

## LOW WEIGHT CODEWORDS OF CODES COMING FROM SMOOTH CURVES IN THE HERMITIAN SURFACE

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**Abstract:** Here we describe all codewords with low weight on certain Goppa codes of curves contained in a Hermitian surface  $\mathcal{H}$  over  $\mathbb{F}_{q^2}$ . We also show how to construct curves  $C \subset \mathcal{H}$  with good cohomological properties (arithmetically Cohen-Macaulay curves).

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### 1. Introduction

Let  $C$  be a smooth and geometrically connected projective curve defined over  $K$ . Fix any line bundle  $\mathcal{L} = \mathcal{O}_C(D)$  on  $C$  defined over  $K$  and any  $B \subset C(K)$ , with  $B$  disjoint from the support of  $D$ . Let  $\mathcal{C}(B, \mathcal{L})$  denote the Goppa code obtained evaluating the rational functions  $f$  on  $C$  with  $(f) + D \geq 0$  at the points of  $B$  ([20], [21]). Under very mild conditions  $\mathcal{C}(B, \mathcal{L})$  is an  $[n, k]$ -code with  $n = \#(B)$  and  $k = h^0(C, \mathcal{L})$ . For each  $w \in \mathcal{C}(B, \mathcal{L})^\perp$ ,  $w \neq 0$ , the support  $\text{supp}(w)$  of  $B$  is the set of all  $P \in B$  at which  $w$  is non-zero. Hence the minimum distance of  $\mathcal{C}(B, \mathcal{L})^\perp$  is the minimal integer  $\text{supp}(w)$ . In many cases

the vector space  $H^0(C, \mathcal{L})$  embeds  $B$  into a projective space  $\mathbb{P}^{k-1}$  and in that case we may look at the code  $\mathcal{C}(B, \mathcal{L})$  as an evaluation code ([15], [16]). In many cases one uses both approaches, i.e. take  $C$  as a curve inside a projective space  $\mathbb{P}^r$  and then uses this embedding to construct  $\mathcal{L}$  (see e.g. [17] for a higher dimensional case). In the case in which  $\mathcal{L}$  is of the restriction of some line bundle  $\mathcal{O}_{\mathbb{P}^r}(x)$ ,  $x > 0$ , and  $C$  is a complete intersection inside  $\mathbb{P}^r$ , then one can use this approach to guess where to find low weight codewords of  $\mathcal{C}(B, \mathcal{L})^\perp$  and often get lower bounds for the minimum distance of  $\mathcal{C}(B, \mathcal{L})^\perp$ . It is interesting to extend this approach to more general line bundles  $\mathcal{L}$  and to many curves  $C$  with large  $\sharp(C(K))$ . Maximal curves always are contained in a Hermitian variety ([10], [14], §10.3, and references therein) and high genus maximal curves are contained in a low dimensional Hermitian variety ([14], Corollary 10.25). Here we look at curves inside the Hermitian surface (all curves, not only the one which are maximal). For the osculating properties of these curves, see

We take  $K = \mathbb{F}_{q^2}$ . Take homogeneous coordinates  $x_0, x_1, x_2, x_3$  of  $\mathbb{P}^3$ . Set  $\mathcal{H} = \{x_0^{q+1} + x_1^{q+1} + x_2^{q+1} + x_3^{q+1} = 0\}$ .  $\mathcal{H}$  is a geometrically integral and smooth surface. The set  $\mathcal{H}(\mathbb{F}_{q^2})$  is so important that it deserved a name: it is the Hermitian surface ([12], Ch. 19, [13], Ch. 23) or the non-singular Hermitian surface of  $PG(3, q^2)$ . Some maximal curves with large genus are contained in  $\mathcal{H}$ . The evaluation codes obtained from  $\mathcal{H}(\mathbb{F}_{q^2})$  deserved a detailed analysis in the literature ([4], [5], [6], [9] and references therein), but only if  $\mathcal{L} = \mathcal{O}_{\mathcal{H}}(t)$  for some  $t \in \mathbb{N}$ . See [9] for maximal curves contained in  $\mathcal{H}$ . The surface  $\mathcal{H}$  has a rich geometry and many line bundles defined over  $\mathbb{F}_{q^2}$ , but not isomorphic to some  $\mathcal{O}_{\mathcal{H}}(t)$ ,  $t \in \mathbb{Z}$ . Let  $\Phi$  be the set of all lines contained in  $\mathcal{H}$  and defined over  $\mathbb{F}_{q^2}$ . We have  $\sharp(\Phi) = (q + 1)(q^3 + 1)$  ([12], Theorem 19.1.5). To get nice line bundles on  $\mathcal{H}$  we use the geometry of lines contained in  $\mathcal{H}$ . A curve  $C \subset \mathbb{P}^r$  is said to be arithmetically Cohen-Macaulay if  $h^1(\mathbb{P}^r, \mathcal{I}_C(t)) = 0$  for all  $t \geq 0$  (e.g. a complete intersection curve is arithmetically Cohen-Macaulay). By definition for these curves the condition  $h^1(\mathbb{P}^3, \mathcal{I}_C(x)) = 0$  in the statements of Theorem 1 and 2 below is satisfied. See section 4 for a construction of arithmetically Cohen-Macaulay curves on  $\mathcal{H}$ .

For curves we prove the following results.

**Theorem 1.** *Let  $C \subset \mathcal{H}$  be a geometrically integral smooth curve defined over  $\mathbb{F}_{q^2}$ . Fix an integer  $x$  such that  $q \leq x \leq q^2 - 1$ ,  $h^1(\mathbb{P}^3, \mathcal{I}_C(x)) = 0$  and a zero-dimensional scheme  $E \subset C$  defined over  $\mathbb{F}_{q^2}$ . Assume  $\deg(E) \leq x + 1$ . Fix  $B \subseteq C(\mathbb{F}_{q^2})$  such that  $B \cap E_{red} = \emptyset$ . For any  $L \in \Phi$  set  $e_L(E) := \deg(E \cap L)$  and  $f_L(B) := \sharp(B \cap L)$ . Set  $\mathcal{C} := \mathcal{C}(B, \mathcal{O}_C(x)(-E))$ . Assume  $\sharp(B) + \deg(E) > x \cdot \deg(C)$ . Let  $\Phi_0$  be the set of all  $L \in \Phi$  such that  $e_L(E) + f_L(B) \geq x + 2$*

(a) If  $\Phi_0 = \emptyset$ , then the dual code  $\mathcal{C}^\perp$  has minimum distance  $\geq 2x + 2 - \text{deg}(E)$ .

(b) Assume  $\Phi_0 \neq \emptyset$ . Let  $w$  be a non-zero codeword of  $\mathcal{C}$  with weight  $\leq 2x + 1 - \text{deg}(E)$ . Then the support,  $S$ , of  $w$  is a set  $S \subset L \cap B$  for some  $L \in \Psi_0$  and  $x + 2 - e_L(E) \leq \#(S) \leq f_L(E)$ .

(c) Assume  $\Phi_0 \neq \emptyset$  and take any  $L \in \Phi_0$  and any  $S \subseteq L \cap B$  such that  $x + 2 - e_L(E) \leq \#(S) \leq f_L(E)$ . Then  $S$  is the support of a codeword of  $\mathcal{C}^\perp$ .

**Remark 1.** By [3], Theorem at page 492, every geometrically integral curve  $C \subset \mathbb{P}^r$ ,  $r \geq 3$ , satisfies  $h^1(\mathbb{P}^r, \mathcal{I}_C(t)) = 0$  if either  $t \geq \text{deg}(C) - r + 1$  or  $t = \text{deg}(C) - r$  and  $C$  is not isomorphic to  $\mathbb{P}^1$ . Hence in the statement of Theorem 1 we may drop the condition “ $h^1(\mathbb{P}^3, \mathcal{I}_C(x)) = 0$ ” if  $x \geq \text{deg}(C) - 2$  and (except trivial cases) even if  $x = \text{deg}(C) - 2$ . If in the statement of Theorem 1 we drop the assumption “ $h^1(\mathbb{P}^r, \mathcal{I}_C(t)) = 0$ ”, then parts (a) and (b) are still true. This part for arbitrary  $C$  may be used to get a quick test if a curve  $C \subset \mathcal{H}$  with large  $\#(C(\mathbb{F}_{q^2}))$  is suitable to get a code (we recall that for any line  $L \subset \mathcal{H}$  and any curve  $C \subset \mathcal{H}$  not containing  $L$  the integer  $\text{deg}(D \cap L)$  is the same for all  $D \in |\mathcal{O}_{\mathcal{H}}(C)|$ ).

Set  $n := \#(B)$  and  $k := h^0(C, \mathcal{O}_C(x)) - \text{deg}(E)$ . The code  $\mathcal{C}$  is an  $[n, k]$ -code (see Lemma 1).

In the case  $E = \emptyset$  an easy modification of the proofs of [2], Theorem 3.5 and 3.8, gives the following result.

**Theorem 2.** Let  $C \subset \mathcal{H}$  be a geometrically integral smooth curve defined over  $\mathbb{F}_{q^2}$ . Fix an integer  $x$  such that  $q \leq x \leq q^2 - 1$ ,  $h^1(\mathbb{P}^3, \mathcal{I}_C(x)) = 0$  and a set  $B \subseteq C(\mathbb{F}_{q^2})$ . For any  $L \in \Phi$  set  $f_L(B) := \#(B \cap L)$ . Set  $\mathcal{C} := \mathcal{C}(B, \mathcal{O}_C(x)(-E))$ . Assume  $\#(B) > x \cdot \text{deg}(C)$ . For any integer  $t$  let  $\Phi(t, B)$  be the set of all  $L \in \Phi$  such that  $f_L(B) \geq t$ . Let  $\Phi(=, B, x + 1)$  denote the set of all reducible conics  $L \cup R$  with  $L, R \in \Phi$ ,  $f_L(B) \geq x + 1$ ,  $f_R(B) \geq x + 1$  and  $\#((L \cap R) \cap B) \geq 2x + 2$ .

(a) If  $\Phi(x + 2, B) = \emptyset$  and  $\Phi(=, x + 1, B) = \emptyset$ , then the dual code  $\mathcal{C}^\perp$  has minimum distance  $\geq 3x$ .

(b) Every codeword of  $\mathcal{C}^\perp$  with weight at most  $3x - 1$  has either support contained in an element of  $\Phi(x + 2, B)$  or the disjoint union of two elements of  $\Phi(x + 2, B)$  or an element of  $\Phi(=, x + 1, B)$ .

(c) Fix a set  $S \subset B$  such that  $\#(S) \leq 3x - 1$ .  $S$  is the support of a codeword of  $\mathcal{C}^\perp$  if and only if one of the following cases occur:

(i)  $\#(S) \geq x + 2$  and there is  $L \in \Phi(B, x + 2)$  such that  $S \subset L$ ;

- (ii)  $\#(S) \geq 2x + 4$ ; and there are  $L, R \in \Phi(B, x + 2)$  such that  $S \subset L \cup R$ ,  $\#(S \cap L) \geq x + 2$  and  $\#(S \cap R) \geq x + 2$ ;
- (iii)  $\#(S) \geq 2x + 2$  and there is  $L \cup R \in \Phi(=, B, x + 1)$  such that  $S \subset L \cup R$ ,  $\#(S \cap L) \geq x + 1$  and  $\#(S \cap R) \geq x + 1$ .

Obviously, in (ii) (resp. (iii)) we need  $x \geq 5$  (resp.  $x \geq 3$ ), because we assumed  $\#(S) \leq 3x - 1$

### 2. Preliminary Lemmas

The following lemma is a straightforward extension of [2], Lemma 3.3.

**Lemma 1.** *Fix an integer  $x > 0$  and a smooth and geometrically connected curve  $C \subset \mathbb{P}^r$  over a finite field  $K$  such that  $h^1(\mathbb{P}^r, \mathcal{I}_C(x)) = 0$ . Let  $E \subset C$  be a zero-dimensional scheme defined over  $K$  and  $B \subset C(K) \setminus E_{red}$  such that either  $\#(B) + \deg(E) > x \cdot \deg(C)$  or  $h^0(C, \mathcal{O}_C(x)(-E - B)) = 0$ . Set  $\mathcal{C} := \mathcal{C}(B, \mathcal{O}_C(x)(-E))$ . The code  $\mathcal{C}$  is an  $[n, k]$ -code over  $K$  with  $n := \#(B)$  and  $k := h^0(C, \mathcal{O}_C(x)(-E))$ . A set  $A \subseteq B$  contains (resp. is) the support of a codeword of  $\mathcal{C}^\perp$  if and only if  $h^1(\mathbb{P}^r, \mathcal{I}_{E \cup A}(x)) > h^1(\mathcal{I}_E(x))$  (resp.  $h^1(\mathbb{P}^r, \mathcal{I}_{E \cup A}(x)) > h^1(\mathcal{I}_{E \cup A'}(x))$  for all  $A' \subsetneq A$ ). The set of all codewords of  $\mathcal{C}^\perp$  whose support is contained in  $A$  is a  $K$ -vector space of dimension  $h^1(\mathbb{P}^r, \mathcal{I}_{E \cup A}(x)) - h^1(\mathcal{I}_E(x))$ .*

*Proof.* Since  $B \cap E = \emptyset$ , we have  $h^0(C, \mathcal{O}_C(x)(-E - B)) = 0$  if and only if the evaluation map  $H^0(C, \mathcal{O}_C(x)(-E)) \rightarrow H^0(B, \mathcal{O}_C(x)(-E)|_B)$  is injective, i.e. if and only if  $\mathcal{C}$  is an  $[n, k]$ -code. If  $\#(B) + \deg(E) > x \cdot \deg(C)$ , then  $\deg(\mathcal{O}_C(x)(-E - B)) < 0$  and hence  $h^0(C, \mathcal{O}_C(x)(-E - B)) = 0$ . A set  $A \subseteq B$ ,  $A \neq \emptyset$ , contains the support of a codeword  $w$  of  $\mathcal{C}$  if and only if the evaluations associated to the point of  $A$  are not linearly independent, i.e. if and only if  $h^0(C, \mathcal{O}_C(x)(-E - A)) > h^0(C, \mathcal{O}_C(x)(-E)) - \#(A)$ . Since we assumed  $h^1(\mathbb{P}^r, \mathcal{I}_C(x)) = 0$ ,  $E \subset C$  and  $E \cap A = \emptyset$ , then the restriction map  $H^0(\mathbb{P}^r, \mathcal{O}_{\mathbb{P}^r}(x)) \rightarrow H^0(C, \mathcal{O}_C(x))$  is surjective. Hence  $h^0(\mathbb{P}^r, \mathcal{I}_{E \cup A}(x)) > h^0(\mathbb{P}^r, \mathcal{I}_E(x)) - \#(A)$ . Since

$$h^1(\mathbb{P}^r, \mathcal{O}_{\mathbb{P}^r}(x)) = 0,$$

$$h^0(\mathbb{P}^r, \mathcal{I}_{E \cup A}(x)) > h^0(\mathbb{P}^r, \mathcal{I}_E(x)) - \#(A)$$

if and only if  $h^1(\mathbb{P}^r, \mathcal{I}_{E \cup A}(x)) > h^1(\mathcal{I}_E(x))$ . □

With the terminology of [2], §2, the finite set  $A$  is said to be minimally  $x$ -linked if  $h^1(\mathbb{P}^r, \mathcal{I}_A(x)) > h^1(\mathbb{P}^r, \mathcal{I}_{A'}(x))$  for all  $A' \subsetneq A$  (it is sufficient to test the

sets  $A' \subset A$  such that  $\sharp(A') = \sharp(A) - 1$ . Theorem 1 and 2 are easily translated in the classifications of certain minimally  $\mathcal{I}_E(x)$ -linked sets (see Lemmas 7 and 8) for the results quoted later.

**Remark 2.** Let  $W$  be any projective scheme and  $L$  a line bundle on it. Fix any subscheme  $E \subseteq Z$ . Since  $Z$  is zero-dimensional, we have  $h^1(Z, \mathcal{I}_{E,Z}(x, y)) > 0$ . Hence the restriction map  $H^0(Z, L|_Z) \rightarrow H^0(E, L|_E)$  is surjective. Hence if  $h^1(W, \mathcal{I}_W \otimes L) > 0$ , then  $h^1(W, \mathcal{I}_Z \otimes L) > 0$ .

**Remark 3.** For any hypersurface  $T \subset \mathbb{P}^r$  and any zero-dimensional subscheme  $Z \subset \mathbb{P}^r$  let  $\text{Res}_T(Z)$  denote the residual scheme of  $Z$  with respect to  $T$ , i.e. the closed subscheme of  $\mathbb{P}^r$  with  $\mathcal{I}_Z : \mathcal{I}_T$  has its ideal sheaf. We have  $\text{deg}(Z) = \text{deg}(Z \cap T) + \text{deg}(\text{Res}_T(Z))$ . If  $Z = Z_1 \sqcup Z_2$ , then  $\text{Res}_T(Z) = \text{Res}_T(Z_1) \sqcup \text{Res}_T(Z_2)$ . If  $Z$  is reduced (i.e. if  $Z$  is a finite set), then  $\text{Res}_T(Z) = Z \setminus Z \cap T$ . For each  $d \in \mathbb{Z}$  we have an exact sequence

$$0 \rightarrow \mathcal{I}_{\text{Res}_T(Z)}(d - k) \rightarrow \mathcal{I}_Z(d) \rightarrow \mathcal{I}_{Z \cap T, T}(d) \rightarrow 0 \tag{1}$$

where  $k := \text{deg}(T)$ . Hence for each integer  $i \geq 0$  we have

$$h^i(\mathbb{P}^2, \mathcal{I}_Z(d)) \leq h^i(\mathbb{P}^2, \mathcal{I}_{\text{Res}_T(Z)}(d - k)) + h^i(T, \mathcal{I}_{Z \cap T, T}(d)) \tag{2}$$

The following 6 lemmas are an easy modification of the proofs of [2], Theorem 3.5 and 3.8.

**Lemma 2.** Fix a line  $L \subset \mathbb{P}^2$  and a set  $S \subset L$ . If  $\sharp(S) - \sharp(L \cap S) + \text{deg}(E) - \text{deg}(E \cap L) \leq d$ , then  $h^1(\mathbb{P}^2, \mathcal{I}_{E \cup S}(d)) = h^1(L, \mathcal{I}_{(E \cup S) \cap L, L}(d)) = \max\{0, \text{deg}(E \cap L) + \sharp(L \cap S) - d - 1\}$ .

*Proof.* Since  $E \cap S = \emptyset$ , we have  $\text{deg}(E \cup S) = \text{deg}(E) + \text{deg}(S)$ ,  $\text{deg}(\text{Res}_L(E \cup S)) = \text{deg}(\text{Res}_L(E)) + \sharp(S) - \sharp(S \cap L)$  and  $\text{deg}(L \cap (E \cup S)) = \text{deg}(E \cap L) + \sharp(S \cap L)$ . The latter equality gives  $h^1(L, \mathcal{I}_{(E \cup S) \cap L, L}(d)) = \max\{0, \text{deg}(E \cap L) + \sharp(L \cap S) - d - 1\}$ , because  $L \cong \mathbb{P}^1$ . Since  $\text{deg}(\text{Res}_L(E \cup S)) \leq d$ , we have  $h^1(\mathbb{P}^2, \mathcal{I}_{\text{Res}_L(E \cup S)}(d - 1)) = 0$  ([1], Lemma 34, or [8], Remarque (i) at p. 116). Hence (2) gives  $h^1(\mathbb{P}^2, \mathcal{I}_{E \cup S}(d)) \leq h^1(L, \mathcal{I}_{(E \cup S) \cap L, L}(d))$ . Since  $(E \cup S) \cap L \subseteq E \cup S$ , Remark 2 gives  $h^1(\mathbb{P}^2, \mathcal{I}_{E \cup S}(d)) \geq h^1(L, \mathcal{I}_{(E \cup S) \cap L, L}(d))$ .  $\square$

**Lemma 3.** Fix an integer  $x > 0$  and lines  $L, R \in \mathbb{P}^r$ ,  $r \geq 2$ , such that  $L \neq R$  and  $L \cap R \neq \emptyset$ . Set  $\{O\} := L \cap R$ . Fix a finite set  $S \subset L \cup R$  such that  $\sharp(S \cap L) \geq \sharp(S \cap R)$  and  $S \neq \emptyset$ .

(a) We have  $h^1(\mathbb{P}^r, \mathcal{I}_S(x)) > 0$  if and only if either  $\sharp(S \cap L) \geq x + 2$  or  $\sharp(S) \geq 2x + 2$ .

(b) We have  $h^1(\mathbb{P}^r, \mathcal{I}_S(x)) > h^1(\mathbb{P}^r, \mathcal{I}_{S'}(x))$  for all  $S' \subsetneq S$  if and only if either  $S \subset L$  and  $\sharp(S) \geq x + 2$  or  $\sharp(S \cap L) \geq x + 2$  and  $\sharp(S \cap R) \geq x + 1$  or  $\sharp(S \cap L) = \sharp(S \cap R) = x + 1$  and  $O \notin S$ .

*Proof.* Since  $\sharp(S \cap L) \geq \sharp(S \cap R)$ , we have  $\sharp(S \cap L) \leq x + 1$  and  $\sharp(S) \geq 2x + 2$  if and only if  $\sharp(S \cap L) = \sharp(S \cap R) = x + 1$  and  $O \notin S$ . Fix a finite set  $A \subset L \cup R$  such that  $\sharp(A \cap L) \geq \sharp(A \cap R)$ . Since the restriction map  $H^0(\mathbb{P}^r, \mathcal{O}_{\mathbb{P}^r}(x)) \rightarrow H^0(L \cup R, \mathcal{O}_{L \cup R}(x))$  is surjective, we have  $h^1(\mathbb{P}^r, \mathcal{I}_S(x)) = h^1(L \cup R, \mathcal{I}_{A, L \cup R}(x))$ . Since  $h^0(L \cup R, \mathcal{O}_{L \cup R}(x)) = \binom{x+2}{2} - \binom{x}{2} = 2x + 1$ , we have  $h^1(L \cup R, \mathcal{I}_{A, L \cup R}(x)) \geq \max\{0, \sharp(S) - 2x - 1\}$ . Since  $h^1(L \cup R, \mathcal{O}_{A \cap L \cup R}(x)) = 0$ , we also have  $h^1(L \cup R, \mathcal{I}_{A, L \cup R}(x)) - h^0(L \cup R, \mathcal{I}_{A, L \cup R}(x)) = \sharp(A) - 2x - 1$ . Since  $L \cong R \cong \mathbb{P}^1$ , we have  $h^1(L, \mathcal{I}_{F, L}(x)) = \max\{0, \sharp(F) - x - 1\}$  and  $h^0(R, \mathcal{I}_{F, L}(x)) = \max\{0, x + 1 - \sharp(F)\}$  for all finite sets  $F \subset L$  and similarly for any finite subset of  $R$ . Consider the Mayer-Vietoris exact sequence

$$0 \rightarrow \mathcal{O}_{L \cup R}(x) \rightarrow \mathcal{O}_L(x) \oplus \mathcal{O}_R(x) \rightarrow \mathcal{O}_{\{O\}} \rightarrow 0 \tag{3}$$

Set  $A' := A \setminus A \cap L$ . Notice that  $A' = A \cap R$  if  $O \notin A$  and  $A' = A \cap L \setminus \{O\}$  if  $O \in A$ . First assume  $\sharp(A \cap L) \geq x + 1$ . Hence  $h^0(L, \mathcal{I}_{A \cap L, L}(x)) = 0$ . From (3) we get  $h^0(L \cup R, \mathcal{I}_{A, L \cup R}(x)) = h^0(R, \mathcal{I}_{A'}(x)) = \max\{0, x - \sharp(A)\}$ . Hence  $h^1(\mathbb{P}^r, \mathcal{I}_A(x)) = (\sharp(A \cap L) - x - 1) + \max\{0, \sharp(A') - x\}$ . Now assume  $\sharp(A \cap L) \leq x$ . Since  $\sharp(S \cap R) \leq \sharp(S \cap L)$ , from (3) we get  $h^0(L \cup R, \mathcal{I}_{A, L \cup R}(x)) = (x + 1) - \sharp(A \cap L) + x - \sharp(A') = 2x + 1 - \sharp(A)$  and hence  $h^1(\mathbb{P}^r, \mathcal{I}_A(x)) = 0$ . Applying these relations to  $S$  and its subsets we get part (b). Part (a) follows from part (b).  $\square$

**Lemma 4.** Fix an integer  $x > 0$  and lines  $L, R \in \mathbb{P}^r$ ,  $r \geq 3$ , such that  $L \cap R = \emptyset$ . Fix  $S \subset L \cup R$  such that  $\sharp(S \cap L) \geq \sharp(S \cap R)$  and  $1 \leq \sharp(S) \leq 3x - 1$ .

(a) We have  $h^1(\mathbb{P}^r, \mathcal{I}_S(x)) > 0$  if and only if  $\sharp(S \cap L) \geq x + 2$ .

(b) We have  $h^1(\mathbb{P}^r, \mathcal{I}_S(x)) > h^1(\mathbb{P}^r, \mathcal{I}_{S'}(x))$  for all  $S' \subsetneq S$  if and only if either  $S \subset L$  and  $\sharp(S) \geq x + 2$  or  $\sharp(S \cap R) \geq x + 2$ .

*Proof.* Fix a finite set  $A \subset L \cup R$ . We have  $\mathcal{O}_{L \cup R}(x) \cong \mathcal{O}_L(x) \oplus \mathcal{O}_R(x)$  and hence  $h^i(L \cup R, \mathcal{I}_{A, L \cup R}(x)) = h^i(L, \mathcal{I}_{A \cap L}(x)) + h^i(L, \mathcal{I}_{A \cap R}(x))$ ,  $i = 0, 1$ . Hence to extend the proof of Lemma 3 it is sufficient to prove the surjectivity of the restriction map  $\rho : H^0(\mathbb{P}^r, \mathcal{O}_{\mathbb{P}^r}(x)) \rightarrow H^0(L \cup R, \mathcal{O}_{L \cup R}(x))$ . Since  $L \cap R = \emptyset$ , there is a smooth quadric surface  $Q \subset \mathbb{P}^r$  such that  $Q \supset L \cup R$ . Since the restriction map  $H^0(\mathbb{P}^r, \mathcal{O}_{\mathbb{P}^r}(x)) \rightarrow H^0(Q, \mathcal{O}_Q(x))$  is surjective, it is sufficient to prove the surjectivity of the restriction map  $\rho' : H^0(Q, \mathcal{O}_Q(x)) \rightarrow H^0(L \cup$

$R, \mathcal{O}_{LUR}(x)$ ). We have  $Q \cong \mathbb{P}^1 \times \mathbb{P}^1$ , with, say,  $L$  and  $R$  divisors of type  $(1, 0)$  on  $Q$ . Hence  $\mathcal{O}_Q(x) \cong \mathcal{O}_Q(x, x)$  and  $\mathcal{I}_{LUR, Q}(x) \cong \mathcal{O}_Q(x - 2, x)$ . The map  $\rho'$  is surjective, because  $h^1(Q, \mathcal{O}_Q(t - 2, t)) = 0$  for all  $t > 0$  (e.g. by Künneth formula).  $\square$

**Lemma 5.** *Fix an integer  $x > 0$  and a finite set  $S \subset \mathbb{P}^2$  such that  $1 \leq \sharp(S) \leq 3x - 1$ . We have  $h^1(\mathbb{P}^2, \mathcal{I}_S(x)) > h^1(\mathbb{P}^2, \mathcal{I}_{S'}(x))$  for all  $S' \subsetneq S$  if and only if one of the following cases occurs:*

- (i)  $\sharp(S) \geq x + 2$  and there is a line  $L \subset \mathbb{P}^2$  such that  $S \subset L$ ;
- (ii) there are lines  $L, R \in \mathbb{P}^2$  such that  $L \neq R$ ,  $\sharp(S \cap L) \geq x + 2$  and  $\sharp(S \cap R) \geq x + 1$  or  $\sharp(S \cap L) = \sharp(S \cap R) = x + 1$  and  $O \notin S$ , where  $\{O\} := L \cap R$ ;
- (iii) there is a smooth conic  $T_2 \subset \mathbb{P}^2$

*Proof.* For any line  $L$  and any finite set  $A \subset L$  we have  $h^1(L, \mathcal{I}_{A, L}(x)) = \max\{0, \sharp(A) - x - 1\}$ . For any smooth conic  $T$  we have  $h^0(T, \mathcal{O}_T(x)) = 2x + 1$ . Hence Lemma 3 gives the “if” part. Now assume  $h^1(\mathbb{P}^2, \mathcal{I}_S(x)) > h^1(\mathbb{P}^2, \mathcal{I}_{S'}(x))$  for all  $S' \subsetneq S$ . Let  $\tau$  be the maximal integer  $t > 0$  such that  $h^1(\mathbb{P}^2, \mathcal{I}_S(t)) > 0$ . Our assumption implies  $\tau \geq x$ . Since  $\sharp(S) < 3\tau$ , we may apply the case  $s = 3$  of [8], Corollaire 2, and get that either there is a line  $L_1$  such that  $\sharp(L_1 \cap S) \geq x + 2$  or there is a conic  $L_2$  such that  $\sharp(S \cap L_2) \geq 2x + 2$ . First assume the existence of a conic  $L_2$  such that  $\sharp(S \cap L_2) \geq 2x + 2$ . Since  $\deg(L_2) = 2$ , we have an exact sequence (just (1) for  $k = 2$ ):

$$0 \rightarrow \mathcal{I}_{S \setminus S \cap L_2}(x - 2) \rightarrow \mathcal{O}_S(x) \rightarrow \mathcal{I}_{S \cap L_2, L_2}(x) \rightarrow 0 \tag{4}$$

Since  $\sharp(S \setminus S \cap L_2) \leq 3x - 1 - 2x - 2 \leq x - 1$ , we have  $h^1(\mathbb{P}^2, \mathcal{I}_{S \setminus S \cap L_2}(x - 2)) = 0$ . From (4) we get  $h^1(\mathbb{P}^2, \mathcal{I}_S(x)) \leq h^1(\mathbb{P}^2, \mathcal{I}_{S \cap L_2}(x))$ . Hence  $S \subset L_2$ . If  $L_2$  is smooth, then we are in case (iii). If  $L_2$  is a double line, then we are in case (i) with  $L := (L_2)_{red}$ . Now assume  $L_2 = L \cup R$  with  $L, R$  lines and  $L \neq R$ . Set  $\{O\} := L \cap R$ . Without losing generality we may assume  $\sharp(S \cap L) \geq \sharp(S \cap R)$ . If  $\sharp(S \cap (R \setminus \{O\})) \geq x + 1$ , then we are in case (ii). Hence we may assume  $\sharp(S \setminus S \cap L) \leq x$ . Since  $\deg(L) = 1$ , we have an exact sequence

$$0 \rightarrow \mathcal{I}_{S \setminus L \cap S}(x - 1) \rightarrow \mathcal{I}_S(x) \rightarrow \mathcal{I}_{S \cap L, L}(x) \rightarrow 0 \tag{5}$$

Since  $\sharp(S \setminus S \cap L) \leq x$ , we have  $h^1(\mathbb{P}^2, \mathcal{I}_{S \setminus S \cap L}(x - 1)) = 0$ . From (5) we get  $h^1(\mathbb{P}^2, \mathcal{I}_S(x)) \leq h^1(\mathbb{P}^2, \mathcal{I}_{S \cap L}(x))$ . Hence  $S \subset L$ . Hence we are in case (i). Now assume that  $\sharp(S \cap T) \leq 2x + 1$  for each conic  $T$ , but that there is a line  $L$  such that  $\sharp(S \cap L) \geq x + 2$ . If we are not in case (i), then as above we get

$h^1(\mathbb{P}^2, \mathcal{I}_{S \setminus S \cap L}(x-1)) > 0$ . Since  $\#(S \setminus S \cap L) \leq 3x - 1 - x - 2 \leq 2(x-1) + 1$ , [1], Lemma 34, gives the existence of a line  $D$  such that  $\#(D \cap (S \setminus S \cap L)) \geq x + 1$ . Hence  $\#(S \cap (L \cup D)) \geq 2x + 3$ , contradicting one of our assumptions.  $\square$

**Lemma 6.** *Let  $Q \subset \mathbb{P}^3$  be a smooth quadric surface and  $L, R \subset Q$  disjoint lines. Fix a finite set  $S \subset Q$  such that  $1 \leq \#(S) \leq 3x + 1$ ,  $\#(S \cap L) \geq x + 1$  and  $\#(S \cap R) \geq x + 1$ . We have  $h^1(\mathbb{P}^3, \mathcal{I}_S(x)) > h^1(\mathbb{P}^3, \mathcal{I}_{S'}(x))$  for all  $S' \subsetneq S$  if and only if  $S \subset L \cup R$ ,  $\#(S \cap L) \geq x + 2$  and  $\#(S \cap R) \geq x + 2$ .*

*Proof.* Without losing generality we may assume that  $L$  and  $R$  are of type  $(1, 0)$  on  $Q$ . Since  $L \cup R \in |\mathcal{O}_Q(2, 0)|$ , there is an exact sequence on  $Q$ :

$$0 \rightarrow \mathcal{I}_{S \setminus (S \cap (L \cup R))}(x-2, x) \rightarrow \mathcal{I}_S(x, x) \rightarrow \mathcal{I}_{S \cap (L \cup R), L \cup R}(x) \rightarrow 0 \quad (6)$$

Since  $\#(S \setminus (S \cap (L \cup R))) \leq 3x + 1 - 2x - 2 = x - 1$ , we have  $h^1(Q, \mathcal{I}_{S \setminus (S \cap (L \cup R))}(x-2, x)) = 0$ . Hence (6) gives  $h^1(Q, \mathcal{I}_S(x)) \geq h^1(Q, \mathcal{I}_{S \cap (L \cup R)}(x))$ . Hence  $S \subset L \cup R$ . Lemma 4 gives  $\#(S \cap L) \geq x + 2$  and  $\#(S \cap R) \geq x + 2$ .  $\square$

**Lemma 7.** *Fix an integer  $x > 0$  and a set  $S \subset \mathbb{P}^r$ ,  $r \geq 3$ , such that  $1 \leq \#(S) \leq 3x - 1$ . We have  $h^1(\mathbb{P}^r, \mathcal{I}_S(x)) > h^1(\mathbb{P}^r, \mathcal{I}_{S'}(x))$  for all  $S' \subsetneq S$  if and only if one of the following cases occurs:*

- (a)  $\#(S) \geq x + 2$  and there is a line  $L \subset \mathbb{P}^r$  such that  $S \subset L$  and  $\#(S) \geq x + 2$ ;
- (b) there are lines  $L, R \subset \mathbb{P}^r$  such that  $L \cap R = \emptyset$ ,  $\#(S \cap L) \geq x + 2$  and  $\#(S \cap R) \geq x + 2$ ;
- (c) there are lines  $L, R \in \mathbb{P}^r$  such that  $L \neq R$ ,  $L \cap R \neq \emptyset$  (say,  $\{O\} := L \cap R$ ), and either  $\#(S \cap L) \geq x + 2$  and  $\#(S \cap R) \geq x + 1$  or  $\#(S \cap L) = \#(S \cap R) = x + 1$  and  $O \notin S$ ;
- (d)  $\#(S) \geq 2x + 2$  and there is a smooth conic  $T_2$  such that  $S \subset T_2$ .

*Proof.* Obviously in case (b) we need  $x \geq 5$ . Since the cases  $x = 1, 2$  are obvious, we assume  $x \geq 3$ . For any line  $L$  and any finite set  $A \subset L$  we have  $h^1(L, \mathcal{I}_{A,L}(x)) = \max\{0, \#(A) - x - 1\}$ . Hence Lemmas 3 and 4 give the “if” part. Now we prove the “only if” part. Fix a finite set  $S \subset \mathbb{P}^r$  such that  $1 \leq \#(S) \leq 3x - 1$  and  $h^1(\mathbb{P}^r, \mathcal{I}_S(x)) > h^1(\mathbb{P}^r, \mathcal{I}_{S'}(x))$  for all  $S' \subsetneq S$ . Set  $s_0 := \#(S)$ . Let  $H_1 \subset \mathbb{P}^3$  be a hyperplane such that  $a_1 := \#(S \cap H_1)$  is maximal. Set  $S_1 := S_0 \setminus S_0 \cap H_1$  and  $s_1 := \#(S_1)$ . The sequence  $\{a_i\}$  is non-decreasing and  $S_{i+1} \subseteq S_i$  for all  $i$ . Since any  $r$  points of  $\mathbb{P}^r$  are coplanar, the maximality



of the integer  $s_i$  gives that if  $a_i \leq r - 1$ , then  $a_{i+1} = 0$ . Hence  $S_i = \emptyset$  for all  $i \geq x + 1$ . For any integer  $i \in \{1, \dots, x + 1\}$  we have an exact sequence

$$0 \rightarrow \mathcal{I}_{S_i}(x - i) \rightarrow \mathcal{I}_{S_{i-1}}(x - i + 1) \rightarrow \mathcal{I}_{S_{i-1} \cap H_i, H_i}(x - i + 1) \rightarrow 0 \quad (7)$$

Since  $h^1(\mathbb{P}^r, \mathcal{I}_{S_0}(x)) = 0$ , (7) implies the existence of an integer  $i \in \{1, \dots, x\}$  such that  $h^1(H_i, \mathcal{I}_{S_{i-1} \cap H_i, H_i}(x - i + 1)) > 0$ . Call  $c$  the minimal such an integer. Since  $h^1(H_c, \mathcal{I}_{S_{c-1} \cap H_c, H_c}(x - c + 1)) > 0$ , we have  $a_c \geq x - c + 3$  and equality holds only if  $S_{c-1} \cap H_c$  is contained in a line ([1], Lemma 34). Since the sequence  $\{a_i\}$  is non-decreasing, we get  $\#(S) \geq c(x - c + 3)$ . The function  $t \mapsto t(x + 3 - t)$  is non-decreasing if  $t \leq (x + 3)/2$  and non-increasing if  $t \geq (x + 3)/2$ . Since  $\#(S) < 3x$  and  $x(x + 3 - x) = 3x$ , we get  $c \in \{1, 2\}$ .

(a) First assume  $r = 3$ .

(a1) Here we assume  $c = 1$ . First assume  $a_1 \geq 2x + 2$ . Since  $\#(S_1) = s_0 - a_1 \leq x$ , we have  $h^1(\mathbb{P}^r, \mathcal{I}_{S_1}(x - 1)) = 0$ . Hence from (7) we get  $h^1(\mathbb{P}^r, \mathcal{I}_{S_1}(x)) \leq h^1(\mathbb{P}^r, \mathcal{I}_{S_1}(x))$ . Since  $h^1(\mathbb{P}^r, \mathcal{I}_S(x)) > h^1(\mathbb{P}^r, \mathcal{I}_{S'}(x))$  for all  $S' \subsetneq S$ , we get  $S_1 = S$ . Lemma 5 gives that we are either in case (a) or in case (c). Similarly, we conclude if  $S_1 = \emptyset$ . Hence we may assume  $S_1 \neq \emptyset$  and  $a_1 \leq 2x + 1$ . There is a line  $L \subset \mathbb{P}^r$  such that  $\#(S \cap L) \geq x + 2$ . Since  $S_1 \neq \emptyset$ , we have  $h^1(\mathbb{P}^r, \mathcal{I}_S(x)) > h^1(\mathbb{P}^r, \mathcal{I}_{S \cap H_1}(x))$ . Hence the case  $i = 1$  of (7) gives  $h^1(\mathbb{P}^r, \mathcal{I}_{S_1}(x - 1)) > 0$ . Since  $\#(S_1) \leq 2(x - 1) + 1$ , there is a line  $R \subset H_1$  such that  $\#(S_1 \cap R) \geq x + 1$  ([1], Lemma 34). First assume  $L \cap R \neq \emptyset$ . Let  $N$  be the plane spanned by  $L \cup R$ . Since  $\#(S \cap (L \cup R)) \geq 2x + 3$ , we have  $a_1 \geq 2x + 3$ . Hence  $a_2 \leq x - 4$ , absurd. Now assume  $L \cap R = \emptyset$ . There is a smooth quadric surface  $Q \supset L \cup R$ . Since  $\text{deg}(Q) = 2$ , we have an exact sequence on  $\mathbb{P}^3$ :

$$0 \rightarrow \mathcal{I}_{S \setminus S \cap Q}(x - 2) \rightarrow \mathcal{O}_S(x) \rightarrow \mathcal{I}_{S \cap Q, Q}(x) \rightarrow 0 \quad (8)$$

Since  $\#(S \setminus S \cap Q) \leq 3x - 1 - \#(S \cap L \cup R) \leq x - 1$ , we have  $h^1(\mathbb{P}^3, \mathcal{I}_{S \setminus S \cap Q}(x - 2)) = 0$ . Hence (8) gives  $h^1(\mathbb{P}^3, \mathcal{I}_S(x)) \leq h^1(Q, \mathcal{I}_{S \cap Q, Q}(x)) \leq h^1(\mathbb{P}^3, \mathcal{I}_{S \cap Q}(x))$ . Since  $h^1(\mathbb{P}^r, \mathcal{I}_S(x)) > h^1(\mathbb{P}^r, \mathcal{I}_{S'}(x))$  for all  $S' \subsetneq S$ , we get  $S = S \cap Q$ . Apply Lemma 6.

(a2) Here we assume  $c = 2$ . Since  $a_1 \geq a_2$  and  $a_1 + a_2 \leq \#(S) < 3x$ , we have  $a_2 \leq 2(x - 1) + 1$ . Hence there is a line  $D \subset H_1$  such that  $\#(D \cap S) \geq x + 1$ . Let  $M$  be a hyperplane containing  $D$  and with maximal  $b_1 := \#(M \cap S)$ . Set  $W := S \setminus S \cap M$ . Since  $\text{deg}(M) = 1$  we have an exact sequence

$$0 \rightarrow \mathcal{I}_{S \setminus S \cap M}(x - 1) \rightarrow \mathcal{I}_S(x) \rightarrow \mathcal{I}_{S \cap M, M}(x) \rightarrow 0 \quad (9)$$

First assume  $h^1(\mathbb{P}^3, \mathcal{I}_{S \setminus S \cap M}(x-1)) = 0$ . From (9) we get  $h^1(\mathbb{P}^3, \mathcal{I}_S(x)) \leq h^1(\mathbb{P}^3, \mathcal{I}_{S \cap M}(x))$ . Hence  $S = S \cap M$ . Apply Lemma 5. Now assume

$$h^1(\mathbb{P}^3, \mathcal{I}_{S \setminus S \cap M}(x-1)) > 0.$$

There is a line  $T \subset \mathbb{P}^3$  such that  $\sharp(T \cap (S \setminus S \cap M)) \geq x+1$ . If  $T \cap D \neq \emptyset$ , then we are done, because  $M \supset D$ . Now assume  $T \cap D = \emptyset$ . Take a smooth quadric surface  $Q' \supset D \cup T$ . Since  $\sharp(S \setminus S \cap Q') \leq 3x-1-2x-2 \leq x-1$ , as in step (a1) we get  $S = S \cap Q'$ . Apply Lemma 6.

(b) Now we assume  $r > 3$  and that the lemma is true in  $\mathbb{P}^{r-1}$ . We conclude as above using the inductive assumption instead of Lemma 5 (in part (a1) we may take a hyperplane containing  $L \cup R$  even if  $L \cap R = \emptyset$ ).  $\square$

**Lemma 8.** *Fix an integer  $x > 0$ , a zero-dimensional scheme  $E \subset \mathbb{P}^r$ ,  $r \geq 2$ , such that  $\deg(E) \leq x$  and a finite set  $S \subset \mathbb{P}^r$  such that  $1 \leq \sharp(S) \leq 2x+1 - \deg(E)$  and  $S \subset E = \emptyset$ . We have  $h^1(\mathbb{P}^r, \mathcal{I}_{E \cup S}(x)) > h^1(\mathbb{P}^r, \mathcal{I}_{E \cup S'}(x))$  for all  $S' \subseteq S$  if and only if there is a line  $L \subset \mathbb{P}^r$  such that  $S \subset L$  and  $\sharp(S) + \deg(E \cap L) \geq x+2$ .*

*Proof.* Since  $\deg(E) + \sharp(S) \leq 2x+1$ , we have  $h^1(\mathbb{P}^r, \mathcal{I}_{E \cup S}(x)) > 0$  if and only if there is a line  $L \subset \mathbb{P}^r$  such that  $\deg(L \cap (E \cup S)) \geq x+2$  ([1], Lemma 34, and Remark 2). Since the scheme-theoretic intersection of two different lines has degree  $\leq 1$ , this line  $L$  is unique. We need to prove that  $h^1(\mathbb{P}^r, \mathcal{I}_{E \cup S}(x)) > h^1(\mathbb{P}^r, \mathcal{I}_{E \cup S'}(x))$  for all  $S' \subseteq S$  if and only if  $S \subset L$ . Assume  $h^1(\mathbb{P}^r, \mathcal{I}_{E \cup S}(x)) > h^1(\mathbb{P}^r, \mathcal{I}_{E \cup S'}(x))$  for all  $S' \subseteq S$  if and only if  $S \subset L$ . Let  $H$  be any hyperplane containing  $L$  (hence  $H = L$  if  $r = 2$ ). We have  $\deg(\text{Res}_H(E \cup S)) \leq 2x+1-x-2 \leq x$ . Hence [1], Lemma 34, gives  $h^1(\mathbb{P}^r, \mathcal{I}_{\text{Res}_H(E \cup S)}(x-1)) = 0$ . The case  $k = 1$  of (1) gives  $h^1(\mathbb{P}^r, \mathcal{I}_{E \cup S}(x)) \leq h^1(H, \mathcal{I}_{(E \cup S) \cap H}(x))$ . Since  $h^1(H, \mathcal{I}_{(E \cup S) \cap H}(x)) = h^1(\mathbb{P}^r, \mathcal{I}_{(E \cup S) \cap H}(x)) \leq h^1(\mathbb{P}^r, \mathcal{I}_{E \cup (S \cap H)}(x))$  (Remark 2), we get  $S = S \cap H$ . Since this is true for all hyperplanes containing  $L$ , we get  $S \subset L$ .

Now we prove the converse. Take any finite set  $A \subset L \setminus (E \cap L)_{red}$  such that  $\sharp(A) + \deg(E) \leq 2x+1$ . First assume that either  $\deg(E) \leq x+1$  or  $E \cap L \neq \emptyset$ . In this case we have  $\deg(\text{Res}_H(E \cup A)) = \deg(\text{Res}_H(E)) \leq x$ . Hence the proof just given gives  $h^1(\mathbb{P}^r, \mathcal{I}_{A \cup E}(x)) = \max\{0, \deg(E \cap L) + \sharp(A) - x - 1\}$ . Now assume  $\deg(E) = x+1$  and  $E \cap L = \emptyset$ . Either  $h^1(\mathbb{P}^r, \mathcal{I}_{A \cup E}(x)) = 0$  or there is a line  $R \subset \mathbb{P}^r$  such that  $\deg(R \cap (E \cup A)) \geq x+2$ . Since  $A \subset L$ ,  $E \cap L = \emptyset$  and  $\sharp(A) \leq x+1$ , we have  $R \neq L$ . Since  $A \subset L$ , we get  $L \cap R \neq \emptyset$  and that the point  $R \cap L$  is one of the point of  $A$ . Taking a hyperplane  $M$  containing  $R$  we

get as above  $h^1(\mathbb{P}^r, \mathcal{I}_{E \cup A}(x)) = h^1(\mathbb{P}^r, \mathcal{I}_{E \cup L \cap R}(x))$ . We are in the set-up of the lemma with  $S = R \cap L$  and  $\sharp(S) = 1$ .  $\square$

### 3. Proofs of Theorems 1 and 2

*Proofs of Theorem 1 and 2.* Let  $S \subset B$  be the support of a codeword of  $\mathcal{C}(B, \mathcal{O}_C(x)(-E))^\perp$  (with  $E = \emptyset$  for Theorem 1) and either  $\sharp(S) \leq 2x + 1 - \deg(E)$  (case  $E \neq \emptyset$ ) or  $\sharp(S) < 3x$  (case  $E = \emptyset$ ). If  $E \neq \emptyset$ , Lemma 8 gives the existence of a line  $L$  such that  $S \subset L$  and  $\deg(L \cap (E \cup S)) \geq x + 2$ . Since  $E \cup S \subset C \subset \mathcal{H}$  and  $x + 2 > q + 1 \deg(\mathcal{H})$ , Bezout theorem gives  $L \subset \mathcal{H}$ . If  $\sharp(S) \geq 2$ , then  $L$  is defined over  $\mathbb{F}_{q^2}$ , i.e.  $L \in \Phi$ . Hence  $L \in \Phi_0$ . Now assume  $\sharp(S) \leq 1$ . Since  $\deg(E) \leq x + 1$  and  $\deg(L \cap (E \cup S)) \geq x + 2$ , we get  $\deg(E) = x + 1$  and  $E \subset L$ . Since  $\deg(E) \geq 2$ , the line  $L$  is uniquely determined by  $E$ . Since  $E$  is defined over  $\mathbb{F}_{q^2}$ , then  $L$  is defined over  $\mathbb{F}_{q^2}$ . Hence  $L \in \Phi_0$  even in this case. From now on we assume  $E = \emptyset$ . By Lemma 7 we are in one of the cases (a), (b), (c) of Lemma 7. In all cases we have a reduced curve  $T''$  such that  $S \subset T''$  and for each irreducible component  $T'$  of  $T''$  we have  $\sharp(S \cap T') \geq (x + 1) \deg(T') > \deg(\mathcal{H}) \cdot \deg(T')$ . Hence  $T'' \subset \mathcal{H}$ . We also see that each irreducible component of  $T''$  is defined over  $\mathbb{F}_{q^2}$ . Hence case (a) (resp. (b), resp. (c)) of Lemma 7 corresponds to case (i) (resp. (ii), resp. (iii)) of the statement of Theorem 2.

Now we exclude cas (d) of Lemma 7, i.e. we exclude that  $T''$  is a smooth conic. Let  $D \subset \mathbb{P}^3$  be smooth conic such that  $\sharp(D \cap B) \geq 5$ . Any smooth conic is uniquely determined by 5 of its points. Since each point of  $B$  is defined over  $\mathbb{F}_{q^2}$ ,  $D$  is defined over  $\mathbb{F}_{q^2}$ . In order to obtain a contradiction we assume  $\sharp(D \cap B) \geq 2q + 3$ . Bezout theorem gives  $D \subset \mathcal{H}$  (not only  $D(\mathbb{F}_{q^2}) \subset \mathcal{H}(\mathbb{F}_{q^2})$ ). Since  $D$  is defined over  $\mathbb{F}_{q^2}$ , the plane  $H$  spanned by  $D$  is defined over  $\mathbb{F}_{q^2}$ . Hence  $H \cap \mathcal{H}$  is either a smooth degree  $q + 1$  curve (a Hermitian curve) or a union of  $q + 1$  lines. Since  $D \subseteq \mathcal{H} \cap H$ , in both cases we get a contradiction.  $\square$

### 4. Geometry of $\mathcal{H}$ and Arithmetically Cohen-Macaulay Curves

For each  $P \in \mathcal{H}$ , let  $T_P \mathcal{H}$  denote the tangent plane to  $\mathcal{H}$ . We have  $\sharp(\mathcal{H}(\mathbb{F}_{q^2})) = (q^2 + 1)q^2(q^3 + 1)$  and for each  $P \in \mathcal{H}(\mathbb{F}_{q^2})$  the scheme  $T_P \mathcal{H}$  is a cone formed by  $q + 1$  distinct lines through  $P$ , each of them defined over  $\mathbb{F}_{q^2}$  ([12], Ch. 19). Hence  $\sharp(T_P \mathcal{H} \cap \mathcal{H}(\mathbb{F}_{q^2})) = 1 + (q + 1)q^2$ . Varying  $P \in \mathcal{H}(\mathbb{F}_{q^2})$  we get that  $\mathcal{H}(\mathbb{F}_{q^2})$  is covered by  $(q + 1)(q^3 + 1)$  lines of  $PG(3, q^2)$ . Each plane of  $PG(3, q^2)$

not tangent to  $\mathcal{H}$  at a point of  $PG(3, q^2)$  intersects  $\mathcal{H}$  in a smooth Hermitian curve, because the intersection is a Hermitian curve with full rank ([12], Lemma 19.1.2).

Take a geometrically connected curve  $C \subset \mathcal{H}$  defined over a finite extension,  $K$ , of  $\mathbb{F}_{q^2}$ . Here we take as  $\mathcal{L}$  a hyperplane line bundle, say  $\mathcal{O}_C(t)$ , and fix  $B \subseteq C(K)$ . Look at the restriction maps  $\rho_{C,t} : H^0(\mathbb{P}^3, \mathcal{O}_{\mathbb{P}^3}(t)) \rightarrow H^0(C, \mathcal{O}_C(t))$  and  $\rho'_{C,t} : H^0(\mathcal{H}, \mathcal{O}_{\mathcal{H}}(t)) \rightarrow H^0(C, \mathcal{O}_C(t))$ . Since the restriction map  $H^0(\mathbb{P}^3, \mathcal{O}_{\mathbb{P}^3}(t)) \rightarrow H^0(\mathcal{H}, \mathcal{O}_{\mathcal{H}}(t))$  is surjective, we have  $\text{Im}(\rho'_{C,t}) = \text{Im}(\rho_{C,t})$ . Over  $C$  we have the Goppa code obtained evaluating  $H^0(C, \mathcal{O}_C(t))$  ([20], II.2.1, [21]) and the “ field code ” obtained from  $\text{Im}(\rho_{C,t})$  ([19], [18], Lemma 6.5.1). The latter is easier from a computation point of view, because only involves homogeneous degree  $t$  polynomials. The former is conceptually easier and its dual code is again a Goppa code on  $C$  ([20], Theorem II.2.8). As shown in [2] the low weight codewords associated to the dual of  $\text{Im}(\rho)$  may be found only using elementary geometric properties of  $B$  (e.g. the maximal number of collinear points of  $B$ ). A curve  $C \subset \mathbb{P}^3$  is said to be arithmetically Cohen-Macaulay if  $\rho_{C,t}$  is surjective for all  $t$ . Any complete intersection curve is arithmetically Cohen-Macaulay (see. e.g. [2] or [7]). In this section we construct several arithmetically Cohen-Macaulay curves  $C \subset \mathcal{H}$  which are not complete intersection (see Corollary 1 and Remark 4).

**Lemma 9.** *Let  $W \subset \mathbb{P}^3$  be any effective divisor and  $T \subset \mathbb{P}^3$  any projective curve contained in  $W$ . Set  $c := \text{deg}(C)$ .*

(a) *For each integer  $t$  the restriction mapp*

$$\rho_{W,t} : H^0(\mathbb{P}^3, \mathcal{O}_{\mathbb{P}^3}(t)) \rightarrow H^0(W, \mathcal{O}_W(t))$$

*is surjective.*

(c)  *$C$  is arithmetically Cohen-Macaulay if and only if for every  $t \in \mathbb{N}$  the restriction map  $\rho_{W,C,t} : H^0(W, \mathcal{O}_W(t)) \rightarrow H^0(C, \mathcal{O}_C(t))$  is surjective.*

(d) *Fix  $t \in \mathbb{N}$ . the map  $\rho_{W,C,t}$  is surjective if and only if  $H^1(W, \mathcal{I}_{T,W}(t)) = 0$ .*

(e) *If  $T \in |\mathcal{O}_W(z)|$  for some  $z > 0$ , then  $T$  is arithmetically Cohen-Macaulay.*

(f) *Assume that  $T$  is a complete intersection of two surfaces, say of degree  $d_1, d_2$  with  $d_1 \leq d_2$ . Then  $d_1 \leq \text{deg}(W)$ . If  $W$  is geometrically integral, then either  $d_1 = \text{deg}(W)$  or  $\text{deg}(W) \geq d_2$ . If  $d_1 \leq \text{deg}(W) \leq d_2$ , then  $\text{deg}(W) \in \{d_1, d_2\}$  and  $T$  is the complete intersection of  $W$  and a surface of degree  $\text{deg}(T)/\text{deg}(W)$ .*

*Proof.* For each integer  $t$  we have an exact sequence

$$0 \rightarrow \mathcal{O}_{\mathbb{P}^3}(t-c) \rightarrow \mathcal{O}_{\mathbb{P}^3}(t) \rightarrow \mathcal{O}_W(t) \rightarrow 0 \tag{10}$$

Since  $H^1(\mathbb{P}^3, \mathcal{O}_{\mathbb{P}^3}(t-c)) = 0$  ([11], part (b) of Theorem III.5.1) we get (a). Part (a) implies the “if” part (b). The “only if” part of (b) is trivial, because the restriction map  $H^0(\mathbb{P}^3, \mathcal{O}_{\mathbb{P}^3}(t)) \rightarrow H^0(C, \mathcal{O}_C(t))$ . Consider the exact sequence

$$0 \rightarrow \mathcal{I}_{T,W}(t) \otimes \mathcal{O}_W(t) \rightarrow \mathcal{O}_T(t) \rightarrow 0 \tag{11}$$

Since  $h^i(\mathbb{P}^3, \mathcal{O}_{\mathbb{P}^3}(x)) = 0, i = 1, 2$  for all  $x \in \mathbb{Z}$ , we have  $h^1(S, \mathcal{O}_W(t)) = 0$ . Hence (11) shows that  $H^1(S, \mathcal{O}_W(t)(-T))$  is the cokernel of  $\rho_{W,C,t}$ , proving part (d). Part (e) follows from part (d), because we proved that  $H^1(W, \mathcal{O}_W(t-z)) = 0$  for all  $t$ . Now assume that  $T$  is the complete intersection of a surface  $W_1$  of degree  $d_1$  and a surface of degree  $d_2 \geq d_1$ . Using (12) with  $W_1$  instead of  $W$  and  $d_1$  instead of  $c$  we get that  $T$  is contained in no surface of degree  $< d_1$ . Using also (11) for  $(W_1, d_1)$  instead of  $(W, c)$  we get that every surface of degree  $x$  with  $d_1 \leq x < d_2$  contains  $W_1$  as a component. Hence we get (f).  $\square$

Part (e) is useful to check that most of the arithmetically Cohen-Macaulay curves obtained in this paper are not complete intersections.

For any  $P \in \mathcal{H}(\mathbb{F}_{q^2})$  let  $A(P)$  denote the set of  $q + 1$  lines of  $\mathcal{H} \cap T_P\mathcal{H}$ . For any non-empty subset  $S$  of the lines of  $T_P\mathcal{H} \cap \mathcal{H}$  we call  $L(P, S)$  the scheme  $\cup_{L \in S} L$ . Each scheme  $L(P, S)$  is defined over  $\mathbb{F}_{q^2}$  and it is isomorphic to over  $\mathbb{F}_{q^2}$  to a degree  $t$  plane curve (where  $t := \sharp(S)$ ), union of  $t$  distinct lines through  $P$ , each of them defined over  $\mathbb{F}_{q^2}$ . Since  $L(P, S)$  is a plane curve, it is arithmetically Cohen-Macaulay. Hence for each integer  $z > 0$  each divisor  $A \in |\mathcal{O}_{\mathcal{H}}(z)(-L(P, S))|$  is arithmetically Cohen-Macaulay ([7], part (b) of Theorem 21.23).

Fix a plane  $H \subset \mathbb{P}^3$  defined over  $\mathbb{F}_{q^2}$  and transversal to  $\mathcal{H}$ . The smooth curve  $D := H \cap \mathcal{H}$  is a smooth Hermitian curve ([12], Table 1) and hence  $\sharp(D(\mathbb{F}_{q^2})) = q^3 + 1$ . For all  $P, Q \in D(\mathbb{F}_{q^2})$ , with  $P \neq Q$  the tangent planes  $T_P\mathcal{H}$  and  $T_Q\mathcal{H}$  are distinct ([12], Lemma 19.1.4 (i)). The line  $\langle\{P, Q\}\rangle$  is contained in  $H$  (because  $\{P, Q\} \subset H$ ), but not in  $\mathcal{H}$ , because  $H \cap \mathcal{H}$  is the smooth curve  $D$ . Hence the line  $T_P\mathcal{H} \cap T_Q\mathcal{H}$  is not contained in  $\mathcal{H}$ . Hence  $T_P\mathcal{H} \cap \mathcal{H}$  and  $T_Q\mathcal{H} \cap \mathcal{H}$  have no common line. Conversely, if  $P, Q \in \mathcal{H}(\mathbb{F}_{q^2})$ ,  $P \neq Q$  and the line  $\langle\{P, Q\}\rangle$  is contained in  $\mathcal{H}$ , then  $\langle\{P, Q\}\rangle = (T_P\mathcal{H} \cap \mathcal{H}) \cap (T_Q\mathcal{H} \cap \mathcal{H})$ .

**Lemma 10.** *Set  $\Psi := \cup_{L \in \Phi} L$ . Then  $\Psi = \cup_{P \in D(\mathbb{F}_{q^2})} (T_P\mathcal{H} \cap \mathcal{H})$  and  $\Psi$  is the complete intersection of  $\mathcal{H}$  with a degree  $(q^3 + 1)$  surface, union of  $q^3 + 1$  planes, each of them defined over  $\mathbb{F}_{q^2}$ .*

*Proof.* Set  $\Sigma := \cup_{P \in D(\mathbb{F}_{q^2})}(T_P\mathcal{H} \cap \mathcal{H})$ . We claim that  $\Psi = \Sigma$ . Indeed, obviously  $\Sigma \subseteq \Psi$ . Fix any  $L \in \Phi$ . Since  $H$  meets any line of  $\mathbb{P}^3$ ,  $H \cap L \neq \emptyset$ . Since  $L \subset \mathcal{H}$ , we have  $L \not\subseteq H$  and hence  $H \cap L$  is a point (call it  $P$ ). Since  $L \subset \mathcal{H}$ , we have  $P \in D$ . Since  $L$  and  $D$  are defined over  $\mathbb{F}_{q^2}$ , we have  $P \in D(\mathbb{F}_{q^2})$ . Hence  $L \in T_P\mathcal{H}$ . Hence  $L \in \Phi$ . Hence  $L \subset \Sigma$ . Thus  $\Psi$  is the complete intersection of  $\mathcal{H}$  with a degree  $(q^3 + 1)$  surface, union of  $q^3 + 1$  planes, each of them defined over  $\mathbb{F}_{q^2}$ .  $\square$

**Lemma 11.** *Fix integer  $t, a \in \{1, \dots, q + 1\}$ , a line  $L \subset \mathbb{P}^3$  defined over  $\mathbb{F}_{q^2}$  and transversal to  $\mathcal{H}$  (and hence with  $\sharp(\mathcal{H}(\mathbb{F}_{q^2}) \cap D) = q + 1$ ) and a set  $S \subseteq \mathcal{H}(\mathbb{F}_{q^2}) \cap D$  such that  $\sharp(S) = t$ . Fix a points  $P_1, \dots, P_a \in \mathcal{H}(\mathbb{F}_{q^2}) \setminus D$  such that  $T_{P_i}\mathcal{H} \supset D$  for all  $i$  (there are  $q + 1$  such points). Then  $W := \cup_{i=1}^a L(P_i, S)$  is the complete intersection of the degree  $a$  surface  $\cup_{i=1}^a T_{P_i}\mathcal{H}$  and a surface union of  $t$  distinct planes defined over  $\mathbb{F}_{q^2}$ .*

*Proof.* Fix  $A \in \mathcal{H}(\mathbb{F}_{q^2})$ , We have  $P \in T_A\mathcal{H}$  if and only if  $\langle \{P, A\} \rangle \subset \mathcal{H}$ , i.e. if and only if  $A \in T_P\mathcal{H}$ . Since  $T_P\mathcal{H} \cap T_Q\mathcal{H} \cap \mathbb{H}$  is formed by  $q + 1$  collinear points, we may take as  $a$  any integer  $\leq q + 1$ .

If  $a = 1$ , then  $W$  is a plane curve union of  $t$  lines and hence the lemma is obvious. Now assume  $a \geq 2$ . For each  $Q \in S$  set  $H_Q := \langle \{Q, P_1, P_2\} \rangle$ .  $H_Q$  is a plane, because the line  $T_{P_1}\mathcal{H} \cap T_{P_2}\mathcal{H} = L$  implies  $P_2 \notin T_{P_1}\mathcal{H}$ , while the line  $\langle \{Q, P_1\} \rangle$  is contained in  $T_{P_1}\mathcal{H}$ . Since  $H_Q \cap \mathcal{H}$  contains two lines through  $Q$ , it is the union of  $q + 1$  lines defined over  $\mathbb{F}_{q^2}$  and forming a singular Hermitian curve of  $H_Q$ . Among these lines there are the lines  $L(P_i, Q)$ ,  $1 \leq i \leq a$ . Hence  $W = \cup_{i=1}^a T_{P_i}\mathcal{H} \cap (\cup_{Q \in S} H_Q)$ .  $\square$

**Lemma 12.** *Let  $W \subset \mathbb{P}^3$  be any smooth surface and  $\mathcal{L}$  any line bundle on  $W$ . Fix an integer  $z$  and curves  $D \in |\mathcal{L}|$  and  $T \in |\mathcal{L}(z)|$ . If  $D$  is arithmetically Cohen-Macaulay, then  $T$  is arithmetically Cohen-Macaulay.*

*Proof.* Fix  $t \in \mathbb{Z}$ . We need to prove the surjectivity of the restriction map  $H^0(\mathbb{P}^3, \mathcal{O}_{\mathbb{P}^3}(t)) \rightarrow H^0(T, \mathcal{O}_T(t))$ . Since the restriction map  $H^0(\mathbb{P}^3, \mathcal{O}_{\mathbb{P}^3}(t)) \rightarrow H^0(\mathcal{H}, \mathcal{O}_{\mathcal{H}}(t))$  is surjective, it is sufficient to prove the surjectivity of the restriction map  $\rho_{T,t} : H^0(\mathcal{H}, \mathcal{O}_{\mathcal{H}}(t)) \rightarrow H^0(T, \mathcal{O}_T(t))$ . Since  $\mathcal{H}$  is a surface of  $\mathbb{P}^3$ , we have  $h^1(\mathcal{H}, \mathcal{O}_{\mathcal{H}}(t)) = 0$ . Hence the exact sequence

$$0 \rightarrow \mathcal{O}_{\mathcal{H}}(t)(-T) \rightarrow \mathcal{O}_{\mathcal{H}}(t) \rightarrow \mathcal{O}_T(t) \rightarrow 0 \tag{12}$$

shows that  $\rho_{T,t}$  is surjective if and only if  $h^1(\mathcal{H}, \mathcal{O}_{\mathcal{H}}(t))(-T) = 0$ . Using  $D$  instead of  $T$  and  $t - z$  instead of  $t$  in (12) and that  $D$  is arithmetically Cohen-Macaulay we get  $h^1(\mathcal{H}, \mathcal{O}_{\mathcal{H}}(t - z))(-D) = 0$ . Since  $\mathcal{O}_{\mathcal{H}}(t - z)(-D) \cong \mathcal{L} \cong \mathcal{O}_{\mathcal{H}}(t)(-T)$ ,  $T$  is arithmetically Cohen-Macaulay.  $\square$

**Corollary 1.** Fix sets  $F \subsetneq A \subseteq \Phi$  such that  $\cup_{L \in A} L$  is a complete intersection and  $\cup_{L \in F} L$  is arithmetically Cohen-Macaulay. Fix any  $t \in \mathbb{Z}$  and any  $Y \in |\mathcal{O}_{\mathcal{H}}(\cup_{L \in A \setminus F} L)(t)|$ . Then  $Y$  is arithmetically Cohen-Macaulay.

*Proof.* Apply Lemma 12 and [7], part (b) of Theorem 21.23.  $\square$

**Remark 4.** See Lemmas 10 and 11 for the constructions of sets  $G \subseteq \Phi$  such that  $\cup_{L \in G} L$  is a complete intersection. Since a complete intersection is arithmetically Cohen-Macaulay, we may apply these constructions also to the set  $F$  appearing in Corollary 1. Notice that in general  $\cup_{L \in A \setminus F} L$  is not a complete intersection, even if both  $\cup_{L \in A} L$  and  $\cup_{L \in F} L$  are complete intersections.

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