

## ON THE GONALITY SEQUENCE AND THE BIRATIONAL GONALITY SEQUENCE OF SMOOTH CURVES

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**Abstract:** Let  $C$  be a smooth curve of genus  $g$ . For any integer  $r \geq 2$  the  $r$ -gonality of  $C$  is a minimal degree of a line bundle  $L$  such that  $h^0(C, L) = r + 1$ . If we assume that the associated map  $C \rightarrow \mathbb{P}^r$  is birational onto its image, then we get the  $r$ -birational gonality  $s_r(C)$ . For  $g = 29, 30$  we prove the existence of  $C$  with  $d_2(C) = 10$  and  $d_3(C) = 16$ . For many genera we prove the existence of  $C$  with  $s_4(C)/4 > s_3(C)/3$  and  $s_6(C)/6 > s_5(C)$  (both inequalities for the same curve).

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### 1. Introduction

Let  $C$  be a smooth and projective curve defined over an algebraically closed base field with characteristic zero. For each integer  $r \geq 1$  the  $r$ -gonality  $d_r(C)$  of  $C$  is the minimal degree of a line bundle  $L$  on  $C$  such that  $h^0(C, L) \geq r + 1$  (see [15]). For many (but not all) curves the slope inequality  $d_{r+1}(C)/(r + 1) \leq d_r(C)/r$  holds for all  $r \geq 2$  (it is trivially true for  $r = 1$ ) (see [15]). It is usually easier to study the following invariant  $s_r(C)$  (see [9]). For each integer  $r \geq 2$  let  $s_r(C)$  denote the minimal degree of a line bundle  $L$  such that  $h^0(C, L) \geq r + 1$  and the associated rational map  $C \dashrightarrow \mathbb{P}^r$  is birational onto its image (see [9], §2). It is easy to check that each line bundle  $L$  evincing  $s_r(C)$  is spanned and

$h^0(C, L) = r + 1$ . Obviously  $s_r(C) \geq d_r(C)$ . Equality holds if  $d_r(C) < r \cdot d_1(C)$  and  $C$  has no non-trivial morphisms onto a smooth curve of positive genus. The sequence  $\{s_r(C)\}_{r \geq 2}$  is called the *birational gonality sequence* of  $C$ . It was introduced in [9] and it seems to be a natural invariant of  $C$ : ancient algebraic geometers often looked at birational model of an object, say the curve  $C$ , in a fixed projective space. Fix an integer  $r \geq 2$ . It is often technically easier to compute the integer  $s_r(C)$  than the integer  $d_r(C)$ . Quite often  $s_r(C) = d_r(C)$  (see Remark 1). Fix an integer  $r \geq 3$ . Another natural numerical invariant of  $C$  is the minimal integer,  $\beta_r(C)$ , of a very ample line bundle  $L$  on  $C$  such that  $h^0(C, L) = r + 1$ . We have nothing to say here for the sequence  $\beta_r(C)$ .

In Section 2 we prove the following result.

**Theorem 1.** *For each  $g \in \{29, 30\}$  there is a smooth curve  $C$  of genus  $g$  with  $d_2(C) = 10$  and  $d_3(C) = 16$ .*

In Section 3 we construct several examples of smooth curves  $C$  for which two slopes inequalities for  $s_r$  fails (in our case we have  $s_4(C)/4 > s_3(C)/3$  and  $s_6(C)/6 > s_5(C)/5$ ). We stress that both inequalities hold for the same curve  $C$ , i.e. in the birational gonality sequece of  $C$  two non-consecutive slope inequalities fail. The examples give the following result.

**Theorem 2.** *Fix integers  $a, x$  such that  $a \geq 5$  and  $x \leq a^2/9$ . Let  $F_0 \subset \mathbb{P}^3$  be a smooth quadric surface. Fix a general  $S \subset F_0$  such that  $\sharp(S) = x$  and a general  $Y \in |\mathcal{I}_{2S}(ah + bf)|$ . Then  $Y$  is integral, nodal and  $\text{Sing}(Y) = S$ . Let  $C$  be the normalization of  $Y$ . The smooth curve  $C$  has genus  $(a - 1)^2 - x$ ,  $s_2(C) = 2a - 1 - \min\{1, x\}$ ,  $s_3(C) = 2a$ ,  $s_5(C) \leq 3a$  and  $s_7(C) \leq 4a$ .*

(a) *Fix an integer  $z \leq 3a - 2 - \min\{1, x\}$ . If  $x < -1 + (a - 1)^2 - (z - 1)(z + 3)/8$ , then  $z + 1 \leq s_4(C) \leq 3a - 1 - \min\{1, x\}$ .*

(b) *If  $x \leq a^2/9 - 2a + 1$ , then  $s_4(C)/4 > s_3(C)/3$ .*

(c) *Assume  $a \geq 108$ . Fix an integer  $z \leq 4a - 2 - \min\{1, x\}$ . If  $x < (a - 1)^2 - (z - 1)(z + 15)/14$ , then  $z + 1 \leq s_4(C) \leq 3a - 1 - \min\{1, x\}$ .*

(d) *If  $a \geq 108$  and  $x < (a - 1)^2 - (18a - 5)(18a + 75)/350$ , then  $s_6(C)/6 > s_5(C)/5$ .*

Notice that  $(a - 1)^2 - (18a - 5)(18a + 75)/350 \sim (13/175)a^2$  when  $a \gg 0$ .

From the proof of Theorem 2 we get the following result.

**Corollary 1.** *There is an integer  $g_0$  such that for every integer  $g \geq g_0$  there is a smooth curve  $C$  of genus  $g$  with  $s_4(C)/4 > s_3(C)/3$  and  $s_6(C)/6 > s_5(C)/5$ .*

**Question 1.** (a) *Are there a smooth curve  $C$  and an integer  $r \geq 3$  such that  $s_{r+1}(C)/s_r(C) \geq (r + 2)/r$ ? (or with  $s_{r+1}(C)/s_r(C) > (r + 2)/r$ ?*

(b) Are there a smooth curve  $C$  and an integer  $r \geq 3$  such that  $s_{r+2}(C)/(r+2) > s_{r+1}(C)/(r+1) > s_r(C)/r$ ?

**Remark 1.** Fix a smooth curve  $C$  of genus  $\geq 3$  and an integer  $r \geq 2$ . Let  $L \in \text{Pic}^d(C)$ ,  $d := s_r(C)$ , be a line bundle evincing  $d_r(C)$ . Let  $\phi : C \rightarrow \mathbb{P}^r$  the morphism associated to  $|L|$ . Let  $F$  be the normalization of  $\phi(C)$ . If  $s_r(C) \neq d_r(C)$ , then  $\text{deg}(\phi) \geq 2$ , i.e.  $F$  has genus  $q < g$ . Assume that there is no non-constant map  $C \rightarrow E$  with  $E$  a smooth curve of genus  $> 0$ . We get  $q = 0$ . Since  $\phi$  is induced by a complete linear system, we get that  $\phi(C)$  is a rational normal curve. Hence  $d_r(C) = r \cdot d_1(C)$  and any complete linear system evincing  $d_r(C)$  is of the form  $|R^{\otimes r}|$  with  $R$  evincing the gonality  $d_1(C)$  of  $C$ .

### 2. Proof of Theorem 1

**Notation 1.** For any smooth surface  $M$  and any finite set  $S \subset M$  let  $2S$  denote the closed subscheme of  $M$  with  $(\mathcal{I}_S)^2$  as its ideal sheaf. The scheme  $2S$  is a zero-dimensional scheme,  $(2S)_{red} = S$  and  $\text{deg}(2S) = 3 \cdot \#(S)$ . In this section we take  $M = \mathbb{P}^2$ . In the next section we will take  $M = F_0$  (a smooth quadric surface).

**Lemma 1.** Fix a general  $S \subset \mathbb{P}^2$  such that  $\#(S) \leq 7$ . Fix any  $P \in \mathbb{P}^2 \setminus S$ . Then  $h^1(\mathcal{I}_{S \cup \{P\}}(3)) = 0$ .

*Proof.* Set  $S' := S \cup \{P\}$ . First assume the existence of a line  $D \subset \mathbb{P}^2$  such that  $\#(D \cap S') \geq 3$ . Since  $S$  is general, we have  $P \in D$  and  $\#(S \cap D) = 2$ . Since  $\#(S' \cap D) \leq 4$ , we have  $h^1(D, \mathcal{I}_{S' \cap D, D}(3)) = 0$ . Since  $\#(S' \cap D) \leq 5$  and  $S' \setminus S' \cap D$  is general, we have  $h^1(\mathcal{I}_{S' \setminus S' \cap D}(2)) = 0$ . The exact sequence

$$0 \rightarrow \mathcal{I}_{S' \setminus S' \cap D}(2) \rightarrow \mathcal{I}_{S'}(3) \rightarrow \mathcal{I}_{S' \cap D, D}(3) \rightarrow 0$$

gives  $h^1(\mathcal{I}_{S'}(3)) = 0$ . Now assume  $\#(D \cap S') \leq 2$  for every line  $D$ . Fix a conic  $E$  such that  $\#(S' \cap E)$  is maximal. Since  $h^0(\mathcal{O}_{\mathbb{P}^2}(2)) = 6$ , we have  $\#(S' \cap E) \geq 5$ . Hence  $E$  is a smooth conic and  $\#(S' \setminus S' \cap E) \leq 3$ . Since no 3 of the points of  $S'$  are collinear, we have  $h^1(\mathcal{I}_{S' \setminus S' \cap E}(1)) = 0$ . Since  $S$  is general,  $E$  contains at most 5 points of  $S$ . Hence  $\#(S' \cap E) \leq 6$ . Since  $E$  is a smooth conic,  $h^1(E, \mathcal{I}_{S' \cap E, E}(3)) = 0$ . As above an obvious exact sequence gives  $h^1(\mathcal{I}_{S \cup \{P\}}(3)) = 0$ .  $\square$

Fix an integer  $x \in \{6, 7\}$ . Let  $S \subset \mathbb{P}^2$  be a general set with  $\#(S) = x$ . Let  $Y \subset \mathbb{P}^2$  be a general element of  $|\mathcal{I}_{2S}(10)|$ . It is easy to check that  $h^1(\mathcal{I}_{2S}(10)) = 0$  and that a general  $Y \in |\mathcal{I}_{2S}(10)|$  is integral, nodal and with  $\text{Sing}(Y) = S$  (see

[1], Theorem 3.2). Hence the normalization  $C$  of  $Y$  is a smooth and connected curve of genus  $36 - x$ . To prove Theorem 1 it is sufficient to prove that  $d_2(C) = 10$  and  $d_3(C) = 16$  for at least one  $C$ . We prove it when  $Y$  is general. More precisely we assume that there is no order two automorphism  $\sigma$  of  $\mathbb{P}^2$  with  $\sigma(Y) = Y$ .

**Lemma 2.** *Assume  $Y$  integral, nodal and with  $\text{Sing}(Y) = S$ . Assume that there is no order two automorphism of  $\mathbb{P}^2$  sending  $Y$  into itself. Then  $C$  has no order two automorphism.*

*Proof.* The line bundle  $\mathcal{O}_Y(1)$  is the only line bundle  $R$  on  $Y$  with  $\text{deg}(R) \leq d$  and  $h^0(Y, R) \geq 3$  by Noether's theorem for integral singular curves (see [13], Theorem 2.1). Hence  $Y$  has no order 2 automorphism. The case  $k = 1$  and  $\delta = 1$  of [8], Theorem 2.3, gives  $d_1(C) = 8$ , that the only  $g_8^1$ 's on  $C$  are the ones induced by  $|\mathcal{I}_{\{P\}}(1)|$  for some  $P \in S$ . Every  $g_9^1$  on  $C$  is induced by a pencil of lines through some point of  $Y \setminus S$  (part i) of [8], Theorem 2.4). Hence  $u^*(\mathcal{O}_Y(1))$  is the only  $g_{10}^2$  on  $C$ . Hence each automorphism of  $C$  induces an automorphism of  $Y$ . Hence  $C$  has no order two automorphism.  $\square$

*Proof of Theorem 1.* Fix  $x \in \{6, 7\}$  and a general  $S \subset \mathbb{P}^2$  such that  $\sharp(S) = x$ . Fix any  $Y$  as in Lemma 2. Let  $u : C \rightarrow Y$  be the normalization map. The smooth curve  $C$  has genus  $36 - x$  and we will check that  $d_2(C) = 10$  and  $d_3(C) = 16$ . As in Lemma 2 we see that  $d_2(C) = 10$ . Fix  $S' \subset S$  such that  $\sharp(S') = 2$ . Since  $h^0(\mathcal{O}_{\mathbb{P}^2}(2)) = 6$ , the linear system  $|\mathcal{I}_{S'}(2)|$  gives  $d_3(C) \leq 16$ . Assume  $z := d_3(C) \leq 15$  and take  $L \in \text{Pic}^z(C)$  evincing  $d_3(C)$ . The line bundle  $L$  is spanned (see [15], Lemma 3.1). Hence  $|L|$  induces a morphism  $v : C \rightarrow \mathbb{P}^4$ .

(a) In this step we prove that  $v$  is birational onto its image. Assume that  $v$  is not birational onto its image. Set  $w := \text{deg}(v)$ . Hence  $\text{deg}(v(C)) = z/w \in \mathbb{N}$ . Since  $C$  has no order two automorphism, we have  $w \geq 3$ . Since  $v(C)$  spans  $\mathbb{P}^3$  we have  $z/w \geq 3$ . Let  $\nu : J \rightarrow v(C)$  denote the normalization map. Since  $C$  is smooth,  $v$  induces a morphism  $\phi : C \rightarrow J$  such that  $v = \nu \circ \phi$ . Since  $h^0(C, L) = 4$ , we get  $h^0(J, \nu^*(\mathcal{O}_{v(C)}(1))) = 4$ . We have  $d_1(C) \leq w \cdot d_1(J)$ . If  $J$  has genus 0, then we get  $d_1(C) \leq z/3$  and hence  $z \geq 3(d - 2) > 2d - 4$ , a contradiction. Hence  $z/w \geq 4$ . Thus it is sufficient to check the case  $(z, w) = (15, 3)$ . Assume  $(z, w) = (15, 3)$  and hence  $\text{deg}(v(C)) = 5$ . Hence  $g(J) \leq 2$ . Hence  $d_1(J) \leq 2$ . Hence  $8 = d_1(C) \leq 4$ , a contradiction.

(b) Fix a general  $A \in |L|$  and set  $B := u(A)$ . Since  $L$  has no base points and  $A$  is general, we have  $B \cap S = \emptyset$ . Since  $v$  is birational onto its image, the monodromy group of a general hyperplane section of  $v(C)$  is the full symmetric

group. Hence for every  $t \in \{1, 2, 3\}$  either  $h^0(\mathcal{I}_B(t)) > 0$  or  $h^0(\mathcal{I}_{B_1}(t)) = \max\{0, \binom{t+2}{2} - \sharp(B_1)\}$  for all  $B_1 \subset B$ . Since  $Y$  is a degree 10 nodal curve with  $S$  as its singular locus, adjunction theory gives  $H^0(C, \omega_C) \cong H^0(\mathcal{I}_S(7))$ . Since  $L$  is spanned and  $A \in |L|$ , Riemann-Roch gives  $h^0(C, \omega_C \otimes \mathcal{O}_C(A \setminus \{Q\})) = h^0(C, \omega_C \otimes \mathcal{O}_C(A))$  for every  $Q \in A$ . Hence  $h^0(\mathcal{I}_{S \cup (B \setminus \{O\})}(7)) = h^0(\mathcal{I}_{S \cup B}(7))$  for each  $O \in B$ . Hence  $h^1(\mathcal{I}_{S \cup B}(7)) > 0$ .

(b1) Assume  $h^0(\mathcal{I}_B(4)) = 0$ . Hence  $h^0(\mathcal{I}_B(t)) = 0$  for all  $t \leq 3$ . Hence for every  $t \in \{1, 2, 3, 4\}$  we have  $\sharp(B \cap D_t) \leq \binom{t+2}{2} - 1$  for every degree  $t$  curve  $D_t$ . Since  $h^0(\mathcal{O}_{\mathbb{P}^2}(4)) = 15$ , there is a degree 4 curve  $W$  containing 14 points of  $B$ . Since  $h^0(\mathcal{I}_B(4)) = 0$ , we have  $z = 15$  and  $B \setminus B \cap W$  is a unique point,  $P$ . Set  $S' := S \setminus S \cap W$ . First assume  $h^1(W, \mathcal{I}_{W \cap (S \cup B), W}(7)) = 0$ . The exact sequence

$$0 \rightarrow \mathcal{I}_{S' \cup \{P\}}(3) \rightarrow \mathcal{I}_{S \cup B}(7) \rightarrow \mathcal{I}_{W \cap (S \cup B), W}(7) \rightarrow 0$$

gives  $h^1(\mathcal{I}_{S' \cup \{P\}}(3)) > 0$ . Lemma 1 gives a contradiction.

Now assume  $h^1(W, \mathcal{I}_{W \cap (S \cup B), W}(7)) = 0$ . Since for every  $t \in \{1, 2, 3\}$  we have  $\sharp(B \cap D_t) \leq \binom{t+2}{2} - 1$  for every degree  $t$  curve  $D_t$ ,  $W$  is irreducible. We have  $p_a(W) = 3$  and  $\deg(\mathcal{O}_W(7)) = 28$ . Since  $\deg(\omega_W) = 4$  and the torsion free sheaf  $\mathcal{I}_{W \cap (S \cup B), W}$  satisfies  $h^1(W, \mathcal{I}_{W \cap (S \cup B), W}(7)) > 0$ , we have  $z + \sharp(W \cap S) \geq 24$ , contradicting the inequality  $x + z \leq 22$ .

(b2) Assume  $h^0(\mathcal{I}_B(4)) > 0$  and  $h^0(\mathcal{I}_B(3)) = 0$ . Let  $G \subset \mathbb{P}^2$  be a quartic curve containing  $B$ . Since  $S$  is general, we have  $h^1(\mathcal{I}_{S \setminus S \cap G}(3)) = 0$ . Hence the exact sequence

$$0 \rightarrow \mathcal{I}_{S \setminus S \cap G}(3) \rightarrow \mathcal{I}_{S \cup B}(7) \rightarrow \mathcal{I}_{G \cap (S \cup B), G}(7) \rightarrow 0$$

gives  $h^1(G, \mathcal{I}_{G \cap (S \cup B), G}(7)) > 0$ . Since  $h^0(\mathcal{I}_B(t)) = 0$  for  $t = 2, 3$ , we have  $\sharp(B \cap D) \leq 5$  for all conics  $D$  and  $\sharp(B \cap D') \leq 9$  for all cubics  $D'$ . Hence  $G$  is irreducible. We have  $p_a(G) = 3$  and  $\deg(\mathcal{O}_G(7)) = 28$ . Since  $\deg(\omega_G) = 4$  and the torsion free sheaf  $\mathcal{I}_{G \cap (S \cup B), G}$  satisfies  $h^1(G, \mathcal{I}_{G \cap (S \cup B), G}(7)) > 0$ , we have  $z + \sharp(G \cap S) \geq 24$ , contradicting the inequality  $x + z \leq 22$ .

(b3) Assume  $h^0(\mathcal{I}_B(3)) > 0$  and  $h^0(\mathcal{I}_B(2)) = 0$ . Let  $E \subset \mathbb{P}^2$  be a cubic curve containing  $B$ . Since  $h^0(\mathcal{I}_B(2)) = 0$ , we have  $\sharp(B \cap D) \leq 5$  for all conics,  $E$  is irreducible. We have  $h^1(\mathcal{I}_{S \setminus E}(4)) = 0$ , because  $S$  is general. If  $h^1(E, \mathcal{I}_{E \cap (S \cup B), E}(7)) = 0$ . Hence the exact sequence

$$0 \rightarrow \mathcal{I}_{S \setminus S \cap E}(4) \rightarrow \mathcal{I}_{S \cup B}(7) \rightarrow \mathcal{I}_{E \cap (S \cup B), E}(7) \rightarrow 0$$

gives  $h^1(E, \mathcal{I}_{E \cap (S \cup B), E}(7)) > 0$ . Since  $p_a(E) = 1$  and  $\deg(\mathcal{O}_E(7)) = 21$ , either  $x + z = 22$  and  $S \cup B \subset E$  or  $\sharp(E \cap (S \cup B)) = 21$  and  $E \cap (S \cup B)$  is the

complete intersection of  $E$  and a plane curve of degree 7. In all cases there is  $S' \subseteq S$  such that  $\sharp(S') = 6$  and  $E \supset S' \cup B$ . Since  $S'$  is general, we have  $h^0(\mathcal{I}_{S'}(3)) = 4$ . Since  $h^0(C, L) = 4$ , we get that  $L$  is induced (after deleting the base points) by  $|\mathcal{I}_{S'}(2)|$ . Lemma 1 gives that  $\mathcal{I}_{S'}(3)$  is spanned. Hence  $z = 30 - 12$ , a contradiction.

(b4) Assume  $h^0(\mathcal{I}_B(2)) > 0$ . Fix a conic  $F$  containing  $B$ . Since  $h^1(\mathcal{I}_{S \setminus S \cap F}(5)) = 0$ , as in step (b3) we get  $h^1(F, \mathcal{I}_{F \cap (S \cup B), F}(7)) > 0$ . Since  $\sharp(D \cap B) \leq 2$  for every line  $D$ ,  $F$  is irreducible. Since  $F \cong \mathbb{P}^1$  and  $\deg(\mathcal{O}_F(7)) = 14$ , we get  $\sharp((S \cup B) \cap F) \geq 16$ , i.e.  $\sharp(S \cap F) \geq 16 - z > 0$ . Since  $B \subset F$ ,  $|L|$  is induced (after deleting base points) from a subspace of  $|\mathcal{I}_{S \cap F}(2)|$ . Since  $S \cap F$  is general, we have  $h^0(\mathcal{I}_{S \cup F}(2)) = 6 - \sharp(F \cap S)$ . Hence  $\sharp(F \cap S) \in \{1, 2\}$ . First assume  $\sharp(F \cap S) = 2$ . Since  $\mathcal{I}_{F \cap S}(2)$  is spanned, we get  $z = 20 - 4$ , a contradiction. Now assume  $\sharp(F \cap S) = 1$ . Since  $h^1(\mathcal{I}_Z(2)) = 0$  for every zero-dimensional scheme of degree 3, we get every codimension 1 linear subspace of  $H^0(\mathcal{I}_{F \cap S}(2))$  induces (after deleting base points) a linear system of degree  $\geq 20 - 4$ , a contradiction.  $\square$

### 3. The Invariants $s_r(C)$

For any integer  $e \geq 0$  let  $F_e$  denote the Hirzebruch surface with a ruling with minimal self-intersection  $-e$  (see [12], pp. 379–381). We have  $\text{Pic}(F_e) \cong \mathbb{Z}^2$  and we take as a basis of  $\text{Pic}(F_e)$  a fiber of a ruling of  $F_e$  and a section of the same ruling with self-intersection  $-e$ . Hence  $\mathcal{O}_{F_e}(h) \cdot \mathcal{O}_{F_e}(h) = -e$ ,  $\mathcal{O}_{F_e}(h) \cdot \mathcal{O}_{F_e}(h) = -e$  and  $\mathcal{O}_{F_e}(h) \cdot \mathcal{O}_{F_e}(h) = -e$ . We have  $F_0 \cong \mathbb{P}^1 \times \mathbb{P}^1$  and the complete linear system  $|\mathcal{O}_{F_0}(h + f)|$  embeds  $F_0$  into  $\mathbb{P}^3$  as a smooth quadric surface.

Fix  $A \in |\mathcal{O}_{F_0}(ah + af)|$ ,  $a \geq 1$ . Since  $\omega_{F_0} \cong \mathcal{O}_{F_0}(-2h - 2f)$ , the adjunction formula gives  $\omega_A \cong \mathcal{O}_A((a - 2)h + (a - 2)f)$  and hence  $p_a(A) = (a - 1)^2$ .

**Lemma 3.** *Fix integers  $a, x$  such that  $a \geq 5$  and  $0 \leq x \leq \lfloor a^2/3 \rfloor$ . Fix a general  $S \subset F_0$  such that  $\sharp(S) = x$  and a general  $Y \in |\mathcal{I}_{2S}(ah + af)|$ . Then  $Y$  is integral and nodal and  $\text{Sing}(Y) = S$ . Let  $u : C \rightarrow Y$  be the normalization map. Then  $C$  has genus  $(a - 1)^2 - x$ .*

*Proof.* Since  $S$  is general,  $a - 1 \geq 4$ , and  $3x \leq h^0(F_0, \mathcal{O}_{F_0}((a - 1)h + (a - 1)f))$ , we have  $h^1(F_0, \mathcal{I}_{2S}((a - 1)h + (a - 1)f)) = 0$  (see [14], Proposition 5.2 and Theorem 7.2). Obviously  $h^2(F_0, \mathcal{I}_{2S}((a - 2)h + (a - 2)f)) = h^2(F_0, \mathcal{O}_{F_0}((a - 2)h + (a - 2)f)) = 0$ . Since  $\mathcal{O}_{F_0}(h + f)$  is very ample, Castelnuovo-Mumford’s lemma gives that  $\mathcal{I}_{2S}(ah + af)$  is spanned. Since  $Y$  is general, Bertini’s theorem gives  $S = \text{Sing}(Y)$ . Fix  $P \in S$ . Since  $\mathcal{I}_{2S}(ah + af)$  is spanned, a general element

of  $|\mathcal{I}_{2S}(ah + af)|$  has an ordinary node at  $P$ . Since  $S$  is finite, each point of  $S$  is an ordinary node. Since  $S$  is general in  $F_0$ ,  $a \geq 3$ ,  $S = \text{Sing}(Y)$  and  $Y$  is nodal, the curve  $Y$  is integral. The adjunction formula gives  $p_a(C) = (a - 1)^2 - x$ .  $\square$

**Notation 2.** From now on  $C$  is the normalization of a general  $Y \in |\mathcal{I}_{2S}(ah + af)|$  with  $a, x, S$  as in Lemma 3. Hence  $p_a(C) = (a - 1)^2 - x$ .

**Proposition 1.** Set  $\beta := \lceil (2a - 1)/3 \rceil$ .

(a) Assume  $0 < x \leq (a - \beta + 1)^2$ . Then  $s_2(C) = 2a - 2$  and each line bundle  $R$  of degree  $2a - 2$  (resp.  $2a - 1$ ) inducing a morphism  $C \rightarrow \mathbb{P}^2$  birational onto its image is obtained in the following way. See  $Y$  as a space curve using the composition of the inclusion  $Y \hookrightarrow F_0$  and the embedding  $F_0 \hookrightarrow \mathbb{P}^3$  induced by  $|\mathcal{O}_{F_0}(h + f)|$ . Then  $R$  is induced by the linear projection of  $Y$  into  $\mathbb{P}^2$  from a point of  $S$  (resp. a point of  $Y \setminus S$ ).

(b) Assume  $x = 0$ . Then  $s_2(C) = 2a - 1$  and each line bundle evincing  $s_2(C)$  is induced by the linear projection from some  $P \in Y$ .

*Proof.* If  $x > 0$ , then the linear projection from  $x$  gives  $d_2(C) \geq 2a - 2$ . It also gives  $s_2(C) \geq 2a - 2$  for the following reason. Fix  $P \in S$  and take a general  $P' \in Y$ . Since the line  $D'$  spanned by  $P$  and  $P'$  is not contained in the smooth quadric  $F_0 \subset \mathbb{P}^3$ , it intersects  $Y$  only at  $P$  and  $P'$ . Hence the linear projection from  $P$  is birational onto its image. Similarly, the linear projection from a smooth point of  $Y$  is birational onto its image.

Take an integer  $z \leq 2a - 1$  and a spanned  $L \in \text{Pic}^z(C)$  which induces a morphism  $v : C \rightarrow \mathbb{P}^2$  birational onto its image. Fix a general  $A \in |L|$  and set  $B := v(A)$ . Since  $L$  is spanned and  $A$  is general, we have  $B \cap S = \emptyset$ . Since  $h^i(F_0, \mathcal{O}_{F_0}) = 0$  for  $i = 1, 2$ ,  $\omega_{F_0} \cong \mathcal{O}_{F_0}(-2h - 2f)$  and  $Y$  is nodal with  $S$  as its singular locus, we have  $H^0(C, \omega_C) \cong H^0(F_0, \mathcal{I}_S((a - 2)h + (a - 2)f))$ . Since  $L$  is spanned, Riemann-Roch gives  $h^0(C, \omega_C \otimes \mathcal{O}_C(A \setminus \{Q\})) = h^0(C, \omega_C \otimes \mathcal{O}_C(A))$  for every  $Q \in A$ . Hence  $h^0(F_0, \mathcal{I}_{S \cup \{B \setminus \{O\}\}}((a - 2)h + (a - 2)f)) = h^0(F_0, \mathcal{I}_{S \cup B}((a - 2)h + (a - 2)f))$  for every  $O \in B$ . Hence  $h^1(F_0, \mathcal{I}_{S \cup B}((a - 2)h + (a - 2)f)) > 0$ . Since  $S$  is general and  $\sharp(S) \leq (a - 2)(a - 3)$ , we have  $h^1(F_0, \mathcal{I}_S((a - 2)h + (a - 3)f)) = h^1(F_0, \mathcal{I}_S((a - 3)h + (a - 2)f)) = 0$ . Using adjunction we get that two  $g_a^1$ 's on  $C$  induced by the two rulings of  $F_0$  are complete.

Since the monodromy group of the generic hyperplane section of  $v(C)$  is the full symmetric group  $S_z$ , for all integers  $u \geq 0$  and  $v \geq 0$  such that  $(u + 1)(v + 1) \leq z$ , and any  $T \in |\mathcal{O}_{F_0}(uh + bf)|$ , either  $\sharp(T \cap B) \leq (u + 1)(v + 1) - 1$  or  $B \subset T$ . For  $(u, v) = (0, 1)$  (resp.  $(u, v) = (0, 1)$ ) we get that for any  $D \in |\mathcal{O}_{F_0}(h)|$  (resp.  $|\mathcal{O}_{F_0}(f)|$ ) either  $\sharp(D \cap B) \leq 1$  or  $B \subset D$ . The latter case is impossible, because

$\sharp(D \cap Y) \leq a$  and the two  $g_a^1$ 's on  $C$  induced by the two rulings of  $F_0$  are complete.

Hence  $\sharp(D \cap B) \leq 1$  for every  $D \in (|\mathcal{O}_{F_0}(h)| \cup |\mathcal{O}_{F_0}(f)|)$ . Now assume the existence of  $T \in |\mathcal{O}_{F_0}(h+f)|$  such that  $\sharp(B \cap T) \geq 4$ . Hence  $B \subset T$ . Thus  $|L|$  is induced by a subseries of  $|\mathcal{O}_{F_0}(h+f)|$  (after deleting the base points). Let  $V \subset H^0(F_0, \mathcal{O}_{F_0}(h+f))$  be the codimension 1 linear subspace inducing  $|L|$  after deleting the base points. Since  $\mathcal{O}_{F_0}(h+f)$  is very ample, the scheme-theoretic base locus of  $V$  in  $F_0$  is either empty or a point,  $P$ . We get that the base locus of the  $g_{2a}^2$  induced by  $V$  has degree 0, 1, 2 and it has degree 2 if and only if  $P \in S$ , while it has degree 1 if and only if  $P \in Y \setminus S$ . Hence Proposition 1 is proved in this case.

Now assume that there is no such a curve  $T$ . We saw that  $\sharp(T \cap B) \leq 3$  for all  $T \in |\mathcal{O}_{F_0}(h+f)|$ . Set  $\alpha := \lfloor z/3 \rfloor$ ,  $B_0 := B$  and  $S_0 := S$ . Fix  $A_1 \in |\mathcal{O}_{F_0}(h+f)|$  such that  $a_1 := \sharp(A_1 \cap B_0)$  is maximal and set  $S_1 := S_0 \setminus S_0 \cap A_1$  and  $B_1 := B_0 \setminus B_0 \cap A_1$ . For each integer  $i \geq 2$  define the curve  $A_i \in |\mathcal{O}_{F_0}(h+f)|$ , the integer  $a_i$  and the sets  $B_i$  and  $S_i$  in the following way. Fix  $A_i \in |\mathcal{O}_{F_0}(h+f)|$  such that  $a_i := \sharp(A_i \cap B_{i-1})$  is maximal and set  $S_i := S_{i-1} \setminus S_{i-1} \cap A_i$  and  $B_i := B_{i-1} \setminus B_{i-1} \cap A_i$ . Since  $h^0(F_0, \mathcal{O}_{F_0}(h+f)) = 4$  if  $a_i \leq 2$ , then  $B_{i-1} \subset A_i$  and  $B_i = \emptyset$ . Since we proved that  $a_i \leq 3$  for all  $i$ , we have  $a_i = 3$  for all  $i \leq \alpha$ ,  $a_{\alpha+1} = z - 3 \cdot \lfloor z/3 \rfloor$  and  $a_i = 0$  for all  $i \geq \alpha + 2$ . For each  $i \geq 1$  we have an exact sequence

$$\begin{aligned} 0 &\rightarrow \mathcal{I}_{S_i \cup B_i}((a-2-i)h + (a-2-i)f) \\ &\rightarrow \mathcal{I}_{S_{i-1} \cup B_{i-1}}((a-1-i)h + (a-1-i)f) \\ &\rightarrow \mathcal{I}_{A_i \cap (B_{i-1} \cup S_{i-1})}((a-1-i)h + (a-1-i)f) \rightarrow 0 \end{aligned} \tag{1}$$

We take  $A_1, \dots, A_\alpha$  with the additional condition that  $x_i := \sharp(S_{i-1} \cap A_i)$  is maximal among all  $A_i$  with  $\sharp(A_i \cap B_{i-1}) = 3$ . Notice that  $x_i \geq x_j$  for all  $i \leq j \leq \alpha$ . Since  $S$  is general, we have  $x_i \leq 3$  for all  $i$ . Hence  $a_i + x_i \leq 6$  for all  $i$ . Since  $\sharp(D \cap B) \leq 1$  for every  $D \in (|\mathcal{O}_{F_0}(h)| \cup |\mathcal{O}_{F_0}(f)|)$ , each  $A_i$ ,  $i \leq \alpha$ , is irreducible, i.e.  $A_i \cong \mathbb{P}^1$ . We may also take  $A_{\alpha+1}$  irreducible. We have  $\alpha \leq a - 3$  and equality holds if and only if  $a = 5$  and  $z = 9$ . Since  $\alpha \leq a - 3$  we get  $h^1(A_i, \mathcal{I}_{A_i \cap (B_{i-1} \cup S_{i-1}, A_i)}((a-1-i)h + (a-1-i)f)) = 0$ ; if  $x = 0$ , we would get the same result even if  $\alpha = a - 2$ . Hence applying (1) for  $i = 1, \dots, \alpha$  we get  $h^1(F_0, \mathcal{I}_{S_\alpha \cup B_\alpha}((a-2-\alpha)h + (b-2-\alpha)f)) > 0$ . The set  $S_\alpha$  is general in  $F_0$  and  $\sharp(S_\alpha) \leq 2$ . We have  $\alpha \leq \beta$  and equality holds if and only if  $z = 2a - 1$  and  $2a - 1 \equiv 0 \pmod{3}$ . Hence  $\alpha < \beta$  if  $B_\alpha \neq \emptyset$ . Hence applying  $\beta - \alpha$  times (1) we get  $h^1(F_0, \mathcal{I}_{S_\beta}((a-\beta, a-\beta))) > 0$ . Since  $S$  is general and  $S_\beta \subseteq S$ , we get  $x > (a - \beta + 1)^2$ , a contradiction. In the case  $x = 0$  we just use that  $\sharp(B_\alpha) \leq 2$  and  $a - \alpha > 0$ . □



**Remark 2.** Take the set-up of Notation 2. Fix an odd integer  $r \geq 3$ . Since  $h^0(F_0, \mathcal{O}_{F_0}(h + ((r-1)/2)f)) = r+1$ ,  $\mathcal{O}_{F_0}(h + ((r-1)/2)f)$  is very ample and  $\mathcal{O}_{F_0}(h + ((r-1)/2)f) \cdot \mathcal{O}_{F_0}(ah + af) = a(r-1)$ , we have  $s_r(C) \leq a(r-1)$ . Let  $\phi_r : F_0 \rightarrow \mathbb{P}^r$  denote the embedding of  $F_0$  induced by  $|\mathcal{O}_{F_0}(h + ((r-1)/2)f)|$ . Fix any  $P \in \phi_r(F_0)$  and call  $\mathbb{D}$  the union of the lines of  $\phi_r(F_0)$  containing  $P$  (one line if  $r \geq 5$ , two lines if  $r = 3$ ). Since  $\phi_r(F_0)$  is cut out by quadrics, for any line  $D \subset \mathbb{P}^r$  either  $D \subset \phi_r(F_0)$  or  $\deg(D \cap \phi_r(F_0)) \leq 2$ . Hence the linear projection from  $P$  induces an isomorphism of  $F_0 \setminus \mathbb{D}$  into a degree  $r-2$  surface of  $\mathbb{P}^{r-1}$ . Hence taking the linear projection from some point of  $\phi_r(S)$  (if  $S \neq \emptyset$ ) or of  $\phi_r(Y)$  (if  $S = \emptyset$ ) we get  $s_{r-1}(C) \leq a(r-1) - 1 - \min\{1, x\}$ .

**Lemma 4.** Assume  $3x \leq a^2$  and that  $Y$  is general in  $|\mathcal{I}_{2S}(ah + af)|$ . Let  $\rho : C \rightarrow \mathbb{P}^1$  and  $\rho' : C \rightarrow \mathbb{P}^1$  denote the degree  $a$  morphisms induced by  $|\mathcal{O}_{F_0}(h)|$  and  $|\mathcal{O}_{F_0}(h)|$ . Then neither  $\rho$  nor  $\rho'$  factors as  $C \xrightarrow{f_1} C' \xrightarrow{f_2} \mathbb{P}^1$  with  $\deg(f_1) \geq 2$  and  $\deg(f_2) \geq 2$ .

*Proof.* It is sufficient to find  $O, O' \in \mathbb{P}^1$  such that  $\sharp(\rho^{-1}(O)) = \sharp(\rho'^{-1}(O')) = a-1$  (indeed, if  $O$  (resp.  $O'$ ) exists, then the corresponding fiber of  $\rho$  (resp.  $\rho'$ ) has exactly one ramification point at which the ramification has order 2). Fix general  $P_1, P_2 \in F_0$ . Take  $D_1 \in |\mathcal{O}_{F_0}(h)|$  containing  $P_1$  and  $D_2 \in |\mathcal{O}_{F_0}(f)|$  containing  $P_2$ . Take  $S_i \subset D_i \setminus \{P_i\}$  such that  $\sharp(S_i) = a-2$ . We have  $P_2 \notin D_1$  and  $P_2 \notin D_2$ . Let  $Z_i \subset D_i$  the degree 2 divisor of  $D_i$  with  $P_i$  has its support. By [14],  $h^1(F_0, \mathcal{I}_{2S}((a-1)h + ((a-1)f))) = 0$ . Since  $\deg(Z_i \cup S_i) = a$ , we get  $h^1(F_0, \mathcal{I}_{2S \cup Z_1 \cup S_1 \cup Z_2 \cup S_2}(ah + bf)) = 0$ . Hence  $Z_1 \cup S_1 \cup Z_2 \cup S_2$  gives  $2a$  independent conditions to  $|\mathcal{I}_{2S}(ah + af)|$ . Since  $\dim(F_0) = 2$  and  $\dim(D_i) = 1$ , varying  $P_1$  and  $P_2$  we get the existence of  $O$  and  $O'$  for a general  $Y \in |\mathcal{I}_{2S}(ah + bf)|$ .  $\square$

**Lemma 5.** Assume  $x \leq (a - \lceil (2a-1)/3 \rceil + 1)^2$  and that  $Y$  is general in  $|\mathcal{I}_{2S}(ah + af)|$ . Then  $d_1(C) = a$ .

*Proof.* Let  $\rho : C \rightarrow \mathbb{P}^1$  be a degree  $a$  morphism induced by a ruling of  $F_0$ . The morphism  $\rho$  gives  $d_1(C) \leq a$ . Assume  $z := d_1(C) < a$  and take a degree  $z$  morphism  $u' : C \rightarrow \mathbb{P}^1$ . The morphism  $\phi = (\rho, u') : C \rightarrow \mathbb{P}^1 \times \mathbb{P}^1 \hookrightarrow \mathbb{P}^3$  is birational onto its image by Lemma 4. Hence  $\phi(C) \in |\mathcal{O}_{F_0}(ah + zf)|$ . Taking a linear projection from a general  $O \in \phi(C)$  we get that  $C$  has infinitely many morphisms  $C \rightarrow \mathbb{P}^2$  birational onto its image and with image of degree  $\leq a + z - 1 \leq 2a - 2$ , contradicting Proposition 1.  $\square$

*Proof of Theorem 2.* Proposition 1 gives  $s_2(C) = 2a - 1 - \min\{1, x\}$ . Remark 2 gives  $s_r(C) \leq a(r-1)/2$  for every odd integer  $r$  and  $s_r(C) \leq ar/2 - 1 - \min\{1, x\}$  for every even integer  $r$ .

I) We first prove parts (a) and (b) of Theorem 2. Fix an integer  $z \leq 3a - 2 - \min\{1, x\}$  such that there is a morphism  $v : C \rightarrow \mathbb{P}^4$  birational onto its image, with  $\deg(v(C)) = z$  and  $v(C)$  spanning  $\mathbb{P}^4$ . We have  $p_a(v(C)) \geq p_a(C) = (a - 1)^2 - x$ . Set  $m_1 := \lfloor (z - 1)/4 \rfloor$ ,  $\epsilon_1 = z - 1 - 4m_1$ ,  $\mu_1 = 1$  if  $\epsilon_1 = 3$  and  $\mu_1 := 0$  if  $\epsilon_1 \neq 3$ . Set  $\pi_1(4, z) := 2m_1(m_1 - 1) + m_1(\epsilon_1 + 1) + \mu_1$ . Assume  $x < (a - 1)^2 - (z - 1)^2/8$ . Since  $\pi_1(4, z) \leq 2m_1(m_1 + 1) + 1$  and  $m_1 \leq (z - 1)/4$ , we have  $p_a(C) > \pi_1(4, z)$ . If  $z \leq 8a/3$ , then to get a contradiction it is sufficient to assume  $x < (a - 1)^2 - (8a - 3)^2/72$ . The maximal value of  $x$  allowed here is of order  $a^2/9$  and we used a similar restriction to apply Proposition 1.

(i) In this step we assume that  $T$  is a cone. The minimal desingularization of  $T$  is isomorphic to  $F_3$  and the composition of the desingularization map with the inclusion  $T \hookrightarrow \mathbb{P}^4$  is induced by the linear system  $|\mathcal{O}_{F_3}(h + 3f)|$ . Let  $E$  be the strict transform of  $v(C)$  in  $F_3$ . Let  $c, y$  be the integers such that  $E \in |\mathcal{O}_{F_3}(ch + yf)|$ . We have  $y \geq 3c$  and  $z = \mathcal{O}_{F_3}(ch + yf) \cdot \mathcal{O}_{F_3}(h + 3f) = y$ . Since  $d_1(C) = a$  (Lemma 5), we have  $c \geq a$ . Hence  $z \geq 3a$ , a contradiction.

(ii) In this step we assume  $T \cong F_1$ . Take  $c, y$  such that  $v(C) \in |\mathcal{O}_{F_1}(ch + yf)|$ . We have  $z = \mathcal{O}_{F_1}(ch + yf) \cdot \mathcal{O}_{F_1}(h + 2f) = y + c$ . Since  $d_1(C) = a$ , we have  $c \geq a$ . Since  $s_2(C) \geq 2a - 1 - \min\{1, x\}$  (Proposition 1) and  $|\mathcal{O}_{F_1}(h + f)|$  induces a birational morphism  $F_1 \rightarrow \mathbb{P}^2$ , we have  $\mathcal{O}_{F_1}(ch + yf) \cdot \mathcal{O}_{F_1}(h + f) \geq 2a - 1 - \min\{1, x\}$ , i.e.  $y \geq 2a - 1 - \min\{1, x\}$ . Hence  $z \geq 3a - 1 - \min\{1, x\}$ , a contradiction.

II) Now we prove parts (c) and (d) of Theorem 2. We assume  $a \geq 108$ . Fix an integer  $z \leq 4a - 2 - \min\{1, x\}$  such that there is a spanned  $L \in \text{Pic}^z(C)$  with  $h^0(C, L) = 7$  and whose associated morphism  $v : C \rightarrow \mathbb{P}^6$  is birational onto its image. We have  $z = \deg(v(C))$  and  $p_a(v(C)) \geq p_a(C) = (a - 1)^2 - x$ . Set  $m_2 := \lfloor (z - 1)/7 \rfloor$ ,  $\epsilon_2 = z - 1 - 7m_2$ ,  $\mu_2 = \max\{0, \lfloor (4 + \epsilon_2)/2 \rfloor\}$  and  $\pi_2(6, z) := 7m_2(m_2 - 1)/2 + m_2(\epsilon_2 + 2) + \mu_2$ . Assume  $x < (a - 1)^2 - (z - 1)^2/12$ . Since  $\pi_2(6, z) \leq 2 + m_2(7m_2 + 16)/2$ , we have  $p_a(C) > \pi_2(6, z)$  if  $x < (a - 1)^2 - (z - 1)(z + 15)/14$ . Hence [11], Theorem 3.22, gives that  $v(C)$  is contained in a surface  $T$  such that  $\deg(T) \in \{5, 6\}$ . Since  $s_5(C) \leq 3a$ , we have  $6 \cdot s_5(C) \leq 18a/5$ . If we only need to exclude that  $z \leq 18a/5$ , it is sufficient to assume  $x < (a - 1)^2 - (18a - 5)(18a + 75)/350$ . Notice that  $(a - 1)^2 - (18a - 5)(18a + 75)/350 \sim (13/175)a^2$  when  $a \gg 0$ .

First assume  $\deg(T) = 5$ . By the classification of minimal degree surfaces either  $T$  is a cone over a rational normal curve of  $\mathbb{P}^5$  or  $T \cong F_e$ ,  $e \in \{1, 3\}$ , embedded by the complete linear system  $|\mathcal{O}_{F_1}(h + (e + 1)f)|$ .

(i) In this step we assume that  $T$  is a cone. It is well-known that the minimal desingularization of  $T$  is isomorphic to  $F_5$  and that the composition

of the desingularization map with the inclusion  $T \hookrightarrow \mathbb{P}^6$  is induced by the linear system  $|\mathcal{O}_{F_5}(h + 5f)|$ . Let  $E$  be the strict transform of  $v(C)$  in  $F_5$ . Let  $c, y$  be the integers such that  $E \in |\mathcal{O}_{F_5}(ch + yf)|$ . We have  $y \geq 5c$  and  $z = \mathcal{O}_{F_5}(ch + yf) \cdot \mathcal{O}_{F_3}(h + 3f) = y$ . Since  $d_1(C) = a$  (Lemma 5), we have  $c \geq a$ . Hence  $z \geq 5a$ , a contradiction.

(ii) In this step we assume  $T \cong F_3$ . Take  $c, y$  such that  $v(C) \in |\mathcal{O}_{F_3}(ch + yf)|$ . We have  $z = \mathcal{O}_{F_3}(ch + yf) \cdot \mathcal{O}_{F_3}(h + 4f) = y + c$ . Since  $d_1(C) = a$  (Lemma 5), we have  $c \geq a$ . Since  $|\mathcal{O}_{F_3}(h + 3f)|$  induces a birational morphism  $F_1 \rightarrow \mathbb{P}^2$ , we have  $\mathcal{O}_{F_3}(ch + yf) \cdot \mathcal{O}_{F_3}(h + 3f) \geq s_4(C)$ , i.e.  $y \geq s_4(C)$ . Hence part (a) gives  $z > a + 8a/3 > 18a/5$ , a contradiction.

(iii) In this step we assume  $T \cong F_1$ . Take  $c, y$  such that  $v(C) \in |\mathcal{O}_{F_1}(ch + yf)|$ . We have  $z = \mathcal{O}_{F_1}(ch + yf) \cdot \mathcal{O}_{F_1}(h + 3f) = y + 2c$ . Since  $d_1(C) = a$  (Lemma 5), we have  $c \geq a$ . Since  $s_2(C) \geq 2a - 1 - \min\{1, x\}$  (Proposition 1) and  $|\mathcal{O}_{F_1}(h + f)|$  induces a birational morphism  $F_1 \rightarrow \mathbb{P}^2$ , we have  $\mathcal{O}_{F_1}(ch + yf) \cdot \mathcal{O}_{F_1}(h + f) \geq 2a - 1 - \min\{1, x\}$ , i.e.  $y \geq 2a - 1 - \min\{1, x\}$ . Since  $c \geq a$ , we get  $z \geq 4a - 1 - \min\{1, x\}$ , a contradiction.

From now on we assume  $\deg(T) = 6$ . We have  $\deg(v(C)) > \deg(T)$  and the morphism  $v : C \rightarrow \mathbb{P}^6$  is induced by a complete linear system. Hence  $T$  is not an external linear projection of a degree 6 surface of  $\mathbb{P}^7$ . Hence  $T$  is a normal del Pezzo surface (introduction of [2], [3], [5], [6], [7], Corollary 6.5 and Theorem 9.16). Hence a general hyperplane section  $H \cap T$  of  $T$  is a smooth degree 5 curve. Hence  $p_a(T \cap H) \leq 1$  (and indeed  $p_a(T \cap H) = 1$ ). If  $T$  is not a cone, then  $T$  is Gorenstein and  $\mathcal{O}_T(-1) \cong \omega_T$  (see [7], theorem 9.16). If  $T$  is not a cone, then each singular point of  $T$  is a rational hypersurface singularity (see [4], p. 116) and hence  $T$  is the canonical model of a weak del Pezzo surface in the sense of [10].

(iv) Here we assume that  $T$  is a cone over a linearly normal elliptic curve  $E_1$  of  $\mathbb{P}^5$ . Call  $O$  the vertex of  $T$  and  $\mu$  the multiplicity of  $v(C)$  at  $O$ . We have  $z = \mu + 6k$ , where  $k \in \mathbb{N}$  and  $k$  is the degree of the covering  $\tau : C \rightarrow E_1$  induced from the linear projection from  $O$ . Since  $E_1$  has infinitely many  $g_3^2$ 's, the covering  $\tau$  shows that  $C$  has infinitely many  $g_{3k}^2$ . Proposition 1 gives  $3k \geq 2a - 1$  and hence  $z \geq 6(2a - 1)/3$ , a contradiction.

(v) Here we assume that  $T$  is a weak del Pezzo surface of degree 6 in the sense of [10]. Fix  $P_1 \in \mathbb{P}^2$  and call  $\Pi(1)$  the blowing up of  $\mathbb{P}^2$  at  $\mathbb{P}^1$ . Fix  $P_2 \in \Pi(1)$  and call  $\Pi(2)$  the blowing-up of  $\Pi(1)$  at  $P_2$ . Fix  $P_3 \in \Pi(2)$  and call  $\Pi$  the blowing up of  $\Pi(2)$  at  $P_3$ . The anticanonical line bundle  $\omega_\Pi^\vee$  is spanned and it induces a morphism  $\phi : \Pi \rightarrow \mathbb{P}^6$  which is birational onto its image. There are  $P_1, P_2, P_3$  with  $T = \phi(\Pi)$  and we use these points  $P_1, P_2, P_3$ . Let  $E$  denote

the strict transform of  $v(C)$  in  $\Pi$ . Notice that  $C$  is the normalization of  $E$ . We take a basis  $\ell, \ell_1, \ell_2, \ell_3$  of  $\text{Pic}(\Pi) \cong \mathbb{Z}^4$  with  $\ell$  coming from  $\mathcal{O}_{\mathbb{P}^2}(1)$ , each  $\ell_i$  effective (but not necessarily irreducible)  $\ell_i^2 = -1$  for all  $i$ ,  $\ell^2 = 1$ ,  $\ell \cdot \ell_i = 0$  for all  $i$  and  $\ell_i \cdot \ell_j = 0$  for all  $i \neq j$ . Write  $E = u\ell - u_1\ell_1 - u_2\ell_2 - u_3\ell_3$  for some  $u, u_i \in \mathbb{N}$ . Since  $\omega_\Pi \cong -3\ell + \ell_1 + \ell_2 + \ell_3$  and  $\phi$  is induced by the anticanonical linear system, we have  $z = 3u - u_1 - u_2 - u_3$ . Since  $\dim(|\ell|) = 2$  and the linear system  $|\ell|$  is birationally very ample, we have  $s_2(C) \geq u$ . Hence  $u \geq 2a - 4$  (Proposition 1). Since  $h^0(\Pi, \mathcal{O}_\Pi(2\ell - \ell_1 - \ell_2 - \ell_3)) = 3$  and  $|\mathcal{O}_\Pi(2\ell - \ell_1 - \ell_2 - \ell_3)|$  is birationally very ample, we have  $s_2(C) \leq 2u - u_1 - u_2 - u_3$ . Proposition 1 gives  $2u - u_1 - u_2 - u_3 \geq 2a - 4$ . Hence  $z \geq 4a - 8$ , a contradiction.  $\square$

*Proof of Corollary 1.* Set  $g_{a,x} := (a-1)^2 - x$ . Notice that  $g_{a,0} - g_{a-1,0} = 2a - 3$ . Hence every integer  $g \geq 19$  is of the form  $g_{a,x}$  for uniquely determined integers  $a, x$  such that  $a \geq 5$  and  $0 \leq x \leq 2a - 4$ . Since  $(a-1)^2 - (18a-5)(18a+75)/350 \sim (13/175)a^2$  when  $a \gg 0$ , for  $a \gg 0$  all integers  $x \leq 2a - 4$  are allowed in parts (b) and (d) of Theorem 2.  $\square$

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