

BRILL-NOETHER THEORY FOR VECTOR BUNDLES  
ON STABLE CURVES WITH TWO COMPONENTS,  
A UNIQUE SINGULAR POINT AND BALANCED GENERA

E. Ballico

Department of Mathematics  
University of Trento

380 50 Povo (Trento) - Via Sommarive, 14, ITALY

e-mail: ballico@science.unitn.it

**Abstract:** Let  $X$  be a stable curve with two irreducible components,  $X = X_1 \cup X_2$ , such that  $\sharp(X_1 \cap X_2) = 1$  and  $p_a(X_1) = p_a(X_2)$ . Here we construct several  $\omega_X$ -stable vector bundles on  $X$  with many sections in terms of the gonality of  $X_1$  and  $X_2$ .

**AMS Subject Classification:** 14H60, 14H20, 14H51

**Key Words:** torsion free sheaf, stable vector bundle, stable curve, reducible curve, Brill-Noether theory for vector bundles

## 1. Introduction

Here we consider the Brill-Noether theory for vector bundles on the following reducible curve. Let  $X$  be a projective and nodal curve with two irreducible components  $X_1, X_2$  such that  $\sharp(X_1 \cap X_2) = 1$ . It is easy to use the Brill-Noether theory for line bundles on the components  $X_1$  and  $X_2$  to get several vector bundles on  $X$  with many section (see Propositions 1 and 2). For smooth curves this approach was used in [1] and gave many stable vector bundles. To speak about stability or semistability for sheaves on  $X$  we need to fix a polarization ([5], p. 153). As in [2], [3] and [4] we use the canonical polarization. The vector bundles we constructed are  $\omega_X$ -semistable only if  $|p_a(X_1) - p_a(X_2)| \leq 1$  and for most statements we need to assume  $p_a(X_1) = p_a(X_2)$ . As an immediate consequence of our results we get the following results.

**Corollary 1.** *Let  $X$  be a nodal projective curve with two irreducible components, say  $X = X_1 \cup X_2$ , such that  $\sharp(X_1 \cap X_2) = 1$ . Assume  $p_a(X_1) = p_a(X_2) > 0$ . Fix integers  $r \geq 3$ ,  $k > 0$ , and assume the existence of  $M_i \in \text{Pic}^{k-1}(X_i)$ ,  $i = 1, 2$ , such that  $a_i := h^0(X_i, M_i) \geq 2$ . Then there exists a rank  $r$   $\omega_X$ -stable vector bundle  $E$  on  $X$  such that  $h^0(X, E) \geq r(a_1 + a_2 - 1)$  and  $E$  has multidegree  $(rk + 1, rk)$ .*

**Corollary 2.** *Let  $X$  be a nodal projective curve with two irreducible components, say  $X = X_1 \cup X_2$ , such that  $\sharp(X_1 \cap X_2) = 1$  and both  $X_1$  and  $X_2$  are smooth. Assume  $p_a(X_1) = p_a(X_2) > 0$ . Fix an integer  $k > 0$ , 2 non-isomorphic line bundles  $R_{1,j}$ ,  $j = 1, 2$ , and 2 non-isomorphic line bundles  $R_{2,j}$  on  $X_2$ ,  $j = 1, 2$ , such that  $\deg(R_{i,j}) = k$  for all  $i, j$ . Set  $a_{i,j} := h^0(X_i, R_{i,j})$ . Fix an integer  $c \geq k + 1$ . Then there exists a rank two  $\omega_X$ -stable vector bundle  $E$  on  $X$  such that  $h^0(X, E) \geq -2 + \sum_{i=1}^2 \sum_{j=1}^2 a_{i,j}$  and  $E$  has multidegree  $(c, c)$ .*

**Remark 1.** Fix integers  $a \geq 2$  and  $k \geq 3$ . Let  $C$  be a smooth and connected curve of genus  $g > 0$  such that there is  $R \in \text{Pic}^{k-1}(C)$  such that  $h^0(C, R) \geq a$ . Since  $g > 0$ , the line bundles  $R(Q)$ ,  $Q \in C$ , are pairwise non-isomorphic. Hence they be used (if  $X_1 = C$ ) in the statements of Corollary 2.

For the  $\omega_X$ -stability of rank 2 sheaves on  $X$  with depth 1, but not locally free, see Proposition 4.

## 2. More Statements and the Proofs

**Definition 1.** Fix a reduced projective curve  $Y$ , an irreducible component  $T$  of  $Y$ ,  $Q \in T \cap Y_{reg}$  and a rank  $r$  vector bundle  $E$  on  $Y$ . Let  $\mathbb{K}_Q$  denote the skyscraper sheaf supported by  $Q$  and with  $h^0 = 1$ . A vector bundle  $F$  on  $Y$  is said to be obtained from  $E$  making a positive elementary transformation supported by  $Q$  if it fits in an exact sequence of coherent sheaves on  $Y$ :

$$0 \rightarrow E \rightarrow F \rightarrow \mathbb{K}_Q \rightarrow 0. \tag{1}$$

Notice that  $\text{rank}(F) = r$ ,  $\deg(F) = \deg(E) + 1$ ,  $\deg(F|_T) = \deg(E|_T) + 1$  and  $F|_D \cong E|_D$  for every irreducible component  $D \neq T$  of  $Y$ . The set of all isomorphism classes of vector bundles obtained from  $E$  making a positive elementary supported by  $Q$  is non-empty and it is parametrized (perhaps not even finite-to-one) by a projective space of dimension  $r - 1$ .

**Remark 2.** Let  $X$  be a projective curve such that  $X = A_1 \cup A_2$  with  $A_1, A_2$  proper subcurves and  $A_1 \cap A_2$  a unique point  $P \in X$ . Assume that  $P$  is

an ordinary node of  $X$ . Fix  $R_i \in \text{Pic}(A_i)$ ,  $i = 1, 2$ . Then there exists a unique  $L \in \text{Pic}(X)$  such that  $L|_{A_1} \cong R_1$  and  $L|_{A_2} \cong R_2$ .

**Lemma 1.** *Let  $X$  be a projective curve such that  $X = A_1 \cup A_2$  with  $A_1, A_2$  proper subcurves and  $A_1 \cap A_2$  a unique point  $P \in X$ . Assume that  $P$  is an ordinary node of  $X$ . Fix a rank  $r$  vector bundle on  $X$ . Then  $h^0(X, E) \geq h^0(A_1, E|_{A_1}) + h^0(A_2, E|_{A_2}) - r$  and  $h^1(X, E) \geq h^1(A_1, E|_{A_1}) + h^1(A_2, E|_{A_2})$ . If  $E|_{A_1}$  is spanned at  $P$ , then  $h^0(X, E) = h^0(A_1, E|_{A_1}) + h^0(A_2, E|_{A_2}) - r$  and  $h^1(X, E) = h^1(A_1, E|_{A_1}) + h^1(A_2, E|_{A_2})$ .*

*Proof.* Use the long cohomology exact sequence of the Mayer-Vietoris exact sequence

$$0 \rightarrow E \rightarrow E|_{A_1} \oplus E|_{A_2} \rightarrow E|_{\{P\}} \rightarrow 0 \tag{2}$$

and the surjectivity of the restriction map  $H^0(A_1, E|_{A_1}) \rightarrow H^0(\{P\}, E|_{\{P\}}) \cong \mathbb{K}^{\oplus r}$  if  $E|_{A_1}$  is spanned at  $P$ . □

**Remark 3.** Let  $X$  be a nodal projective curve with two irreducible components, say  $X = X_1 \cup X_2$ , such that  $\sharp(X_1 \cap X_2) = 1$ . Assume  $p_a(X_1) = p_a(X_2) > 0$ . Let  $L$  be a line bundle of bidegree  $(d_1, d_2)$  on  $X$ . It is easy to check that  $X$  satisfies Caporaso’s Basic Inequality ([2], or [3], Definition 1.1), i.e. it is  $\omega_X$ -semistable ([4], Theorem 10.3.1) if and only if  $|d_1 - d_2| \leq 1$ . Taking the restriction maps  $L \rightarrow L|_{X_i}$ ,  $i = 1, 2$ , we see that  $L$  is  $\omega_X$ -stable if and only if  $d_1 = d_2$ .

**Remark 4.** Let  $X$  be a nodal projective curve with two irreducible components, say  $X = X_1 \cup X_2$ , such that  $\sharp(X_1 \cap X_2) = 1$ . Assume  $p_a(X_1) = p_a(X_2) - 1 > 0$ . Let  $L$  be a line bundle of bidegree  $(a, a)$  on  $X$ . It is easy to check that  $X$  satisfies Caporaso’s Basic Inequality ([2], or [3], Definition 1.1), i.e. it is  $\omega_X$ -semistable ([4], Theorem 10.3.1).

**Proposition 1.** *Let  $X$  be a nodal projective curve with two irreducible components, say  $X = X_1 \cup X_2$ , such that  $\sharp(X_1 \cap X_2)$ , say  $\{P\} = X_1 \cap X_2$ . Assume  $p_a(X_1) = p_a(X_2) > 0$ . Fix integers  $r \geq 2$ ,  $k > 0$ ,  $a_i \geq 2$ ,  $b_i \geq 2$ ,  $i = 1, 2$ , and an integer  $e$  such that  $0 \leq e \leq r$ . Assume the existence of  $R_i \in \text{Pic}^k(X_i)$  and  $L_i \in \text{Pic}^{k+1}(X_i)$ ,  $i = 1, 2$ , such that  $h^0(X_i, R_i) \geq a_i$ ,  $h^0(X_i, L_i) \geq b_i$ . There there exists an  $\omega_X$ -semistable rank  $r$  vector bundle  $E$  on  $X$  such that  $E$  has multidegree  $(rk + e, rk + r - e)$  and  $h^0(X, E) \geq eb_1 + (r - e)a_1 + (r - e)a_2 + eb_2 - r$ .*

*Proof.* If  $R_i$  is not spanned at  $P$  and a general section of  $R_i$  vanishes at  $P$  of order  $t$  we take  $R'_i := R_i(tQ - tP)$  for a general  $Q \in X_i$ . In this way we reduce to the case in which each  $R_i$  and each  $L_i$  is spanned at  $P$ . Let  $A$  (resp.  $B$ ) be the

only line bundle on  $X$  such that  $A|_{X_1} \cong R_1$  and  $A|_{X_2} \cong L_2$  (resp.  $B|_{X_1} \cong L_1$  and  $B|_{X_2} \cong L_1$ ) (Remark 2). Set  $E := A^{\oplus(r-a)} \oplus B^{\oplus e}$ . Since each  $R_i$  and each  $L_i$  is spanned at  $P$ , we have  $h^0(X, A) = h^0(X_1, R_1) + h^0(X_2, L_2) - 1 \geq a_1 + b_2 - 1$  and  $h^0(X, B) = h^0(X_1, L_1) + h^0(X_2, R_2) - 1 \geq a_2 + b_1 - 1$  (Lemma 1). Hence  $h^0(X, E) \geq eb_1 + (r-e)a_1 + (r-e)a_2 + eb_2 - r$ . Since  $A$  has bidegree  $(k, k+1)$  and  $B$  has bidegree  $(k+1, k)$ , both are  $\omega_X$ -semistable and with the same  $\omega_X$ -slope. Hence  $E$  is  $\omega_X$ -semistable.  $\square$

**Proposition 2.** *Let  $X$  be a nodal projective curve with two irreducible components, say  $X = X_1 \cup X_2$ , such that  $\sharp(X_1 \cap X_2)$ , say  $\{P\} = X_1 \cap X_2$ . Assume  $p_a(X_1) = p_a(X_2) - 1 > 0$ . Fix integers  $r \geq 2, k > 0$  and  $a_i \geq 2, i = 1, 2$ . Assume the existence of  $R_i \in \text{Pic}^k(X_i) i = 1, 2$ , such that  $h^0(X_i, R_i) \geq a_i$ . Then there existse a rank  $r$   $\omega_X$ -semistable vector bundle  $E$  on  $X$  such that  $E$  has bidegree  $(rk, rk)$  and  $h^0(X, E) \geq r(a_1 + a_2 - 1)$ .*

*Proof.* Let  $A$  be the only line bundle on  $X$  such that  $A|_{X_i} \cong R_i$  for all  $i$ . Set  $E := A^{\oplus r}$ .  $\square$

**Lemma 2.** *Let  $X$  be a nodal projective curve with two irreducible components, say  $X = X_1 \cup X_2$ , such that  $\sharp(X_1 \cap X_2)$ , say  $\{P\} = X_1 \cap X_2$ . Assume  $q := p_a(X_1) = p_a(X_2)$ . Let  $H$  be the polarization on  $X$  associated to the rational numbers  $a_1 = a_2 = 1/2$ . Fix  $L \in \text{Pic}(X)$  with bidegree  $(k, k)$ . Let  $R$  be a rank 1 subsheaf of  $L$  with multirank  $(1, 0)$  or  $(0, 1)$  of  $L$ . Then  $\mu_H(R) \leq 2k - 2q$ .*

*Proof.* Assume for instance that  $R$  has multirank  $(1, 0)$ . Hence  $R$  is contained in the kernel,  $M$ , of the restriction map  $L \rightarrow L|_{X_2}$ . Since  $M/R$  has finite support,  $\mu_H(R) \leq \mu_H(M)$ . Since  $X_1 \cap X_2 = \{P\}$  and  $P$  is an ordinary node, the  $\mathcal{O}_X$ -sheaf is isomorphic to a degree  $k - 1$  line bundle on  $X$ . Hence  $\chi(M) = k - q$ . Thus  $\mu_H(M) = 2k - 2q$ .  $\square$

**Theorem 1.** *Let  $X$  be a nodal projective curve with two irreducible components, say  $X = X_1 \cup X_2$ , such that  $\sharp(X_1 \cap X_2)$ , say  $\{P\} = X_1 \cap X_2$ . Assume  $q := p_a(X_1) = p_a(X_2) > 0$ . Fix integers  $r \geq 2, k > 0, M_i \in \text{Pic}^{k-1}(X_i)$  and general  $P_{i,j} \in X_i, i = 1, 2, 1 \leq j \leq r$ , and  $Q_1 \in X_1 \setminus \{P\}$ . Set  $R_{i,j} := M_i(P_{i,j}) \in \text{Pic}^k(X_i)$ . Let  $L_j$  be the only line bundle on  $X$  such that  $L_j|_{X_1} \cong R_{1,j}$  and  $L_j|_{X_2} \cong R_{2,j}$ . Set  $F := \bigoplus_{j=1}^r L_j$ . Let  $E$  be the general vector bundle on  $X$  obtained from  $F$  making a general positive elementary transformation supported by  $Q_1$ .*

- (i) *If  $r \geq 3$ , then  $E$  is  $\omega_X$ -stable.*
- (ii) *If  $r = 2$ , then  $E$  is  $\omega_X$ -semistable, but not  $\omega_X$ -stable.*

*Proof.* Since  $q = p_a(X_i) > 0$ , the line bundles  $R_{i,j}$ ,  $1 \leq j \leq r$ , are pairwise non-isomorphic. Until step (f) we fix  $r$  pairwise non-isomorphic line bundles  $R_{1,j}$ ,  $1 \leq j \leq r$ ,  $r$  pairwise non-isomorphic line bundles  $R_{2,j}$ ,  $1 \leq j \leq r$ , and make the proof in this more general set-up. Only in step (f) we assume that the line bundles  $R_{i,j}$  are the ones described in Theorem 1 for general  $P_{i,j} \in X_i$ ,  $i = 1, 2$ ,  $1 \leq i \leq j$ . Since  $p_A(X_1) = p_a(X_2)$ , the  $\omega_X$ -polarization is obtained taking the polarization  $H$  determined by the rational numbers  $a_1 := 1/2$  and  $a_2 := 1/2$  in [5], p. 153. Each line bundle  $L_j$  exists and it is unique, up to isomorphisms (Remark 2). Each  $L_j$  is  $\omega_X$ -stable (Remark 3). Since  $\mu_H(L_j) = \mu_H(L_h)$  for all  $j, h \in \{1, \dots, r\}$ ,  $F$  is  $H$ -polystable and its indecomposable factors are pairwise non-isomorphic stable line bundles with the same  $H$ -slope. Hence  $F$  is  $H$ -semistable.

(a) Let  $\rho : E \rightarrow E|X_2$  be the restriction map. Set  $U := \text{Ker}(\rho)$ .  $U$  is one of the depth 1 subsheaves of  $E$  which must be tested to see if  $E$  is  $H$ -stable or  $H$ -semistable. We have  $\chi(U) = \text{deg}(E) + r(1 - p_a(X)) - r(\chi(R_2)) = 2kr + 1 + r - 2rq - rk - r + rq = rk + 1 - rq$ . Hence  $\mu_H(G) = 2(rk + 1 - rq)/r = 2k + 2/r - 2q$ . Hence if  $r = 2$ ,  $\mu_H(U) = \mu_H(E)$  and the vector bundle  $E$  is not  $H$ -stable, while  $\mu_H(U) < \mu_H(E)$  if  $r \geq 3$ . Let  $\rho_1 : E \rightarrow E|X_1$  be the restriction map. As above we see that  $\mu_H(\text{Ker}(\rho_1)) < \mu_H(E)$  for all  $r \geq 2$ .

(b) The vector bundle  $E|X_1$  is stable ([1], Proposition 2.3).

(c) Here assume  $r = 2$ . We checked in part (a) that  $E$  is not  $H$ -stable. Assume that  $E$  is not  $H$ -semistable and take a proper subsheaf  $G$  of  $E$  with maximal  $H$ -slope. Hence  $\mu_H(G) > \mu_H(E)$ . Let  $r_i$  be the rank of  $G$  at a general point of  $X_i$ . Thus  $0 \leq r_1 \leq 2$ ,  $0 \leq r_2 \leq 2$ , and  $1 \leq r_1 + r_2 \leq 3$ . By part (a) we have  $r_1 > 0$  and  $r_2 > 0$ . First assume  $r_1 = r_2 = 1$ . Set  $G' := G \cap F$ . If  $G = G'$ , then  $\mu_H(G) = \mu_H(G') \leq \mu_H(F) < \mu_H(E)$ , contradiction. Now assume  $G \neq G'$ . Hence  $\mu_H(G) = \mu_H(G') + 1/2$ . If  $\mu_H(G') < \mu_H(F)$ , then  $\mu_H(G') \leq \mu_H(F) - 1/2$  and hence  $\mu_H(G) \leq \mu_H(E)$ , contradiction. Now assume  $\mu_H(G') = \mu_H(F)$ . Since  $F$  is  $H$ -polystable with  $L_1$  and  $L_2$  as its indecomposable factors, either  $G' \cong L_1$  or  $G' \cong L_2$ . However, since  $L_1$  and  $L_2$  are not isomorphic,  $F$  has only two  $H$ -destabilizing subsheaves. For a general positive elementary transformations, each of them remains saturated in  $E$ . Hence  $G' = G$ , contradiction. Now assume  $(r_1, r_2) = (1, 2)$ . Hence  $E/G$  is a line bundle on  $X_1$ , which is a quotient of  $E|X_1$ . Hence  $\chi(E/G) \geq k + 2 - q$  (step (b)). Hence  $\chi(G) \leq k - q$ . Thus  $\mu_H(G) < \mu_H(E)$ , contradiction. Now assume  $(r_1, r_2) = (2, 1)$ . Hence  $E/G$  is a line bundle on  $X_2$ , which is a quotient of  $E|X_2$ . Hence  $\mu_H(E/G) \geq \mu_H(U) = \mu_H(E)$  (step (a)), contradiction.

(d) By step (c) we may assume  $r \geq 3$ .  $F$  may be seen as a subsheaf of  $E$

such that  $E/F$  is the length 1 skyscraper sheaf  $\mathbb{K}_{Q_1}$  supported by  $Q_1$ . Hence  $\mu_H(E) = \mu_H(F) + 1/r$ . Assume that  $E$  is not  $H$ -stable and take a proper subsheaf  $G$  of  $E$  with maximal  $H$ -slope. The maximality of  $\mu_H(G)$  implies that  $G$  is saturated in  $E$ , i.e.  $E/G$  has depth 1. Let  $r_i$ ,  $i = 1, 2$ , be the rank of  $G$  at a general point of  $X_i$ . Taking as  $G$  a proper subsheaf with maximal  $H$ -slope and with minimal  $r_1 + r_2$  we may assume that  $G$  is  $H$ -stable. Since  $G$  is a proper saturated subsheaf of  $E$ ,  $1 \leq r_1 + r_2 \leq 2r - 1$ . Set  $G' := G \cap E$ . Since  $F$  is  $H$ -semistable and  $\mu_H(G) \geq \mu_H(E) > \mu_H(F)$ , we have  $G' \neq G$ . Hence  $G/G' \cong \mathbb{K}_{Q_1}$ . Hence  $\mu_H(G) = \mu_H(G') + 2/(r_1 + r_2)$ . The semistability of  $F$  gives  $\mu_H(G') \leq \mu_H(F)$ . Since  $E|_{X_2} = F|_{X_1}$ , we have  $r_1 > 0$ . Set  $F' := \bigoplus_{j=1}^{r-1} L_j$ . Let  $E'$  be the general vector bundle on  $X$  obtained from  $F'$  making a positive elementary transformation supported by  $Q_1$ . Set  $E'' := E' \oplus L_r$ . By step (c) and induction on  $r$  we may assume that  $E'$  is  $H$ -semistable. Hence every subsheaf of  $E''$  has  $H$ -slope at most  $\mu_H(E'') = 1 + (r-1)k + 1 - 2q$ . Since  $E''$  is obtained from  $E$  making a positive elementary transformation supported by  $Q_1$ , while  $E'$  is obtained from  $E$  making a general positive elementary transformation supported by  $Q_1$ ,  $\mu_H(G) \leq 1/(r-1) + 2k + 1 - 2q$ . First assume  $\mu_H(G') = \mu_H(F)$ . Since  $F$  is  $H$ -polystable and  $(r_1, r_2) \neq (r, r)$ ,  $G'$  is a proper factor of  $F$ . Since the line bundles  $L_j$ ,  $1 \leq j \leq r$ , are pairwise non-isomorphic,  $F$  has only finitely many direct factors (not just finitely many isomorphism classes of direct factors, as obvious by Krull-Schmidt theorem). The generality of the positive elementary transformation giving  $E$  shows that every proper direct factor of  $F$  is saturated in  $E$ . Since  $G' \neq G$ , we got a contradiction. Hence  $\mu_H(G') < \mu_H(F) = 2k + 1 - 2q$ . Since  $\mu_H(F)$  is an integer and  $G'$  has multirank  $(r_1, r_2)$ , we get  $\mu_H(G') \leq 2k + 1 - 2q - 1/(r_1 + r_2)$ . Hence  $\mu_H(G) \leq 2k + 1 - 2q + 1/(r_1 + r_2)$ . Since  $\mu_H(G) \geq \mu_H(E) = 2k + 1 - 2q + 1/r$ , we get  $r_1 + r_2 \leq r$ . Let  $u_j : F \rightarrow L_j$ ,  $1 \leq j \leq r$ , denote the projection on the  $j$ -th factor. Let  $A$  be a non-zero subsheaf of  $G'$  with maximal  $H$ -slope. Hence  $\mu_H(A) \geq \mu_H(G')$  with strict inequality if  $G'$  is not  $H$ -semistable. Let  $(c_1, c_2)$  be the multirank of  $A$ . Among all such subsheaves we take one for which the integer  $c_1 + c_2$  is minimal. With this assumption  $A$  is  $H$ -stable. Since  $G'$  is a subsheaf of  $F$ , there is  $j \in \{1, \dots, r\}$  such that  $u_j(A) \neq 0$ . Since  $A$  is stable, either  $A \cong L_j$  and  $u_j|_A : A \rightarrow L_j$  is an isomorphism, or  $\mu_H(A) < \mu_H(L_j) = 2k + 1 - 2q$  and  $u_j(A)$  is a proper subsheaf of  $L_j$ .

(e) Here we assume that  $u_j(A)$  is a proper subsheaf of  $L_j$  and call  $(b_1, b_2)$  its multirank. We have  $(b_1, b_2) \in \{(1, 1), (1, 0), (0, 1)\}$ . First assume  $(b_1, b_2) = (1, 1)$ . Since  $u_j(A)$  is a proper subsheaf of  $L_j$ , we have  $\mu(u_j(A)) \leq 2k - 2q$ . Since  $A$  is  $H$ -stable, we get  $\mu_H(A) \leq 2k - 2q$ . Hence  $\mu_H(G) = 1/(r_1 + r_2) + \mu_H(G') \leq 1/(r_1 + r_2) + \mu_H(A) \leq 1/(r_1 + r_2) + 2k + 1 - 2q < \mu_H(E)$ , contradiction. If

$(b_1, b_2) \in \{(0, 1), (1, 0)\}$ , then the same proof works using Lemma 2.

(f) Now assume  $u_j : |A : A \rightarrow L_j$  is an isomorphism, the uniqueness of the inclusion  $L_j \hookrightarrow F$  gives that  $L_j$  is a direct factor of  $G'$ , say  $G' = G'' \otimes L_j$ . Since  $L_j$  is saturated in  $E$ , we have  $G'' \neq 0$ . Let  $B \subseteq \{1, \dots, r\}$  denote the set of all  $j \in \{1, \dots, r\}$  such that  $L_j$  is a direct factor of  $G'$ . Set  $b := \sharp(B)$ . We saw that  $b > 0$ . Obviously,  $b \leq \min\{r_1, r_2\}$ . Hence  $1 \leq b < r$ . Now we take  $R_{i,j} = M_i(P_{i,j})$ . The set  $B$  may vary with the choice of the points. For general points the integer  $b$  is fixed. Hence it is fixed the subset  $B$  of  $\{1, \dots, r\}$ . Since  $X_1^r \times X_2^r$  is irreducible,  $B \neq \emptyset$  and  $B \neq \{1, \dots, r\}$ , we get a contradiction.  $\square$

**Proposition 3.** *Let  $X$  be a nodal projective curve with two irreducible components, say  $X = X_1 \cup X_2$ , such that  $\sharp(X_1 \cap X_2) = 1$ , say  $\{P\} = X_1 \cap X_2$ . Assume  $q := p_a(X_1) = p_a(X_2) > 0$ . Fix an integer  $k > 0$ , 2 pairwise non-isomorphic line bundles  $R_{1,j} \in \text{Pic}^k(X_1)$ ,  $j = 1, 2$ , 2 pairwise non-isomorphic line bundles  $R_{2,j} \in \text{Pic}^k(X_2)$ ,  $j = 1, 2$ , and  $Q_i \in \text{Pic}^0(X_i \setminus \{P\})$ ,  $i = 1, 2$ . Let  $L_j$  be the only line bundle on  $X$  such that  $L_j|_{X_1} \cong R_{1,j}$  and  $L_j|_{X_2} \cong R_{2,j}$ . Set  $F := L_1 \oplus L_2$ . Let  $E$  be the general vector bundle on  $X$  obtained from  $F$  making a general positive elementary transformation supported by  $Q_1$  and a general positive elementary transformation supported by  $Q_2$ . Then  $E$  is  $\omega_X$ -stable.*

*Proof.* Since  $p_A(X_1) = p_a(X_2)$ , the  $\omega_X$ -polarization is obtained taking the polarization  $H$  determined by the rational numbers  $a_1 := 1/2$  and  $a_2 := 1/2$  in [5], p. 153. Each line bundle  $L_j$  exists and it is unique, up to isomorphisms (Remark 2). Each  $L_j$  is  $\omega_X$ -stable (Remark 3). Since  $\mu_H(L_j) = \mu_H(L_h)$  for all  $j, h \in \{1, \dots, r\}$ ,  $F$  is  $H$ -polystable and its indecomposable factors are pairwise non-isomorphic stable line bundles with the same  $H$ -slope. Hence  $F$  is  $H$ -semistable. Set  $M_1 := L_1(Q_1)$ ,  $M_2 := L_2(Q_2)$  and  $E'' := M_1 \oplus M_2$ .  $M_1$  has multidegree  $(k + 1, k)$ , while  $M_2$  has multidegree  $(k, k + 1)$ . Hence  $M_1$  and  $M_2$  are  $H$ -semistable (Remark 3). Note that  $\mu_H(M_1) = \mu_H(M_2)$ . Since  $M_1$  and  $M_2$  have different multidegrees, they are not isomorphic. Hence  $E''$  is  $H$ -polystable and its indecomposable factors are pairwise non-isomorphic. Hence it has only two proper subsheaves with  $H$ -slope  $\mu_H(E'') = 2k + 2 - 2q$ :  $M_1 \oplus \{0\}$  and  $\{0\} \oplus M_2$ . Since  $E''$  is obtained from  $F$  making two positive elementary transformations, one supported by  $Q_1$  and one supported by  $Q_2$ , we get that  $E$  is  $H$ -semistable and, if not  $H$ -stable, at most finitely many proper subsheaves of it have slope  $\mu_H(E)$ , all of them having multidegree  $(1, 1)$ . Assume that  $E$  is not  $H$ -stable and take a proper subsheaf  $G$  of  $E$  with maximal  $H$ -slope. We just saw that  $G$  has type  $(1, 1)$  and that  $\mu_H(G) = \mu_H(E) = 2k + 1 - 2q$ . The

generality of the positive elementary transformations used to get  $E$  from  $F$  gives that  $E$  is indecomposable. Hence  $G$  is unique. Let  $E_i, i = 1, 2$ , be the vector bundle obtained from  $F$  making the same positive elementary transformation at  $Q_i$  we did to get  $E$  from  $F$ . Theorem 1 shows that each  $E_i$  is  $H$ -semistable. Set  $G_i := G \cap E_i, i = 1, 2$ . Hence  $G' := G \cap F = G_1 \cap G_2$ . Since  $\mu_H(G_i) \leq \mu_H(E_i)$ , we get  $\deg(G_i) = \deg(G) - 1 = 2k$ . Hence  $\deg(G \cap F) = 2k - 1$ . Since  $L_1$  and  $L_2$  are not isomorphic, it is easy to check that they are saturated in  $E$ . Hence  $G' \notin \{L_1, L_2\}$ . Hence the inclusion  $G' \hookrightarrow F$  gives two non-zero maps  $v_i : G' \rightarrow L_i, i = 1, 2$ . First assume that  $G$  is not locally free. Hence  $G'$  is not locally free. Since  $v_i \neq 0$  and  $G'$  has multirank  $(1, 1)$ ,  $G' \cong \mathcal{I}_P \otimes L_i$ . Since  $L_1$  and  $L_2$  are not isomorphic, this is absurd. Hence  $G$  and  $G'$  are locally free. The germ at  $P$  of any non-locally free depth 1 sheaf with pure rank 1 is isomorphic to the maximal ideal  $m_{X,P}$  of the local ring  $\mathcal{O}_{X,P}$ . Fix any two generators of  $m_{X,P}$  as an  $\mathcal{O}_{X,P}$ -module and take the associated surjection  $\rho : \mathcal{O}_{X,P}^{\oplus 2} \rightarrow m_{X,P}$ . Since  $\text{Ker}(\rho)$  is not a free  $\mathcal{O}_{X,P}$ -module,  $X \setminus \{P\}$  is smooth and the line bundle  $G$  is saturated in  $E$ ,  $E/G$  is a line bundle. One of the line bundles  $G, E/G$  must have multidegree  $(k + 1, k)$ , while the other one has multidegree  $(k, k + 1)$ . Since  $E$  is indecomposable, exchanging the roles of  $X_1$  and  $X_2$  we get a contradiction.  $\square$

*Proof of Corollary 1.* Apply Theorem 1 and Lemma 1.  $\square$

*Proof of Corollary 2.* If  $c = k + 1$ , then apply Proposition 3. If  $c \geq k + 2$ , then apply Proposition 3 to the line bundles  $R_{i,j}((c - k - 1)P_i)$  with  $P_i$  general in  $X_i$  and notice that if  $A$  is a subsheaf of a coherent sheaf  $B$  on  $X$ , then  $h^0(X, B) \geq h^0(X, A)$ .  $\square$

**Proposition 4.** *Let  $X$  be a nodal projective curve with two irreducible components, say  $X = X_1 \cup X_2$ , such that  $\sharp(X_1 \cap X_2)$ , say  $\{P\} = X_1 \cap X_2$ . Assume  $p_a(X_1) = p_a(X_2) > 0$ . Fix an integer  $k > 0$ , 2 non-isomorphic line bundles  $R_{1,j} \in \text{Pic}^k(X_1), j = 1, 2$ , and 2 non-isomorphic line bundles  $R_{2,j} \in \text{Pic}^k(X_2), j = 1, 2$ . Set  $F := L_1 \oplus L_2$ . Let  $E$  be a depth 1 sheaf on  $X$  fitting in an exact sequence*

$$0 \rightarrow F \rightarrow E \rightarrow \mathbb{K}_P \rightarrow 0 \tag{3}$$

*Then  $E$  exists, it has pure rank 2 and it is  $\omega_X$ -semistable.*

*Proof.* The existence of  $E$  is obvious, because it exists locally and the local-to-global spectral sequence of the Ext-functors gives its global existence. Obviously,  $E$  has pure rank 2. Since  $p_A(X_1) = p_a(X_2)$ , the  $\omega_X$ -polarization is obtained taking the polarization  $H$  determined by the rational numbers  $a_1 := 1/2$



and  $a_2 := 1/2$ . Assume that  $E$  is not  $H$ -semistable and take a proper subsheaf  $G$  of  $E$  with maximal  $H$ -slope. Let  $(b_1, b_2)$  be the multirank of  $G$ . Taking  $b_1 + b_2$  minimal among all proper subsheaves with  $H$ -slope  $\mu_H(G)$  we may assume that  $G$  is  $H$ -stable. We have  $(b_1, b_2) \in \{(1, 0), (2, 0), (1, 1), (0, 1), (0, 2), (1, 2)\}$ . Set  $G' := G \cap F$ . Since  $F$  is  $H$ -semistable and  $\mu_H(G) = (2k + 3 - 2q)/2 = 1/2 + \mu_H(F)$ ,  $G' \neq G$ . Hence  $\mu_H(G) = \mu_H(G') + 2/(b_1 + b_2)$ . If  $\mu_H(G') < \mu_H(F)$ , then  $\mu_H(G') \leq \mu_H(F) - 1/(b_1 + b_2)$ , because  $\mu_H(F)$  is an integer. Hence the inequality  $\mu_H(G) \geq \mu_H(F) + 1/2$  gives  $b_1 + b_2 \leq 1$ , i.e.  $(b_1, b_2) \in \{(1, 0), (0, 1)\}$ . Use that any rank 1 quotient of  $E|_{X_i}$  has degree at least  $k + 1$ .  $\square$

Proposition 4 gives an obvious corollary related to the Brill-Noether theory of depth 1 sheaves on  $X$  with pure rank 2.

### Acknowledgements

The author was partially supported by MIUR and GNSAGA of INdAM (Italy).

### References

- [1] E. Ballico, Brill-Noether theory for vector bundles on projective curves, *Math. Proc. Camb. Phil. Soc.*, **124**, No. 3 (1998), 483-499.
- [2] L. Caporaso, A compactification of the universal Picard variety over the moduli space of stable curves, *J. Amer. Math. Soc.*, **7**, No. 3 (1994), 589-660.
- [3] M. Melo, Compactified Picard stacks over  $\overline{\mathcal{M}}_g$ , *ArXiv: math/0710.3008*; *Math. Z.*, To Appear.
- [4] R. Pandharipande, A compactification of the universal moduli space of slope-semistable vector bundles over  $\overline{\mathcal{M}}_g$ , *J. Amer. Math. Soc.*, **9**, No. 2 (1996), 425-471.
- [5] C. Seshadri, Fibrés vectoriels sur les courbes algébriques, *Astérisque*, **96** (1982).

