

SEVERI VARIETIES, VARIETIES WITH AN APPARENT  
DOUBLE POINT AND THE STRATIFICATION OF  
 $\mathbb{P}^n$  BY THEIR  $X$ -RANKS

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**Abstract:** Let  $X \subset \mathbb{P}^n$  be an integral variety. For any  $P \in \mathbb{P}^n$  the  $X$ -rank  $r_X(P)$  is the minimal cardinality of a set  $S \subset X$  such that  $P \in \langle S \rangle$ . Here we study the stratification of  $\mathbb{P}^n$  when  $X$  is a Severi variety or a smooth variety with only one apparent double point (OADP).

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Let  $X \subseteq \mathbb{P}^n$  be an integral and non-degenerate  $m$ -dimensional variety defined over an algebraically closed field  $\mathbb{K}$  such that  $\text{char}(\mathbb{K}) = 0$ . For any  $P \in \mathbb{P}^n$  the  $X$ -rank  $r_X(P)$  of  $P$  is the minimal cardinality of a finite set  $S \subset X$  such that  $P \in \langle S \rangle$ , where  $\langle \ \rangle$  denotes the linear span (see [3]). For any integer  $t$  set  $E(X, t) := \{P \in \mathbb{P}^n : r_X(P) = t\}$  and  $E(X, \geq t) := \cup_{x \geq t} E(X, x)$ . The  $s$ -th secant variety  $\sigma_s(X) \subseteq \mathbb{P}^n$  of  $X$  is the closure in  $\mathbb{P}^n$  of the union of all  $(s - 1)$ -dimensional linear subspaces spanned by  $s$  points of  $X$ . Hence with this convention  $\sigma_1(X) = X$  and  $\sigma_2(X)$  is the secant variety  $\text{Sec}(X)$  of  $X$ . For any  $P \in \mathbb{P}^n$  let  $b_X(P)$  denote the border  $X$ -rank of  $P$ , i.e. the first integer

$s > 0$  such that  $P \in \sigma_s(X)$ . Sometimes  $b_X(P)$  is called the *secant  $X$ -rank* of  $P$ . Set  $G(X, t) := \{P \in \mathbb{P}^n : b_X(P) = t\}$  and  $G(X, \geq t) := \cup_{x \geq t} G(X, x)$ . Notice that  $X = E(X, 1) = G(X, 1)$  for all  $X$ . Let  $\tau(X) \subseteq \mathbb{P}^n$  denote the tangent developable of  $X$ , i.e. the closure in  $\mathbb{P}^n$  of the union of all  $m$ -dimensional tangent spaces  $T_Q X$ ,  $Q \in X_{reg}$ . Notice that if  $X$  is smooth, then  $\sigma_2(X) \setminus \tau(X) \subseteq E(X, 2)$ . If  $X$  is smooth let  $G(X, 2)'$  (resp.  $G(X, 2)''$ ) be the set of all  $P \in \mathbb{P}^n$  such that there are infinitely many lines (resp. at least two lines)  $D$  such that  $P \in D$  and  $\text{length}(D \cap X) \geq 2$ . We have  $G(X, 2)' \subseteq G(X, 2)'' \subseteq \sigma_2(X)$ . If  $\dim(\sigma_2(X)) = 2m + 1$ , then a dimensional count gives  $G(X, 2)' \subsetneq \sigma_2(X)$ .

**Theorem 1.** *Let  $X \subset \mathbb{P}^n$  be one of the 4 Severi varieties (see [6], [4]). Hence  $X$  is smooth,  $m \in \{2, 4, 8, 16\}$  and  $n = 3m/2 - 1$ . Then  $\text{Sec}(X)$  is a cubic hypersurface with  $X$  as its singular locus,  $X = E(X, 1) = G(X, 1)$ ,  $\text{Sec}(X) \setminus X = E(X, 2) = G(X, 2)$ ,  $\mathbb{P}^n \setminus \text{Sec}(X) = E(X, 3) = G(X, 3)$  and  $E(X, \geq 4) = G(X, \geq 4) = \emptyset$ .*

Assume that  $X$  is OADP in the sense of [1]. Since  $X$  is smooth,  $\sigma_2(X) \setminus \tau(X) \subseteq E(X, 2)$ . Fix  $P \in \tau(X) \subset X$ . Since  $X$  is OADP, either  $r_X(P) \geq 3$  or  $P$  lies on infinitely many secant lines to  $X$  (see [1], statement i) at p. 480). The latter occurs only on

**Proposition 1.** *Assume that  $X \subset \mathbb{P}^n$ ,  $n = 2m + 1$ , is a smooth  $m$ -dimensional OADP. Then  $\dim(E(X, 3)) = n - 1$ ,  $E(X, 3) \subseteq \tau(X)$  and  $E(X, 3)$  contains a non-empty open subset of  $\tau(X)$ .*

All smooth OADP of dimension 2 and 3 are classified (see [1]). Using the classification and a case-by-case analysis we are able to show that if  $m = 2$  then  $E(X, 3) = \tau(X) \setminus X$  (see Examples 1, 2 and 3 below). Then we consider another OADP with  $m = 3$ : the Segre embedding of  $\mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^1$  (Example 4). Motivated by this computation we raise the following question.

**Question 1.** Fix integers  $s \geq 2$  and  $m_i \geq 1$ ,  $1 \leq i \leq s$ . Set  $n := -1 + \prod_{i=1}^s (m_i + 1)$ . Set  $X := \prod_{i=1}^s \mathbb{P}^{m_i}$  embedded in  $\mathbb{P}^n$  by the complete linear system  $|\mathcal{O}_X(1, \dots, 1)|$ . Is  $r_X(P) = s$  for all  $P \in \tau(X) \setminus X$ ?

In the set-up of Question 1 the proof of Example 4 gives  $r_X(P) \leq s$  and that for every  $Q \in X$  there are infinitely many  $S \subset X \cap T_Q X$  such that  $\sharp(S) = s$  and  $P \in \langle S \rangle$ .

**Example 1.** Here we assume that  $X$  is a del Pezzo surface of degree 5, i.e. it is isomorphic to the anticanonical model  $X \subset \mathbb{P}^5$  of the blowing up of  $\mathbb{P}^2$  at 4 not collinear points. Fix  $Q \in X$  and  $P \in T_Q X \setminus X \cap T_Q X$ . To prove the inequality  $r_X(P) \geq 3$  (and hence that  $r_X(P) = 3$  by [3], Proposition

5.1) it is sufficient to prove the non-existence of infinitely many secant lines to  $X$  containing  $P$  (see [1], i) at p. 480). Assume that this is the case and call  $T \subset X$  the one-dimensional part of the entry locus of  $P$ . Fix a general hyperplane  $H \subset \mathbb{P}^5$  containing the line  $\langle\{P, Q\}\rangle$ . Since  $\langle\{P, Q\}\rangle$  is tangent to  $X$  at  $Q$  and  $X$  is cut out by quadrics, we have  $(\langle\{P, Q\}\rangle \cap X)_{red} = \{Q\}$ . Hence Bertini's Theorem gives the smoothness of the curve  $C := X \cap H$ . Thus  $C$  is a rational normal curve of  $H$ . By construction  $P \in T_Q C \setminus \{Q\}$ . Hence  $r_C(P) = 4$  (see [2] or [3], Theorem 4.1). Since  $r_C(P) > 2$  and any two tangent lines of  $C$  are disjoint, we get  $(T \cap H)_{red} \subseteq \{Q\}$ . Varying  $H$  we get that  $T$  is a union of lines through  $Q$ . There are only finitely many lines in  $X$  and even if  $Q$  is on one of these lines, say  $R$ , we conclude, because  $P$  is not in all  $T_A X$ ,  $A \in R$ .

**Example 2.** Here we assume that  $X$  is the degree 4 rational normal scroll  $S(2, 2)$ , i.e. the embedding of  $X \cong \mathbb{P}^1 \times \mathbb{P}^1$  into  $\mathbb{P}^5$  induced by the complete linear system  $|\mathcal{O}_X(1, 2)|$ . For any  $P \in X$  let  $F_Q$  be the only line contained in  $X$  and containing  $Q$ . Thus  $F_Q$  is a fiber of one of the rulings of  $X$ . Call  $C_Q$  the fiber of the other ruling of  $X$  containing  $Q$ . The curve  $C_Q$  is embedded in  $\mathbb{P}^5$  as a smooth conic. Set  $M_Q := \langle F_Q \cup C_Q \rangle$ . Notice that  $\dim(M_Q) = 3$  and that  $T_Q X = \langle F_Q \cup T_Q C_Q \rangle$  is a hyperplane of it. Fix  $Q \in X$  and  $P \in T_Q X \setminus (F_Q \cup T_Q C_Q)$ . Let  $\ell : M_Q \setminus \{P\} \rightarrow \langle C_Q \rangle$  denote the linear projection from  $P$ . Since  $P \notin (F_Q \cup T_Q C_Q)$ ,  $\ell(F_Q)$  is a line not tangent to  $C_Q$  at  $Q$ . Hence there is  $A \in C_Q \cap \ell(F_Q)$  such that  $A \neq Q$ . Thus there are  $A_1 \in F_Q \setminus Q$  and  $A_2 \in C_Q \setminus \{Q\}$  such that  $\ell(A_1) = A_2$ , i.e. such that  $P \in \langle\{A_1, A_2\}\rangle$ . Thus  $r_X(P) \leq 2$ . Now take  $P \in T_Q C_Q \setminus \{Q\}$ . Since  $T_Q C_Q$  is contained in the plane  $\langle C_Q \rangle$ , there is  $S \subset C_Q$  such that  $\sharp(S) = 2$  and  $P \in \langle S \rangle$ . Thus  $r_X(P) \leq 2$ .

**Example 3.** Here we assume that  $X$  is the degree 4 rational normal scroll  $S(1, 3)$ . For any  $Q \in X$  let  $F_Q$  denote the fiber of the ruling  $\pi$  of  $X$  containing  $Q$ .  $F_Q$  is a line and  $\{F_Q\}$ ,  $Q \in X$ , and the section  $\underline{h}$  with negative self-intersection of  $\pi$  are the only lines of  $X$ . If  $Q \in \underline{h}$ , then  $T_Q X \cap X = \underline{h} \cup F_Q$  spans  $T_Q X$ . Thus  $r_X(P) \leq 2$  for all  $Q \in \underline{h}$  and all  $P \in T_Q X$ . Fix  $Q \in X \setminus \underline{h}$  and  $P \in T_Q X \setminus F_Q$ . To prove the inequality  $r_X(P) \geq 3$  (and hence that  $r_X(P) = 3$  by [3], Proposition 5.1) it is sufficient to prove the non-existence of infinitely many secant lines to  $X$  containing  $P$  (see [1], i) at p. 480). Assume that this is the case and call  $T \subset X$  the one-dimensional part of the entry locus of  $P$ . Fix a general hyperplane  $H \subset \mathbb{P}^5$  containing the line  $\langle\{P, Q\}\rangle$ . Since  $P \notin F_Q$ ,  $\langle\{P, Q\}\rangle$  is tangent to  $X$  at  $Q$  and  $X$  is cut out by quadrics, we have  $(\langle\{P, Q\}\rangle \cap X)_{red} = \{Q\}$ . Hence Bertini's Theorem gives the smoothness of the curve  $C := X \cap H$ . Thus  $C$  is a rational normal curve of  $H$ . By construction

$P \in T_Q C \setminus \{Q\}$ . Hence  $r_C(P) = 4$  (see [2] or [3], Theorem 4.1). Since  $r_C(P) > 2$  and any two tangent lines of  $C$  are disjoint, we get  $(T \cap H)_{red} \subseteq \{Q\}$ . Varying  $H$  we get that  $T$  is a union of lines through  $Q$ . Since  $Q \notin \underline{h}$  and  $P \notin F_Q$ , this is absurd.

**Example 4.** Here we take  $X = \mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^1 \subset \mathbb{P}^7$  embedded by the complete linear system  $|\mathcal{O}_X(1, 1, 1)|$ .  $X$  is a smooth OADP (see [1], Proposition 2.3). Thus  $\sigma_2(X) = \mathbb{P}^7$ . Fix  $Q \in X$ . Notice that  $T_X Q \cup X$  is the union of 3 lines  $D_1, D_2, D_3$  containing  $Q$  and spanning  $T_Q X$ . For all  $i, j \in \{1, 2, 3\}$  such that  $i < j$  set  $D_{ij} := \langle D_i \cup D_j \rangle$ . Each point of  $D_{ij} \setminus (D_i \cup D_j)$  has  $X$ -rank 2 and this rank is computed by infinitely many set  $S \subset D_i \cup D_j$ . Fix  $P \in T_Q X \setminus (D_{12} \cup D_{13} \cup D_{23})$ . A general plane  $M \subseteq T_Q X$  containing  $P$  is spanned by the 3 points  $M \cap (D_1 \cup D_2 \cup D_3)$ . Thus there are infinitely many  $S \subset D_1 \cup D_2 \cup D_3 \setminus \{Q\}$  such that  $\#(S \cap D_i) = 1$  for all  $i$  and  $P \in \langle S \rangle$ . Hence  $r_X(P) \leq 3$ . Since  $X$  is a variety with OADP, [1], i) at p. 480, gives  $r_X(P) \geq 3$  for all  $P \in \tau(X) \setminus G(X, 2)'$  and in particular for a general  $P \in \tau(X)$ . Since all pairs  $(Q, P)$  with  $P \in T_Q X$  and  $P$  not on any plane  $D_{ij}$  are projectively equivalent, we get  $r_X(P) = 3$  for all  $P \in T_Q X \setminus (D_{12} \cup D_{13} \cup D_{23})$ .

*Proof of Theorem 1.* In all cases  $X$  is homogeneous, say  $X = G/P$  with  $G$  a connected algebraic group and  $P$  a parabolic subgroup of  $G$ , and the embedding  $X \hookrightarrow \mathbb{P}^n$  is stable by the  $G$ -action (see [6], Chapter III). In all cases  $X$ ,  $\text{Sec}(X) \setminus X$  and  $\mathbb{P}^n \setminus \text{Sec}(X)$  are the  $G$ -orbits for this linear action of  $G$  on  $\mathbb{P}^n$ . Hence all the results on the border rank are obvious, as well the results on  $E(X, 2)$ . Thus to conclude the proof it is sufficient find  $P \in \mathbb{P}^n \setminus \text{Sec}(X)$  such that  $r_X(P) \leq 3$  (use the  $G$ -action). Fix any  $P \in \mathbb{P}^n \setminus \text{Sec}(X)$ . Since  $\text{Sec}(X) \setminus X = E(X, 2)$ , it is sufficient to find  $Q \in X$  such that  $\langle \{P, Q\} \rangle \cap \text{Sec}(X) \setminus X \neq \emptyset$ . This is obvious at least for some  $P \in \mathbb{P}^n \setminus \text{Sec}(X)$ , because  $\text{Sec}(X)$  is not a cone with vertex containing  $X$ .  $\square$

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