

THE SUM OF SUBTRACTION OF THE EIGENVALUES OF  
TWO SELF ADJOINT DIFFERENTIAL OPERATORS  
WITH UNBOUNDED OPERATOR COEFFICIENT

Özlem Baksi<sup>1 §</sup>, Yonca Sezer<sup>2</sup>, Serpil Karayel<sup>3</sup>

<sup>1,2,3</sup>Department of Mathematics

Faculty of Arts and Sciences

Yıldız Technical University

Davutpaşa, Istanbul, 34210, TURKEY

**Abstract:** In this work, a formula for the sum of the eigenvalues of two second order differential operators with unbounded operator coefficient is found.

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1. Introduction

Let  $H$  be a separable Hilbert space. Let us consider the following differential expression in the space  $H_1 = L_2(0, \pi; H)$

$$\ell_0(y) = -y''(x) + Ay(x).$$

Here, the operator  $A : D(A) \rightarrow H$  in the space  $H$  satisfies the conditions

$$A = A^* \geq I \quad A^{-1} \in \sigma_\infty(H).$$

Let  $\gamma_1 \leq \gamma_2 \leq \dots \leq \gamma_n \leq \dots$  be the eigenvalues of the operator  $A$  and  $\varphi_1, \varphi_2, \dots, \varphi_n, \dots$  be the orthonormal eigenvectors corresponding to these eigenvalues. Here, each eigenvalue is added according to its own algebraic multiplicity number.

Let  $D(L'_0)$  denote the set of the functions  $y(x)$  in the space  $H_1$  satisfying the conditions:

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§Correspondence author

1.  $y(x)$  has a continuous derivative of second order with respect to the norm in the space  $H$  in the interval  $[0, \pi]$ .
2.  $y(x)$  and  $Ay(x)$  are continuous with respect to the norm in the space  $H$  for every  $x \in [0, \pi]$ .
3.  $y'(0) = y(\pi) = 0$ .

The manifold  $D(L'_0)$  is dense in the space  $H_1$  and the operator  $L_0$  defined by  $L'_0 y = \ell_0(y)$  from  $D(L'_0)$  to  $H_1$  is symmetric. The eigenvalues of  $L'_0$  are

$$\left(k + \frac{1}{2}\right)^2 + \gamma_j \quad (k = 0, 1, 2, \dots \quad ; j = 1, 2, \dots)$$

and the orthonormal eigenvectors corresponding to these eigenvalues are

$$\sqrt{\frac{2}{\pi}} \cos\left(k + \frac{1}{2}\right)x\varphi_j \quad (k = 0, 1, 2, \dots \quad ; j = 1, 2, \dots)$$

respectively. Let us denote the closure of  $L'_0$  by  $L_0$ . Since the eigenvectors system  $\left\{\cos\left(k + \frac{1}{2}\right)x\varphi_j\right\}_{k=0, j=1}^{\infty, \infty}$  of the operator  $L_0$  is symmetric and the set consisting of eigenvectors of the symmetric operator  $L_0$  is complete in the space  $H_1$ , then the operator  $L_0 : D(L_0) \rightarrow H_1$  is self adjoint.

We will denote the inner product in  $H$  and  $H_1$  by  $(\cdot, \cdot)_H$  and  $(\cdot, \cdot)$ , respectively. Moreover the set  $\sigma_1(H)$  denotes the Banach space consisting of the kernel operators from  $H$  to  $H$ , and  $trT$  denotes the trace of the kernel operator  $T$  [4].

Let  $Q(x)$  be an operator function satisfying the following conditions:

- a.  $Q(x)$  has a weak derivative of second order in the interval  $[0, \pi]$ . The function  $(Q''(x)f, g)$  is continuous for every  $f, g \in H$ .
- b.  $Q^{(i)}(x) : H \rightarrow H$  ( $i = 0, 1, 2$ ) are self adjoint kernel operators for every  $x \in [0, \pi]$ . The functions  $\|Q^{(i)}(x)\|_{\sigma_1(H)}$  ( $i = 0, 1, 2$ ) are bounded and measurable in the interval  $[0, \pi]$ .

- c.  $\int_0^\pi (Q(x)f, f)_H dx = 0$  for every  $f \in H$ .

Since the operator  $Q : H_1 \rightarrow H_1$  defined by

$$Qy = Q(x)y(x)$$

is self adjoint, the operator  $L : D(L_0) \rightarrow H_1$  defined by

$$L = L_0 + Q$$

is also self adjoint. We say that the operator  $L$  is also self adjoint operator in the space  $H_1 = L_2(0, \pi; H)$  formed by the following differential expression

$$\ell(y) = -y''(x) + Ay(x) + Q(x)y(x)$$

with the boundary condition

$$y'(0) = y(\pi) = 0.$$

The operators  $L_0$  and  $L$  are half-bounded below and these operators have purely-discrete spectrum. Let the eigenvalues of the operators  $L_0$  and  $L$  be  $\mu_1 \leq \mu_2 \leq \dots \leq \mu_n \leq \dots$  and  $\lambda_1 \leq \lambda_2 \leq \dots \leq \lambda_n \leq \dots$ , respectively. If  $\gamma_j \sim aj^\alpha$  ( $0 < a, \alpha < \infty$ ) as  $j \rightarrow \infty$  then there is a constant  $d > 0$  such that

$$\lambda_n, \mu_n \sim dn^{\frac{2\alpha}{2+\alpha}} \tag{1.1}$$

as  $n \rightarrow \infty$  [8].

By using the asymptotic formula (1.1), it can be seen that the sequence  $\{\mu_n\}_{n=1}^\infty$  has a subsequence  $\mu_{n_1} < \mu_{n_2} < \dots < \mu_{n_m} < \dots$  such that

$$\mu_k - \mu_{n_m} > d_0 \left( k^{\frac{2\alpha}{2+\alpha}} - n_m^{\frac{2\alpha}{2+\alpha}} \right) \quad (k = n_m + 1, n_m + 2, \dots), \tag{1.2}$$

where  $d_0$  is a positive constant.

In this work, we find a formula for the limit

$$\lim_{m \rightarrow \infty} \sum_{k=1}^{n_m} (\lambda_k - \mu_k),$$

where  $\{\mu_{n_m}\}_{m=1}^\infty$  is a subsequence of  $\{\mu_n\}_{n=1}^\infty$ , and it satisfies the inequality (1.2). This formula is said to be regularized trace formula.

The first study about the regularized trace formula for scalar differential operators is started with [7]. And after this work, on regularized trace of various scalar differential operator have been studied in the works [5], [9], [12]. The list of the works on this subject is given in [11] and [6]. The formulas for the regularized traces of differential operators with operator coefficient is investigated in [3] and in many other works.

## 2. The Finite Sums of the Subtraction of the Eigenvalues and Some Formulas about the Resolvents

Let  $R_\lambda^0 = (L_0 - \lambda I)^{-1}$ ,  $R_\lambda = (L - \lambda I)^{-1}$  be the resolvents of the operators  $L_0$  and  $L$ , respectively. By the formula (1.1), the series

$$\sum_{k=1}^\infty \frac{1}{\lambda_k - \lambda} \quad \text{and} \quad \sum_{k=1}^\infty \frac{1}{\mu_k - \lambda}$$

are uniform convergent for  $\alpha > 2$  and  $\lambda \neq \lambda_k, \mu_k$  ( $k = 1, 2, \dots$ ). In this case  $R_\lambda^0, R_\lambda$  are kernel operators and

$$\text{tr}(R_\lambda - R_\lambda^0) = \text{tr}R_\lambda - \text{tr}R_\lambda^0 = \sum_{k=1}^{\infty} \left( \frac{1}{\lambda_k - \lambda} - \frac{1}{\mu_k - \lambda} \right),$$

see [4]. If the last equality is multiplied by  $\frac{\lambda}{2\pi i}$  and integrated on the circle  $|\lambda| = b_m = \frac{1}{2}(\mu_{n_m+1} + \mu_{n_m})$ , then we obtain

$$\begin{aligned} & \frac{1}{2\pi i} \int_{|\lambda|=b_m} \lambda \text{tr}(R_\lambda - R_\lambda^0) d\lambda \\ &= \sum_{k=1}^{\infty} \left( \frac{1}{2\pi i} \int_{|\lambda|=b_m} \frac{\lambda}{\lambda_k - \lambda} d\lambda \right) - \sum_{k=1}^{\infty} \left( \frac{1}{2\pi i} \int_{|\lambda|=b_m} \frac{\lambda}{\mu_k - \lambda} d\lambda \right). \end{aligned} \tag{2.1}$$

It easy to see that for the large value of  $m$  the following inequality is satisfied:

$$\lambda_{n_m} < b_m < \lambda_{n_m+1}. \tag{2.2}$$

By (2.1) and (2.2) we find

$$\sum_{k=1}^{n_m} (\lambda_k - \mu_k) = -\frac{1}{2\pi i} \int_{|\lambda|=b_m} \lambda \text{tr}(R_\lambda - R_\lambda^0) d\lambda. \tag{2.3}$$

This is a well known formula for the resolvents of the operators  $L_0$  and  $L$ :

$$R_\lambda = R_\lambda^0 - R_\lambda Q R_\lambda^0 \quad (\lambda \in \rho(L) \cap \rho(L_0)).$$

By using the last formula, for every natural number  $p \geq 2$  it can be shown that

$$R_\lambda - R_\lambda^0 = \sum_{j=1}^p (-1)^j R_\lambda^0 (Q R_\lambda^0)^j + (-1)^{p+1} R_\lambda (Q R_\lambda^0)^{p+1}. \tag{2.4}$$

If we substitute (2.4) in (2.3), then we obtain

$$\sum_{k=1}^{n_m} (\lambda_k - \mu_k) = \sum_{j=1}^p D_{mj} + D_m^{(p)},$$

where

$$\begin{aligned} D_{mj} &= \frac{(-1)^{j+1}}{2\pi i} \int_{|\lambda|=b_m} \text{tr} \left[ R_\lambda^0 (Q R_\lambda^0)^j \right] d\lambda \quad (j = 1, 2, \dots), \\ D_m^{(p)} &= \frac{(-1)^p}{2\pi i} \int_{|\lambda|=b_m} \lambda \text{tr} \left[ R_\lambda (Q R_\lambda^0)^{p+1} \right] d\lambda. \end{aligned} \tag{2.5}$$

We can show that the following formula is satisfied for  $D_{mj}$ ;

$$D_{mj} = \frac{(-1)^j}{2\pi i j} \int_{|\lambda|=b_m} \text{tr} \left[ (QR_\lambda^0)^j \right] d\lambda \quad (j = 1, 2, \dots). \tag{2.6}$$

Let  $\{\Psi_q(x)\}_{q=1}^\infty$  be the orthonormal eigenvectors corresponding to the eigenvalues  $\{\mu_q\}_{q=1}^\infty$ , respectively.

Since these orthonormal eigenvectors are  $\sqrt{\frac{2}{\pi}} \cos(k + \frac{1}{2})x\varphi_j$  ( $k = 0, 1, 2, \dots; j = 1, 2, \dots$ ) corresponding to the eigenvalues  $(k + \frac{1}{2})^2 + \gamma_j$  ( $k = 0, 1, 2, \dots; j = 1, 2, \dots$ ), then we have

$$\Psi_q(x) = \sqrt{\frac{2}{\pi}} \cos(k_q + \frac{1}{2})x\varphi_{j_q} \quad (q = 1, 2, \dots). \tag{2.7}$$

**Theorem 2.1.** *If  $\gamma_j \sim aj^\alpha$  ( $a > 0, \alpha > 2$ ) as  $j \rightarrow \infty$  and  $Q(x)$  satisfies the conditions  $a, b$ , and  $c$ , then*

$$D_{m1} = \frac{1}{4}(\text{tr}(Q(0)) - \text{tr}(Q(\pi))).$$

*Proof.* According to the formula (2.6)

$$D_{m1} = -\frac{1}{2\pi i} \int_{|\lambda|=b_m} \text{tr}(QR_\lambda^0) d\lambda. \tag{2.8}$$

Since  $QR_\lambda^0$  is a kernel operator for every  $\lambda \in \rho(L_0)$  and  $\{\Psi_q(x)\}_1^\infty$  is a orthonormal basis of the space  $H_1$ , then we have

$$\text{tr}(QR_\lambda^0) = \sum_{q=1}^\infty (QR_\lambda^0 \Psi_q, \Psi_q),$$

see [4]. If we substitute this expression in (2.6) and consider the equality

$$R_\lambda^0 \Psi_q = (L_0 - \lambda I)^{-1} \Psi_q = (\mu_q - \lambda)^{-1} \Psi_q,$$

then we find

$$\begin{aligned} D_{m1} &= -\frac{1}{2\pi i} \int_{|\lambda|=b_m} \left[ \sum_{q=1}^\infty (QR_\lambda^0 \Psi_q, \Psi_q) \right] d\lambda \\ &= -\frac{1}{2\pi i} \int_{|\lambda|=b_m} \left[ \sum_{q=1}^\infty (Q(\mu_q - \lambda)^{-1} \Psi_q, \Psi_q) \right] d\lambda \\ &= \left[ \sum_{q=1}^\infty (Q\Psi_q, \Psi_q) \right] \frac{1}{2\pi i} \int_{|\lambda|=b_m} \frac{d\lambda}{\lambda - \mu_q}. \end{aligned} \tag{2.9}$$

By (2.7), (2.9) and by the formulas

$$\frac{1}{2\pi i} \int_{|\lambda|=b_m} \frac{d\lambda}{\lambda - \mu_q} = \begin{cases} 1, & q \leq n_m \\ 0, & q > n_m, \end{cases}$$

we obtain

$$\begin{aligned} D_{m1} &= \sum_{q=1}^{n_m} (Q\Psi_q, \Psi_q) \\ &= \sum_{q=1}^{n_m} \int_0^\pi (Q(x)\Psi_q(x), \Psi_q(x))_H dx \\ &= \sum_{q=1}^{n_m} \int_0^\pi (Q(x) \sqrt{\frac{2}{\pi}} \cos(k_q + \frac{1}{2})x \cdot \varphi_{j_q}, \sqrt{\frac{2}{\pi}} \cos(k_q + \frac{1}{2})x \cdot \varphi_{j_q})_H dx \\ &= \frac{2}{\pi} \sum_{q=1}^{n_m} \int_0^\pi \cos^2(k_q + \frac{1}{2})x (Q(x)\varphi_{j_q}, \varphi_{j_q})_H dx \\ &= \frac{1}{\pi} \sum_{q=1}^{n_m} \int_0^\pi (1 + \cos(2k_q + 1)x) (Q(x)\varphi_{j_q}, \varphi_{j_q})_H dx \\ &= \frac{1}{\pi} \sum_{q=1}^{n_m} \int_0^\pi (Q(x)\varphi_{j_q}, \varphi_{j_q})_H \cos(2k_q + 1)x dx. \end{aligned} \quad (2.10)$$

It is easy to see that if  $Q(x)$  satisfies the conditions a and b, then the series

$$\sum_{k=0}^{\infty} \sum_{j=1}^{\infty} \int_0^\pi (Q(x)\varphi_j, \varphi_j)_H \cos(2k + 1)x dx \quad (2.11)$$

is absolute convergent. In this case, as known

$$\begin{aligned} \lim_{m \rightarrow \infty} D_{m1} &= \sum_{q=1}^{n_m} \int_0^\pi (Q(x)\varphi_{j_q}, \varphi_{j_q})_H \cos(2k_q + 1)x dx \\ &= \sum_{k=0}^{\infty} \sum_{j=1}^{\infty} \int_0^\pi (Q(x)\varphi_j, \varphi_j)_H \cos(2k + 1)x dx. \end{aligned}$$

If we take the limit of the equation (2.10) as  $m \rightarrow \infty$ , and consider the last

relation, then we find

$$\begin{aligned} \lim_{m \rightarrow \infty} D_{m1} &= \frac{1}{\pi} \sum_{k=0}^{\infty} \sum_{j=1}^{\infty} \int_0^{\pi} (Q(x)\varphi_j, \varphi_j)_H \cos(2k+1)x dx \\ &= \frac{1}{2\pi} \sum_{j=1}^{\infty} \sum_{k=0}^{\infty} \left[ \int_0^{\pi} (Q(x)\varphi_j, \varphi_j)_H \cos kx dx - (-1)^k \int_0^{\pi} (Q(x)\varphi_j, \varphi_j)_H \cos kx dx \right] \\ &= \frac{1}{4} \sum_{j=1}^{\infty} \left\{ \sum_{k=1}^{\infty} \left[ \frac{2}{\pi} \int_0^{\pi} (Q(x)\varphi_j, \varphi_j)_H \cos kx dx \right] \cos(k0) \right. \\ &\quad \left. - \sum_{k=1}^{\infty} \left[ \frac{2}{\pi} \int_0^{\pi} (Q(x)\varphi_j, \varphi_j)_H \cos kx dx \right] \cos(k\pi) \right\}. \end{aligned}$$

The sums according to  $k$  on the right hand side of the last equality are the values at the point 0 and  $\pi$  of the Fourier series of the function  $(Q(x)\varphi_j, \varphi_j)$  having second order derivative according to the functions  $\{Coskx\}_{k=0}^{\infty}$  in the interval  $[0, \pi]$  respectively. Therefore we obtain

$$\lim_{m \rightarrow \infty} D_{m1} = \frac{1}{4} \sum_{j=1}^{\infty} \left[ (Q(0)\varphi_j, \varphi_j)_H + (Q(\pi)\varphi_j, \varphi_j)_H \right]$$

or

$$\lim_{m \rightarrow \infty} D_{m1} = \frac{1}{4} (tr(Q(0)) - tr(Q(\pi))). \quad \square$$

### 3. The Formulation of Regularized Trace

In this section, we find a formula for the regularized trace of the operator  $L$ . In Section 2, we obtained that the formula

$$\sum_{k=1}^{n_m} (\lambda_k - \mu_k) = \sum_{j=1}^p D_{mj} + D_m^{(p)} \tag{3.1}$$

is satisfied for the sum of subtraction of eigenvalue of the operators  $L$  and  $L_0$ . Here, it can be shown that

$$D_{mj} = \frac{(-1)^j}{2\pi i j} \sum_{k_1=1}^{\infty} \sum_{k_2=1}^{\infty} \cdots \sum_{k_j=1}^{\infty} *$$

$$\int_{|\lambda|=b_m} \left( \prod_{q=1}^j (\mu_{k_q} - \lambda)^{-1} \right) d\lambda \prod_{q=1}^j \left( Q\Psi_{k_q}, \Psi_{k_{g(q)+1}} \right). \tag{3.2}$$

The symbol “\*” denotes that the numbers among  $\mu_{k_1}, \mu_{k_2}, \dots, \mu_{k_j}$  can be greater or less than  $b_m$ . Moreover,

$$g(q) = \begin{cases} q, & \text{if } q < j, \\ 0, & \text{if } q = j. \end{cases}$$

Let us limit  $\|R_\lambda^0\|_{\sigma_1(H_1)}$ . Here, we assume that  $\gamma_j \sim aj^\alpha$  ( $a > 0, \alpha > 2$ ) as  $j \rightarrow \infty$ . Since  $R_\lambda^0$  is a normal operator for every  $\lambda \notin \{\mu_k\}_{k=1}^\infty$ , we have

$$\|R_\lambda^0\|_{\sigma_1(H_1)} = \sum_{k=1}^\infty \frac{1}{|\mu_k - \lambda|},$$

see [4]. On the circle  $|\lambda| = b_m = 2^{-1}(\mu_{n_m+1} + \mu_{n_m})$ , we have

$$\begin{aligned} \|R_\lambda^0\|_{\sigma_1(H_1)} &\leq \sum_{k=1}^\infty \frac{1}{||\lambda| - \mu_k|} = \sum_{k=1}^{n_m} \frac{2}{\mu_{n_m} + \mu_{n_m+1} - 2\mu_k} \\ &\quad + \sum_{k=n_m+1}^\infty \frac{2}{2\mu_k - \mu_{n_m} - \mu_{n_m+1}} \\ &< \sum_{k=1}^{n_m} \frac{2}{\mu_{n_m+1} - \mu_k} + \sum_{k=n_m+1}^\infty \frac{2}{\mu_k - \mu_{n_m}}. \end{aligned} \tag{3.3}$$

By using (2.1) and (3.3), we find

$$\begin{aligned} \sum_{k=1}^{n_m} \frac{1}{\mu_{n_m+1} - \mu_k} &< \frac{n_m}{\mu_{n_m+1} - \mu_{n_m}} < \frac{n_m}{d_0[(n_m + 1)^{1+\delta} - n_m^{1+\delta}]} \\ &< d_0^{-1} n_m^{1-\delta}, \end{aligned} \tag{3.4}$$

where  $\delta = \frac{2\alpha}{2+\alpha} - 1$ .

From the inequality (1.2) again, we obtain

$$\begin{aligned} \sum_{k=n_m+1}^\infty \frac{1}{\mu_k - \mu_{n_m}} &< \sum_{k=n_m+1}^\infty \frac{1}{d_0(k^{1+\delta} - n_m^{1+\delta})} \\ &= \frac{1}{d_0((n_m + 1)^{1+\delta} - n_m^{1+\delta})} + \frac{1}{d_0} \sum_{k=n_m+2}^\infty \frac{1}{k^{1+\delta} - n_m^{1+\delta}}. \end{aligned} \tag{3.5}$$



Moreover,

$$\begin{aligned} \sum_{k=n_m+2}^{\infty} \frac{1}{k^{1+\delta} - n_m^{1+\delta}} &= \sum_{i=1}^{\infty} \int_{n_m+i}^{n_m+i+1} \frac{dx}{(n_m+i+1)^{1+\delta} - n_m^{1+\delta}} \\ &< \sum_{i=1}^{\infty} \int_{n_m+i}^{n_m+i+1} \frac{dx}{x^{1+\delta} - n_m^{1+\delta}} \\ &= \int_{n_m+1}^{\infty} \frac{dx}{x^{1+\delta} - n_m^{1+\delta}}. \end{aligned} \tag{3.6}$$

Let us evaluate the integral at the end of the relation (3.6) using the substitution  $x^{1+\delta} - n_m^{1+\delta} = t$ , we find

$$\begin{aligned} \int_{n_m+1}^{\infty} \frac{dx}{x^{1+\delta} - n_m^{1+\delta}} &= \int_{\alpha_m}^{\infty} \frac{1}{(1+\delta)t} (t + n_m^{1+\delta})^{\frac{-\delta}{1+\delta}} dt \\ &< \frac{1}{1+\delta} \int_{\alpha_m}^{\infty} t^{-1-\frac{\delta}{1+\delta}} dt = \delta^{-1} [(n_m+1)^{1+\delta} - n_m^{1+\delta}]^{\frac{-\delta}{1+\delta}}, \end{aligned} \tag{3.7}$$

where  $\alpha_m = (n_m+1)^{1+\delta} - n_m^{1+\delta}$ .

From the inequalities (3.5) and (3.7), we obtain

$$\sum_{k=n_m+1}^{\infty} \frac{1}{\mu_k - \mu_{n_m}} < \frac{1+\delta}{d_0\delta} n_m^{\frac{-\delta^2}{1+\delta}}. \tag{3.8}$$

By using (3.3), (3.4) and (3.8), we have

$$\|R_\lambda^0\|_{\sigma_1(H_1)} < d_1 n_m^{1-\delta} \quad (|\lambda| = b_m, \alpha > 2), \tag{3.9}$$

where  $d_1 > 0$  is a constant.

Let us limit the norm of the operator  $R_\lambda$  on the circle  $|\lambda| = b_m$ . For  $|\lambda| = b_m$ , we have

$$||\lambda_k| - |\lambda|| = |\lambda_k| - \frac{1}{2}(\mu_{n_m+1} + \mu_{n_m}) = \frac{1}{2} |\mu_{n_m+1} + \mu_{n_m} - 2|\lambda_k||. \tag{3.10}$$

$k \leq n_m$  and for the large values of  $m$ , we have

$$\begin{aligned} \mu_{n_m} + \mu_{n_m+1} - 2|\lambda_k| &\geq \mu_{n_m} + \mu_{n_m+1} - 2|\lambda_{n_m}| \\ &= \mu_{n_m+1} - \mu_{n_m} + 2(\mu_{n_m} - \lambda_{n_m}) \\ &\geq \mu_{n_m+1} - \mu_{n_m} - 2|\mu_{n_m} - \lambda_{n_m}| \\ &\geq \mu_{n_m+1} - \mu_{n_m} - 2\|Q\|. \end{aligned} \tag{3.11}$$

We also have  $k \geq n_m + 1$  and for the large values of  $m$

$$\begin{aligned}
 2|\lambda_k| - \mu_{n_m} - \mu_{n_m+1} &\geq 2\lambda_{n_m+1} - \mu_{n_m} - \mu_{n_m+1} \\
 &= 2(\lambda_{n_m+1} - \mu_{n_m+1}) + \mu_{n_m+1} - \mu_{n_m} \\
 &\geq \mu_{n_m+1} - \mu_{n_m} - 2|\lambda_{n_m+1} - \mu_{n_m+1}| \\
 &\geq \mu_{n_m+1} - \mu_{n_m} - 2\|Q\|.
 \end{aligned}
 \tag{3.12}$$

If we consider  $\lim_{m \rightarrow \infty} (\mu_{n_m+1} - \mu_{n_m}) = \infty$ , then from (3.10), (3.11) and (3.12), we obtain

$$|\lambda_k| - |\lambda| > \frac{1}{4}(\mu_{n_m+1} - \mu_{n_m}) \quad (|\lambda| = b_m).
 \tag{3.13}$$

By (1.2) and (3.13), we find

$$|\lambda_k - \lambda| > \frac{d_0}{4} n_m^\delta
 \tag{3.14}$$

for the large values of  $m$ . On the other hand, since the  $s$ -numbers of the operator  $R_\lambda$  are  $\{|\lambda_k - \lambda|^{-1}\}_{k=1}^\infty$ , we have

$$\|R_\lambda\| = \max_k \{|\lambda_k - \lambda|^{-1}\}_{k=1}^\infty,
 \tag{3.15}$$

see [4]. From (3.14) and (3.15), for the large values of  $m$ , we obtain

$$\|R_\lambda\| < \frac{4}{d_0} n_m^{-\delta} \quad (|\lambda| = b_m; \alpha > 2).
 \tag{3.16}$$

**Theorem 3.1.** *If the operator function  $Q(x)$  satisfies the conditions a, b and  $\gamma_j \sim aj^\alpha$  ( $a > 0, \alpha > 2$ ) as  $j \rightarrow \infty$  then we have*

$$\lim_{m \rightarrow \infty} D_{mj} = 0 \quad (j = 2, 3, 4, \dots).$$

*Proof.* If  $T \in \sigma_1(H)$  and the operator  $S : H \rightarrow H$  is linear bounded, then  $ST \in \sigma_1(H)$  and

$$|trT| \leq \|T\|_{\sigma_1(H)},
 \tag{3.17}$$

$$\|ST\|_{\sigma_1(H)} \leq \|S\|_H \|T\|_{\sigma_1(H)},
 \tag{3.18}$$

see [4]. By using (2.6), (3.17) and (3.18), we obtain

$$\begin{aligned}
 |D_{mj}| &\leq \frac{1}{2\pi j} \int_{|\lambda|=b_m} |tr(QR_\lambda^0)^j| |d\lambda| \\
 &\leq \int_{|\lambda|=b_m} \|(QR_\lambda^0)^j\|_{\sigma_1(H_1)} |d\lambda| \\
 &\leq \int_{|\lambda|=b_m} \|QR_\lambda^0\|_{\sigma_1(H_1)} \|(QR_\lambda^0)^{j-1}\| |d\lambda|
 \end{aligned}$$

$$\begin{aligned}
 &\leq \int_{|\lambda|=b_m} \| Q \| \| R_\lambda^0 \|_{\sigma_1(H_1)} \| (QR_\lambda^0)^{j-1} \| |d\lambda| \\
 &\leq \| Q \| \int_{|\lambda|=b_m} \| R_\lambda^0 \|_{\sigma_1(H_1)} \| QR_\lambda^0 \|^{j-1} |d\lambda| \\
 &\leq \| Q \|^j \int_{|\lambda|=b_m} \| R_\lambda^0 \|_{\sigma_1(H_1)} \| R_\lambda^0 \|^{j-1} |d\lambda|. \tag{3.19}
 \end{aligned}$$

Since  $R_\lambda = R_\lambda^0$  for  $Q(x) \equiv 0$  according to (3.16), we obtain

$$\| R_\lambda^0 \| < \frac{4}{d_0} n_m^{-\delta} \quad (|\lambda| = b_m; \quad \delta = \frac{2\alpha}{2 + \alpha} - 1). \tag{3.20}$$

From (3.9), (3.19) and (3.20), we obtain

$$\begin{aligned}
 |D_{mj}| &< \text{const.} \int_{|\lambda|=b_m} n_m^{1-\delta} n_m^{-\delta(j-1)} |d\lambda| \\
 &< \text{const.} b_m n_m^{1-\delta j} < \text{const.} n_m^{2-\delta(j-1)}.
 \end{aligned}$$

From here, it seems

$$\lim_{m \rightarrow \infty} D_{mj} = 0 \quad (j > 1 + 2\delta^{-1}).$$

It is necessary to show that the last equality is satisfied for  $2 \leq j \leq 1 + 2\delta^{-1}$  to complete the proof. By using the formula (3.2), we have the following equality for  $D_{m2}$ ;

$$\begin{aligned}
 D_{m2} &= \frac{1}{4\pi i} \sum_{j=1}^{n_m} \sum_{k=n_m+1}^{\infty} \left[ \int_{|\lambda|=b_m} \frac{1}{(\lambda - \mu_j)(\lambda - \mu_k)} d\lambda \right] (Q\Psi_j, \Psi_k)(Q\Psi_k, \Psi_j) \\
 &+ \frac{1}{4\pi i} \sum_{j=n_m+1}^{\infty} \sum_{k=1}^{n_m} \left[ \int_{|\lambda|=b_m} \frac{1}{(\lambda - \mu_j)(\lambda - \mu_k)} d\lambda \right] (Q\Psi_j, \Psi_k)(Q\Psi_k, \Psi_j). \tag{3.21}
 \end{aligned}$$

For  $\mu_j < b_m$  and  $\mu_k > b_m$  ( $j \leq n_m$  and  $k \geq n_m + 1$ ), we have

$$\begin{aligned}
 \frac{1}{2\pi i} \int_{|\lambda|=b_m} \frac{1}{(\lambda - \mu_j)(\lambda - \mu_k)} d\lambda &= \frac{1}{2\pi i} \int_{|\lambda|=b_m} \frac{1}{(\mu_j - \mu_k)} \left[ \frac{1}{\lambda - \mu_j} - \frac{1}{\lambda - \mu_k} \right] d\lambda \\
 &= \frac{1}{\mu_j - \mu_k}. \tag{3.22}
 \end{aligned}$$

By (3.21) and (3.22), we obtain

$$\begin{aligned}
 |D_{m2}| &= \left| \sum_{j=1}^{n_m} \sum_{k=n_m+1}^{\infty} (\mu_j - \mu_k)^{-1} (\Psi_j, Q\Psi_k) \overline{(\Psi_j, Q\Psi_k)} \right| \\
 &\leq \sum_{j=1}^{n_m} \sum_{k=n_m+1}^{\infty} (\mu_k - \mu_{n_m})^{-1} |(\Psi_j, Q\Psi_k)|^2 \\
 &\leq \sum_{k=n_m+1}^{\infty} \left[ (\mu_k - \mu_{n_m})^{-1} \sum_{j=1}^{\infty} |(Q\Psi_k, \Psi_j)|^2 \right] \\
 &= \sum_{k=n_m+1}^{\infty} (\mu_k - \mu_{n_m})^{-1} \|Q\Psi_k\|^2 \\
 &\leq \|Q\|^2 \sum_{k=n_m+1}^{\infty} (\mu_k - \mu_{n_m})^{-1}. \tag{3.23}
 \end{aligned}$$

From (3.8) and (3.23), we find

$$\lim_{m \rightarrow \infty} D_{m2} = 0.$$

Similarly, we can show that

$$\lim_{m \rightarrow \infty} D_{mj} = 0$$

for  $3 \leq j \leq 1 + 2\delta^{-1}$ . □

**Theorem 3.2.** *If  $Q(x)$  satisfies the conditions a, b, c and  $\gamma_j \sim aj^\alpha$  ( $a > 0, \alpha > 2$ ) as  $j \rightarrow \infty$ , then the formula*

$$\lim_{m \rightarrow \infty} \sum_{k=1}^{n_m} (\lambda_k - \mu_k) = \frac{1}{4} [trQ(0) - trQ(\pi)]$$

is satisfied.

*Proof.* By the relations (2.5), (3.17) and (3.18), we obtain

$$\begin{aligned}
 |D_m^{(p)}| &\leq \frac{1}{2\pi} \int_{|\lambda|=b_m} |\lambda| \cdot |tr(R_\lambda(QR_\lambda^0)^{p+1})| \cdot |d\lambda| \\
 &\leq b_m \int_{|\lambda|=b_m} \|R_\lambda(QR_\lambda^0)^{p+1}\|_{\sigma_1(H_1)} |d\lambda| \\
 &\leq b_m \int_{|\lambda|=b_m} \|R_\lambda\| \| (QR_\lambda^0)^{p+1} \|_{\sigma_1(H_1)} |d\lambda|
 \end{aligned}$$

$$\begin{aligned}
 &\leq b_m \int_{|\lambda|=b_m} \| R_\lambda \| \| (QR_\lambda^0)^p \| \| QR_\lambda^0 \|_{\sigma_1(H_1)} | d\lambda | \\
 &\leq b_m \int_{|\lambda|=b_m} \| R_\lambda \| \| QR_\lambda^0 \|^p \| Q \| \| R_\lambda^0 \|_{\sigma_1(H_1)} | d\lambda | \\
 &\leq b_m \int_{|\lambda|=b_m} \| R_\lambda \| \| R_\lambda^0 \|^p \| Q \|^{p+1} \| R_\lambda^0 \|_{\sigma_1(H_1)} | d\lambda | . \tag{3.24}
 \end{aligned}$$

By using (3.9), (3.16), (3.20) and (3.24), we find

$$D_m^{(p)} \leq \text{const.} b_m \int_{|\lambda|=b_m} n_m^{-(p+1)\delta} n_m^{1-\delta} | d\lambda | \leq \text{const.} b_m^2 n_m^{-p\delta-2\delta+1}. \tag{3.25}$$

If we consider that

$$b_m = \frac{1}{2}(\mu_{n_m+1} + \mu_{n_m}) \leq \text{const.} n_m^{1+\delta},$$

for the large values of  $m$  then from (3.25), we obtain

$$| D_m^{(p)} | \leq \text{const.} n_m^{3-p\delta}.$$

From here, it seems that

$$\lim_{m \rightarrow \infty} D_m^{(p)} = 0 \quad (p > 3\delta^{-1}). \tag{3.26}$$

By Theorem 2.1, Theorem 3.1 and by the formulas (3.1), (3.26), we find

$$\lim_{m \rightarrow \infty} \sum_{k=1}^{n_m} (\lambda_k - \mu_k) = \frac{1}{4} [\text{tr}Q(0) - \text{tr}Q(\pi)].$$

The theorem is proved. □

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