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# POSTULATION OF GENERAL UNIONS IN $\mathbb{P}^n, n \geq 4$ , OF A RATIONAL CURVE AND A FEW PLANES

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**Abstract:** Here we prove the existence of an integer  $\alpha \geq 0$  with the following property. Let  $X \subset \mathbb{P}^4$  be a general union of x planes and a degree y smooth rational cuve. Let  $k \geq 1$  be the minimal integer such that  $x\binom{k+2}{2} - x(x-1)/2 + ky + 1 \leq \binom{k+4}{4}$ . Assume  $x \leq k - \alpha$ . Then X has the expected postulation. We extend the result to  $\mathbb{P}^n$ ,  $n \geq 5$ , when the planes are either disjoint or contained in a 4-dimensional linear subspace.

## AMS Subject Classification: 14N05

**Key Words:** postulation, unions of planes, planes in  $\mathbb{P}^4$ 

# 1. Introduction

We will say that a union  $A \subset \mathbb{P}^4$  of finitely many planes is maximally disjoint if no line of  $\mathbb{P}^4$  is contained in at least 2 irreducible components of A and no point of  $\mathbb{P}^4$  is contained in at least 3 irreducible components of A. Thus if A is a maximally disjoint union of x planes, then  $\chi(\mathcal{O}_A(t)) = x \binom{t+2}{2} - x(x-1)/2$  for all  $t \in \mathbb{N}$ . Moreover  $h^0(A, \mathcal{O}_A(t)) = x \binom{t+2}{2} - x(x-1)/2$  and  $h^1(A, \mathcal{O}_A(t)) = 0$ for all  $t \ge x$  (if  $x \ge 2$  use x - 1 Mayer-Vietoris exact sequences, starting with a plane and adding at each step a new plane). Notice that a general union  $A \subset \mathbb{P}^4$  of finite many planes is maximally disjoint. Notice that  $h^0(B, \mathcal{O}_B(t)) =$  $\chi(\mathcal{O}_B(t)) = yt + 1$  for any smooth rational curve  $B \subset \mathbb{P}^n$ ,  $n \ge 3$ , such that  $\deg(B) = y$  and any integer  $t \ge 0$ . These numbers explain the integer kappearing in the following statements.

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**Theorem 1.** There is an integer  $\alpha \geq 0$  with the following properties. Fix non-negative integers x, y. Let  $X \subset \mathbb{P}^4$  be a general union of x planes and a degree y smooth rational curve. Let k be the minimal positive integer such that

$$\binom{k+2}{2} - x(x-1)/2 + ky + 1 \le \binom{k+4}{4}.$$
 (1)

Assume  $x \leq \max\{0, k - \alpha\}$ . Then  $h^0(\mathcal{I}_X(k-1)) = 0$  and  $h^1(\mathcal{I}_X(t)) = 0$  for all  $t \geq k$ .

**Theorem 2.** There is an integer  $\alpha_1 \geq 0$  with the following properties. Fix non-negative integers n, y, x such that  $n \geq 5$  and a 4-dimensional linear subspace  $M \subset \mathbb{P}^n$ . Let k be the minimal positive integer such that

$$x\binom{k+2}{2} - x(x-1)/2 + ky + 1 \le \binom{k+n}{n}.$$
 (2)

Assume  $x \leq k - \alpha_1$ . Let  $X \subset \mathbb{P}^n$  be a general union of a maximally disjoint union of x planes of M and a general degree y smooth rational curve of  $\mathbb{P}^n$ . Then  $h^0(\mathcal{I}_X(k-1)) = 0$  and  $h^1(\mathcal{I}_X(t)) = 0$  for all  $t \geq k$ .

**Theorem 3.** There is an integer  $\alpha_2 \ge 0$  with the following properties. Fix non-negative integers n, y, x, such that  $n \ge 5$ . Let k be the minimal positive integer such that

$$x\binom{k+2}{2} + ky + 1 \le \binom{k+n}{n}.$$
(3)

Assume  $x \leq k - \alpha_2$ . Let  $X \subset \mathbb{P}^n$  be a general union of x planes and a general degree y smooth rational curve of  $\mathbb{P}^n$ . Then  $h^0(\mathcal{I}_X(k-1)) = 0$  and  $h^1(\mathcal{I}_X(t)) = 0$  for all  $t \geq k$ .

Notice that in Theorems 2 and 3 the integers  $\alpha_1$  and  $\alpha_2$  are independent from *n*. Only numerical reasons in  $\mathbb{P}^5$  prevented us to check these two theorems with the integers  $\alpha_1 = \alpha_2 = 0$ .

A scheme X with the cohomology claimed in the statements of Theorems 1, 2 and 3 is usually said to have maximal rank or good postulation or the expected postulation. The condition  ${}^{*}h^{1}(\mathcal{I}_{X}(t)) = 0$  for all  $t \ge k$ " is equivalent to the condition  ${}^{*}h^{0}(\mathcal{I}_{X}(t)) = {t+n \choose n} - x {t+2 \choose 2} - y(t+1)$  for all  $t \ge k$ " (with n = 4 for Theorem 1). Of course, to check the condition  ${}^{*}h^{1}(\mathcal{I}_{X}(t)) = 0$  for all  $t \ge k$ " it is sufficient to prove  $h^{1}(\mathcal{I}_{X}(k)) = 0$  (e.g. use Castelnuovo-Mumford's Lemma). The integer k appearing in the statements of Theorems 1 and 2 is often called the critical value of X or the critical value of the pair (x, y).

This paper was stimulated by [3] and [4].

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# 2. Preliminaries and Proof of Theorem 1

We work over an algebraically closed field  $\mathbb{K}$  such that  $\operatorname{char}(\mathbb{K}) = 0$ .

**Remark 1.** Let X be any projective scheme and D any effective Cartier divisor of X. For any closed subscheme Z of X let  $\operatorname{Res}_D(Z)$  denote the residual scheme of Z with respect to D, i.e. the closed subscheme of X with  $\mathcal{I}_Z : \mathcal{I}_D$  as its ideal sheaf. For every  $L \in \operatorname{Pic}(X)$  we have the exact sequence

$$0 \to \mathcal{I}_{\operatorname{Res}_{D}(Z)} \otimes L(-D) \to \mathcal{I}_{Z} \otimes L \to \mathcal{I}_{Z \cap D, D} \otimes (L|D) \to 0.$$
(4)

From (4) we get

 $h^{i}(X, \mathcal{I}_{Z} \otimes L) \leq h^{i}(X, \mathcal{I}_{\operatorname{Res}_{D}(Z)} \otimes L(-D)) + h^{i}(D, \mathcal{I}_{Z \cap D, D} \otimes (L|D))$ for every integer  $i \geq 0$ .

Fix a hyperplane H of  $\mathbb{P}^n$ ,  $n \geq 4$ . For any  $P \in \mathbb{P}^n$  and every integral  $C \subset \mathbb{P}^n$ such that  $P \in Creg$  let  $\chi_C(P)$  denote the first infinitesimal neighborhood of P in C, i.e. the closed subscheme of C with  $(\mathcal{I}_{P,C})^2$  as its ideal sheaf. We have  $\chi_C(P)_{red} = \{P\}$  and  $\operatorname{length}(\chi_C(P)) = \dim(C) + 1$ . Notice that  $\chi_T(P) = \chi_{T_PC}(P)$ , where  $T_PC \subset \mathbb{P}^n$  is the embedded tangent space of C at its smooth point P.

**Remark 2.** Take n = 4. Fix a plane  $U \subset H$  and a degree y curve  $B \subset H$  intersecting transversally U. For every  $P \in U \cap B$  choose a hyperplane  $H_P \subset \mathbb{P}^4$  such that  $H_P$  contains the tangent line  $T_PB$  to B at U. Set  $\chi := \bigcup_{P \in B \cap U} \chi_{H_P}(P)$  and  $Y := U \cup B \cup \chi$ . Degenerating a general plane of  $\mathbb{P}^4$  to U we get the existence of a flat family of closed subschemes of  $\mathbb{P}^4$  whose special fiber is Y and whose general fiber is the disjoint union of B and a plane. We may also find a flat degeneration of Y whose general fiber is the disjoint union of a plane and a curve projectively equivalent to B.

We introduce the following integers  $a_{n,k,x}$ ,  $b_{n,k,x}$ ,  $u_{n,k,z}$  and  $v_{n,k,z}$ .

$$x\binom{k+2}{2} - x(x-1)/2 + k \cdot a_{n,k,x} + 1 + b_{n,k,x} = \binom{n+4}{n}, \ 0 \le b_{n,k,x} \le k-1, \ (5)$$

$$(k+2) \qquad (n+k)$$

$$z\binom{k+2}{2} + k \cdot u_{n,k,z} + v_{n,k,z} = \binom{n+k}{n}, \ 0 \le v_{n,k,z} \le k-1.$$
(6)

Taking the difference of the equation in (5) with the same equation for the integer x' := x and k' := k - 1 we get the following equality:

$$k(x + a_{n,k,x} - a_{n,k-1,x}) + a_{n,k,x-1} + b_{n,k,x} - b_{n,k-1,x} = \binom{k+n-1}{n-1}.$$
 (7)

Taking the difference of the equation in (5) for with the same equation for the pair (k', x') := (k - 1, x - 1) we get the following equality:

$$\binom{k+2}{2} + 1 - x + k(x - 1 + a_{n,k,n} - a_{n,k-1,x-1}) + a_{n,k-1,x-1} + b_{n,k,x} - b_{n,k-1,x-1} = \binom{k+n-1}{n-1}.$$
 (8)

To prove Theorem 1 we define the following Assertion  $U_k(z)$ .

Assertion  $U_k(z)$ .  $k \ge 1$ ,  $z \ge 0$  and  $z\binom{k+2}{2} - z(z-1)/2 - k^2 - 1$ : For all integers  $e \ge 0$  and y > 0 such that

$$z\binom{k+2}{2} - z(z-1)/2 + ky + 1 + (k+1)e \le \binom{k+4}{4}, \quad 0 \le e \le k-1, \quad (9)$$

a general union  $X \subset \mathbb{P}^4$  of z planes, a degree y smooth rational curve and e disjoint lines satisfies  $h^1(\mathcal{I}_X(k)) = 0$ .

For any y, e as in (9) call  $\Delta_{k,z}(y, e)$  the difference between the right hand side and the left hand side of the equation in (9). Thus  $\Delta_{k,z}(y, e) \ge 0$ . In the set-up of  $U_k(z)$  we usually write  $X = A \sqcup B \sqcup D$ , where  $A \cap B = A \cap D = B \cap D = \emptyset$ , A is a maximally disjoint union of planes, B is a smooth rational curve and Dis a disjoint union of lines.

**Lemma 1.** There is an integer  $\delta \ge 0$  with the following property. For all integers k, z such that  $k - \delta \ge z \ge 0$  Assertion  $U_k(z)$  is true.

Proof. Fix an integer  $\beta \geq 2$ . The case z = 0 is true (see [2]). Hence we may assume z > 0. Thus  $k \geq 3$ . We assume  $U_{k'}(z')$  for all  $k' \leq k - 1$ and all  $z' \leq k' - \beta$  and look at conditions on  $\beta$  such that  $U_k(z)$  is true for all  $z \leq k - \beta - 1$  (this will always be satisfied) or for all  $z \leq k - \beta$  (this will be true at least if  $\beta$  is large, and here "large" means "large independently of k"). The lemma will follow by induction on k taking  $\alpha = \beta$  with  $\beta$  large enough to do the second inductive step for all large k.

(a) Here we assume  $z \leq k - \beta - 1$ . Hence  $U_{k-1}(z)$  is true. If  $\Delta_{k-1,z}(y,e) \geq \binom{k+4}{4} - \binom{k+3}{4} = \binom{k+3}{3}$ , then we may take a solution coming from  $U_{k-1}(z)$  (use Castelnuovo-Mumford's Lemma). Hence we may assume  $\Delta_{k-1,z}(y,e) < 0$ , i.e. either  $y + e > a_{4,k-1,z}$  or  $y = a_{4,k-1,z}$  and  $e > b_{4,k-1,z}$ .

First assume  $e \ge b_{4,k-1,z}$  and  $y \ge a_{4,k-1,z} - b_{4,k-1,z}$ . Let  $Y = A \sqcup B \sqcup D$  be a solution of  $U_{k-1}(z)$  for the integers  $y_1 := a_{4,k-3,z} - b_{4,k-3,z}$  and  $e_1 := b_{4,k-3,z}$ . Thus  $h^i(\mathcal{I}_Y(k-1)) = 0$ , i = 0, 1. Let  $E = B_1 \sqcup D_1 \subset H$  be a general union of a smooth rational normal curve  $B_1$  of degree  $y - a_{4,k-1,z} + b_{4,k-1,z}$  and  $e - b_{4,k-1,z}$ lines, with the only restriction that  $B_1$  contains exactly one point of B. Thus  $B \cup B_1$  is a flat limit of a flat family of smooth rational curves with degree y. Set  $X := Y \cup E$ . Obviously  $\operatorname{Res}_H(X) = H$ . The scheme  $Y \cap H$  is a general union of  $z + y - a_{4,k-1,z} + b_{4,k-1,z}$  lines, and  $a_{4,k-1,z} - 1$  points. By (7) and (9) we have  $\chi(\mathcal{O}_{X \cap H}(k)) \leq {\binom{k+3}{3}}$ . Hence  $h^1(H, \mathcal{I}_{X \cap H}(k)) = 0$  (see [1]). Apply Remark 1.

Now assume  $e \ge b_{4,k-1,z}$  and  $y < a_{4,k-1,z} + b_{4,k-1,z}$ . We make the same construction taking the curve  $Y' = A \sqcup B' \sqcup D$  instead of the curve  $Y = A \sqcup B \cup D$ , where B' is a general smooth rational curve of degree y and  $B_1 = \emptyset$ .

Now assume  $e < b_{4,k-1,z}$ . Since  $\Delta_{k-1,z}(y,e) > 0$ , we may assume  $y \ge a_{4,k-1,z} - e$ . Let  $B_2 \subset H$  be a general smooth rational curve containing exactly one point of  $B \cap H$  and one point of  $b_{4,k-1,z} - e$  lines of D. Set  $X := Y \cup B_2$ . Since a general union in H of z lines and a general smooth rational curve of any degree has maximal rank (see [1]), then (7) gives  $h^1(H, \mathcal{I}_{X \cap H}(k)) = 0$ , concluding this case.

(b) Here we assume  $z = k - \beta$ . Fix y, e satisfying (9) for  $z = k - \beta$ . Fix a general union  $A \subset \mathbb{P}^4$  of  $k - \beta - 1$  planes and a general plane  $A' \subset H$ . Thus  $A' \cup A$  is a maximally disjoint union of  $k - \beta$  planes.

(b1) Here we assume  $y + e \ge a_{4,k-1,k-\beta-1}$ . Let u be the minimal integer such that

$$(k-\beta-1)\binom{k+1}{2} - (k-\beta-1)(k-\beta-2)/2 + u + k(y+e-u) + 1 \le \binom{k+3}{4}.$$
 (10)  
Thus  $u = \left\lceil \binom{k+3}{4} - 1 - k(y+e) - (k-\beta-1)\binom{k+3}{4} + (k-\beta-1)(k-\beta-2)/2 \right\rceil / (k-1) \right\rfloor.$ 

Let f be the difference between the right hand and the left hand side of (10). The maximality of u gives  $0 \le f \le k-2$ . First assume  $y + e - u \ge f + 1$ . Let  $Y \subset \mathbb{P}^4$  be a general union of A, a smooth rational curve of degree y + e - u - fand f lines. Set  $Y_1 := Y \setminus A$ . Since  $u \ge 0$ , the inductive assumption gives  $h^1(\mathcal{I}_Y(k-1)) = 0$ . Hence (10) gives  $h^0(\mathcal{I}_Y(k-1)) = u$ .

**Claim.** For general Y we have  $h^0(\mathcal{I}_{Y \cup A'}(k-1)) = 0$ .

Proof of the Claim. We specialize  $A \cup A'$  to  $A'' \cup A' \cup A_1 \cup \eta$ , where A''is a general union of  $k - \beta - 2$  planes,  $A_1$  is a general plane in H and  $\eta$  is some nilpotent structure supported by the line  $A' \cap A_1$ . By semicontinuity it is sufficient to prove  $h^0(\mathcal{I}_{Y_1 \cup A'' \cup A' \cup A'' \cup \eta}(k-1)) = 0$ . Thus it is sufficient to prove  $h^0(\mathcal{I}_{Y_1 \cup A'' \cup A'}(k-1)) = 0$ . We specialize  $Y_1$  to a curve  $Y_2 = E_1 \cup E_2$ with each  $E_i$  union of a smooth rational curve and disjoint lines,  $E_2 \subset H$ , no irreducible component of  $E_1$  is contained in H,  $h^0(H, \mathcal{I}_{A' \cup A_1 \cup E_2}(k-1)) = 0$ and  $h^0(\mathcal{I}_{A'' \cup E_1}(k-2)) = 0$ . Since  $\operatorname{Res}_H(A'' \cup A' \cup A_2 \cup E_1 \cup E_2) = A'' \cup E_1$ , if we may find such a degeneration, then the claim is true.  $\Box$  To check the existence of the degeneration we use that  $\beta$  is sufficiently large. Since  $a_{4,k,w}$  has order  $k^3/24$  for  $k \gg 0$  and all  $w \le k$ , we have  $a_{4,k,w} - a_{4,k-1,w} \sim k^2/8$  for all  $w \le k$  and  $k \gg 0$ . Thus we get (for any  $\beta \ge 0$ )  $u \le k^2/8$ . For small  $\Delta_{k,k-\beta-1}(y,e)$  (say,  $\Delta_{k,k-\beta-1}(y,e) \le 10k$ ) the integer u depends essentially (up to lower terms in k) only from k. Hence we write it has  $u_k$ . In any case we have  $u \le u^2/8$  and  $u_{k-1} - u_{k-2} \le k/4$ . Since  $h^0(H, \mathcal{I}_{A'\cup A_1\cup E_2}(k-1)) = h^0(H, \mathcal{I}_{E_2}(k-3))$ , we may take as  $E_2$  a general smooth rational curve of degree  $\ge \lceil \binom{k}{3} - 1 / (k-3) \rfloor \sim k^2/6$ . We use the inductive assumption to get  $E_1$ . We use that  $\lfloor \binom{k+1}{3} / (k-1) \rfloor - \lceil \binom{k}{3} - 1 / (k-3) \rfloor \sim 2k/3 > u_{k-1} - u_{k-2} + 1$ .

Here we also assume  $u \ge k$ . Hence  $u \ge \max\{e - f, f - e\}$ . First assume  $e \geq f$ . Let  $B_4 \cup D_4 \subset H$  be a general union of a smooth rational curve  $B_4$  of degree u - e + f and e - f lines. Since  $B_4 \cup D_4$  is general in H, it intersects transversally A'. Set  $S := A' \cap (B_4 \cup D_4)$ . For each  $P \in S$  take a 3-dimensional linear subspace  $H_P \neq H$  containing the tangent line in P of the connected component of  $B_4 \cup D$ . Set  $\chi := \bigcup_{P \in S} \chi_{H_P}(P)$  and  $X := Y \cup A' \cup B_4 \cup D_4 \cup \chi$ . Remark 2 gives that X is a flat limit of a flat family of disjoint unions of  $A \cup A'$ , a smooth rational curve of degree y and e disjoint lines. Since  $X \cap H$  is a general union of A', the  $k - \beta - 1$  general lines  $A \cap H$ ,  $B_4 \cup D_4$  and the general points  $(H \setminus (B_4 \cup D_4)) \cap Y_1$ ,  $h^1(H, \mathcal{I}_{X \cap H}(k)) = 0$ . Hence it is sufficient to prove  $h^1(H, \mathcal{I}_{\operatorname{Res}_H(X)}(k-1)) = 0$ . We have  $\operatorname{Res}_H(X) = Y \cup S$ . Since  $B_4 \cup D_4$  is general, S is a general subset of A' with cardinality u. Since  $h^1(\mathcal{I}_Y(k-1)) = 0$ ,  $h^0(\mathcal{I}_Y(k-1)) = u$  and S is general in A', the claim gives  $h^i(\mathcal{I}_{Y\cup S}(k-1)) = 0$ , i = 0, 1, concluding the proof in this case. If e < f we take  $D_4 = \emptyset$  and take as  $B_4$  a general smooth rational curve of H with degree u with the only restriction that it contains exactly one point of each connected component of  $Y_1$ .

(b2) Here we assume that either  $y+e \ge a_{4,k-1,k-\beta-1}$  or u < k. In both cases  $\Delta_{k,k-\beta-2}(y,e)$  is very large (of order  $k^3$ ) and hence we may use a solution of  $U_{k-1}(k-\beta-2)$ , say associated to  $e' = \min\{e, k-2\}$  and  $y' = a_{4,k-1,k-\beta-2} - 3k$  with  $\Delta_{k,k-\beta-2}(y,e) - k^2 \le \Delta_{k-1,k-\beta-2}(y',e') \le \Delta_{k,k-\beta-2}(y,e) - k$ . The new integer u' satisfies  $u' \ge k$ .

Proof of Theorem 1. Set  $\alpha := \delta + 2$ , where  $\delta$  is the non-negative integer whose existence was proved in Lemma 1. Fix x, y with critical value k and assume  $x \leq k - \alpha$ . Let  $X \subset \mathbb{P}^4$  be a general union of x planes and of a general smooth rational curve of degree y. Since X (i.e. the triple (4, z, y)) has critical value k, we have  $a_{4,k-1,x} < y \leq a_{4,k,x}$ . To prove Theorem 1 it is sufficient to prove  $h^0(\mathcal{I}_X(k-1)) = 0$  and  $h^1(\mathcal{I}_X(k)) = 0$ .

(a) Here we prove  $h^0(\mathcal{I}_X(k-1)) = 0$ . Take a solution  $Y = A \sqcup B \sqcup D$  of  $U_{k-2}(x)$  for the integers  $(y', e') := (a_{4,k-2,x} - b_{4,k-2,x}, b_{4,k-2,x})$ . Hence  $h^i(\mathcal{I}_Y(k-1)) = (a_{4,k-2,x} - b_{4,k-2,x})$ .

2)) = 0, i = 0, 1. Then we adapt part (a) of the proof of Lemma 1, i.e. the easy part of the proof, taking as target the triple (k', y', e') = (k - 1, y, 0) and with  $h^0$  instead of  $h^1$ .

(b) Here we prove  $h^1(\mathcal{I}_X(k)) = 0$ . Apply Assertion  $U_k(x)$  with respect to the pair (y', e') = (y, 0).

Proof of Theorem 2. Fix a hyperplane H of  $\mathbb{P}^n$  such that  $M \subseteq H$ . For all integers n, k, x such that  $n \geq 5$  and  $k \geq x \geq 0$  we define the following Assertion  $V_{n,k}(x)$ .

Assertion  $V_{n,k}(x)$ . Let  $Y \subset \mathbb{P}^n$  be a general union of x planes of M, a smooth rational curve of degree  $a_{n,k,x} - b_{n,k,x}$  and  $b_{n,k,x}$  disjoint lines. Then  $h^i(\mathcal{I}_Y(k)) = 0, i = 0, 1.$ 

In the case n = 4 we required more: Assertion  $V_{4,k}(x)$  is just the part of Assertion  $U_k(x)$  concerning the pair  $(y, e) := (a_{n,k,x} - b_{n,k,x}, b_{n,k,x})$ . The proof of Lemma 1 gives the existence of an integer  $\delta_1$  such that  $V_{n,k}(x)$  is true for all n, k, x such that  $0 \le x \le k - \delta_1$ ; we need both parts (a) and (b) of the proof of Lemma 1, but in the modification of part (b) no claim is needed, because now  $A' \cap (B_4 \cup D_4) = \emptyset$ ; the integer  $\delta_1$  substitute several numerical lemmas concerning the integers  $a_{n,k,x}, a_{n,k,x} - a_{n,k-1,x}, a_{n,k,x} - a_{n,k-1,x-1}, a_{n-1,k-1,x}$ and  $a_{n-1,k-1,x-1}$  with asymptotic extimates for the same integers. We are unable to do the numerical lemmas. Set  $\alpha_1 := \delta_1 + 2$ . Fix non-negative integers n, k, x, y such that  $n \ge 5$ , (n, x, y) has critical value k and  $x \le k - \alpha_1$ . Let  $X \subset \mathbb{P}^n$  be a general union of x planes of M and of a general smooth rational curve of degree y. To prove Theorem 2 it is sufficient to prove  $h^0(\mathcal{I}_X(k-1)) = 0$ and  $h^1(\mathcal{I}_X(k)) = 0$ .

(a) Here we prove  $h^0(\mathcal{I}_X(k-1)) = 0$ . Since (n, x, y) has critical value k, we have  $y > a_{n,k-1,x}$ . Since  $x \leq k - \alpha_1 = k - \delta_1 - 2$ , we may use  $V_{n,k-1}(x)$ . Let  $T = A \sqcup B_1 \sqcup D_1$  be a solution of  $V_{n,k-2}(x)$ . Hence  $h^i(\mathcal{I}_T(k-2)) = 0$ , i = 0, 1. For large  $\delta_1$  the integer k is large and hence  $a_{n,k-1,x} - a_{n,k-2,x} \geq k$  for all  $x \leq k$  (use (5) and (7). Hence we may add to T a general smooth rational curve  $B_4$  of H, with the only restriction that it contains  $D_1 \cap H$  and exactly one point of  $B_1 \cap H$ . With this modification we copy part (a) of the proof of Lemma 1.

(b) Here we prove  $h^1(\mathcal{I}_X(k)) = 0$ . For this part it is sufficient to do the case  $y = a_{n,k,x}$ . Let  $A \sqcup B \sqcup D$  be a solution of  $V_{n,k-1}(x)$ . Hence  $h^i(\mathcal{I}_Y(k-1)) = 0$ , i = 0, 1. Modify the proof of  $V_{n,k-1}(x) \Longrightarrow V_{n,k-1}(x)$  to arrive at the end with a smooth rational curve and no line, i.e. add in H a general smooth rational curve  $B_4$  of degree  $a_{n,k,x} - a_{n-1,k,x}$  containing all points of  $D_4 \cap H$  and exactly one point of  $B_4 \cap H$ . Here we use the inequality  $a_{n,k,x} - a_{n,k-1,x} \ge b_{n,k-1,x}$  to get  $B_4$  with this property. This inequality is true if  $a_{n,k,x} - a_{n,k-1,x} \ge k - 2$ .

The latter inequality is true for large k by (5) and (7), but only because the integer  $\alpha$  allowed us to omit finitely many critical value k.

Proof of Theorem 3. In the proof of Theorem 2 add at each step a general plane of H, instead of a general plane of M.

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