  and a finite set  $A \subset X_{reg}$  and  $Z_P$  has length 1 at each point of  $A$ . The proof of [4], Theorem A', is local, and hence we may just deform  $Z$  (seen as an element of the Quot-scheme using the map  $f$  of the exact sequence (1) at the points of  $\text{Sing}(F) \setminus A$ ). We find a flat family of surjections (all of them starting from  $\mathcal{O}_X^{\oplus r}$ ) and the flat family of their kernels is the flat family  $\{E_t\}_{t \in T}$  we were looking for.  $\square$

**Remark 1.** Assume that  $X$  has only planar singularities. Let  $E$  be a torsion free sheaf on  $X$  with pure rank  $r$ . Fix  $P \in \text{Sing}(X)$  such that  $P$  is an ordinary node of  $X$  lying of two irreducible components,  $U$  and  $V$ , of  $X$ . There are non-negative integers  $a, b_U, b_V$  such that  $E_P \cong \mathcal{O}_{U \cup V, P}^{\oplus a} \oplus \mathcal{O}_{U, P}^{\oplus b_U} \oplus \mathcal{O}_{V, P}^{\oplus b_V}$  and  $r = a + b_U = a + b_V$  (see [5], Proposition 3 of Huitieme Partie). Hence  $b_U = b_V$  and  $0 \leq b_U \leq r$ . Conversely, for every pair of integers  $(r, a)$  there is a torsion free sheaf  $F$  on  $X$  with pure rank  $r$  with  $F_P \cong \mathcal{O}_{U \cup V, P}^{\oplus a} \oplus \mathcal{O}_{U, P}^{\oplus (r-a)} \oplus \mathcal{O}_{V, P}^{\oplus (r-a)}$ . We may also prescribe the numerical invariants of  $F_Q$  at all  $Q \in \text{Sing}(X) \setminus \{0\}$  (e.g. we may take  $F$  with  $\text{Sing}(F) \subseteq \{P\}$ ) and the degree of  $F$ . Obviously,  $P \in \text{Sing}(F)$  if and only if  $a < r$ . Now assume  $a < r$ . and that  $E^*$  is spanned. Take a general  $r$ -dimensional linear subspace  $W \subseteq H^0(X, E^*)$ . Let  $e_W : W \otimes \mathcal{O}_X \rightarrow E^*$  denote the evaluation map and  $e_W^*$  its dual.  $T$  be the connected component of the torsion sheaf  $\text{Coker}(e_W^*)$  supported by  $P$ . We have  $\text{length}(T) = r - a$  and  $T$  is killed by the maximal ideal  $m_{X, P}$  of the local ring  $\mathcal{O}_{X, P}$ . The map  $e_W^*$  induces the exact sequence (1) and  $T$  is the connected component of  $Z$  supported by  $P$ . Fix non-negative integers  $z_0, z_1, z_2$  such that  $z_0 + z_1 + z_2 = r - a$ . As in the proof of Proposition 1 we may deform the map  $f$  of (1) to a map  $f'$  such that nothing is changed at the points of  $\text{Sing}(F) \setminus \{P\}$ , while  $T$  is deformed to a sheaf  $T'$  formed by a length  $z_0$  supported by  $P$ ,  $z_1$  distinct points of  $U \cap X_{reg}$  and  $z_2$  distinct points of  $V \cap X_{reg}$ . Set  $E' := \text{Coker}(f')$ .  $E'_P \cong \mathcal{O}_{U \cup V, P}^{\oplus (r-z_0)} \oplus \mathcal{O}_{U, P}^{\oplus z_0} \oplus \mathcal{O}_{V, P}^{\oplus z_0}$ . Roughly speaking, we have distributed  $z_1$  of the singularities of  $E_P$  along  $U$  and  $z_2$  of the singularities of  $E_P$  along  $V$ . Now we fix  $Q \in \text{Sing}(X)$  and assume that  $X$  is an ordinary node of  $X$  lying in a unique irreducible component of  $X$ , say  $U$ . There is a unique integer  $c$  such that  $0 \leq c \leq a$  and  $F \cong \mathcal{O}_{U, Q}^{\oplus c} \oplus m_{U, Q}^{\oplus (r-c)}$  (see [5], Proposition 3 of Huitieme Partie). Obviously,  $c < r$  if and only if  $Q \in \text{Sing}(E)$ . Now assume  $c < r$  and that  $E^*$  is spanned and take again a general  $r$ -dimensional linear subspace  $W \subseteq H^0(X, E^*)$ . Set  $J$  be the connected component of the torsion sheaf  $\text{Coker}(e_W^*)$  supported by  $Q$ . The map  $e_W^*$  induces the exact sequence (1) and  $J$  is the connected component of  $Z$  supported by  $Q$ . We have  $\text{length}(J) = r - c$  and  $J$  is killed by  $m_{X, P}$ . Fix an integer  $w$  such that  $0 \leq w < r - c$ . As in the proof of Proposition 1 we may deform the map  $f$  of (1) to a map  $f'$  such that nothing is changed at the points of  $\text{Sing}(F) \setminus \{Q\}$ , while  $J$  is deformed to a sheaf  $J'$  formed by a length  $w$  supported by  $Q$  and  $r - c - w$  distinct points of  $U \cap X_{reg}$ . Set  $E' := \text{Coker}(f')$ .  $E'_P \cong \mathcal{O}_{X, P}^{\oplus (r-w)} \oplus \mathcal{O}_{X, P}^w$ . Now we fix  $\Sigma = \Sigma_1 \cup \Sigma_2 \subseteq \text{Sing}(F)$  and assume that each point of  $\Sigma_1$  (resp.  $\Sigma_2$ ) is an ordinary node of  $X$  lying on one (resp. two) irreducible components of  $X$ . If  $E^*$  is spanned, then we may apply the previous discussion simultaneously to all points of  $\Sigma$ , using only deformations of  $E$  which do not change the formal

isomorphism class of  $E$  at the points of  $\text{Sing}(F) \setminus \Sigma$ . If  $E^*$  is not spanned, then we twist it by a suitable ample line bundle and reduce to the previous case.

**Remark 2.** Assume that  $X$  has only planar singularities. Let  $E$  be a torsion free sheaf on  $X$  with pure rank  $r$ . Let  $Q \in \text{Sing}(X)$  and assume that  $X$  is an ordinary cusp of  $X$ . Hence  $P$  lies on a unique irreducible component of  $X$ , say  $U$ . Let  $m_{U,P}$  or  $m_{X,P}$  denote the maximal ideal of the local ring  $\mathcal{O}_{U,Q} = \mathcal{O}_{U,Q}$ . There is a unique integer  $c$  such that  $0 \leq c \leq r$  and  $F \cong \mathcal{O}_{U,Q}^{\oplus c} \oplus m_{U,Q}^{\oplus (r-c)}$  (see [2] or [1], p. 24). Obviously,  $c < r$  if and only if  $Q \in \text{Sing}(E)$ . Now assume  $c < r$  and that  $E^*$  is spanned and take a general  $r$ -dimensional linear subspace  $W \subseteq H^0(X, E^*)$ . Set  $J$  be the connected component of the torsion sheaf  $\text{Coker}(e_W^*)$  supported by  $Q$ . The map  $e_W^*$  induces the exact sequence (1) and  $J$  is the connected component of  $Z$  supported by  $Q$ . We have  $\text{length}(J) = r - c$  and  $J$  is killed by  $m_{X,P}$ . Fix an integer  $w$  such that  $0 \leq w < r - c$ . As in the proof of Proposition 1 we may deform the map  $f$  of (1) to a map  $f'$  such that nothing is changed at the points of  $\text{Sing}(F) \setminus \{Q\}$ , while  $J$  is deformed to a sheaf  $J'$  formed by a length  $w$  supported by  $Q$  and  $r - c - w$  distinct points of  $U \cap X_{\text{reg}}$ . Set  $E' := \text{Coker}(f')$ .  $E'_P \cong \mathcal{O}_{X,P}^{\oplus (r-w)} \oplus \mathcal{O}_{X,P}^w$ . Now look again at Remark 1 and fix  $\Sigma = \Sigma_1 \cup \Sigma_2 \cup \Sigma_3 \subseteq \text{Sing}(F)$  and assume that each point of  $\Sigma_1$  (resp.  $\Sigma_2$ ) is an ordinary node of  $X$  lying on one (resp. two) irreducible components of  $X$ , while every point of  $\Sigma_3$  is an ordinary cusp of  $X$ . If  $E^*$  is spanned, then we may apply the previous discussion simultaneously to all points of  $\Sigma$ , using only deformations of  $E$  which do not change the formal isomorphism class of  $E$  at the points of  $\text{Sing}(F) \setminus \Sigma$ . If  $E^*$  is not spanned, then we twist it by a suitable ample line bundle and reduce to the previous case.

*Proof of Theorem 1.* Use the proof of Proposition 1 and Remarks 1 and 2. □

*Proof of Theorem 2.* Use the proof of Proposition 1 and then the proof of Remark 1, just using  $h_P$  irreducible components. □

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