

THE FORCING EDGE STEINER NUMBER OF A GRAPH

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Abstract: For a connected graph $G = (V, E)$, a set $W \subseteq V(G)$ is called an edge Steiner set of G if every edge of G is contained in a Steiner W -tree of G . The edge Steiner number $s_1(G)$ of G is the minimum cardinality of its edge Steiner sets and any edge Steiner set of cardinality $s_1(G)$ is a minimum edge Steiner set of G . For a minimum edge Steiner set W of G , a subset $T \subseteq W$ is called a forcing subset for W if W is the unique minimum edge Steiner set containing T . A forcing subset for W of minimum cardinality is a minimum forcing subset of W . The forcing edge Steiner number of W , denoted by $fs_1(W)$, is the cardinality of a minimum forcing subset of W . The forcing edge Steiner number of G , denoted by $fs_1(G)$, is $fs_1(G) = \min\{fs_1(W)\}$, where the minimum is taken over all minimum edge Steiner sets W in G . Some general properties satisfied by this concept are studied. The forcing edge Steiner numbers of certain classes of graphs are determined. It is shown for every pair of integers with $0 \leq a \leq b$, $b \geq 2$ and $b - a - 1 > 0$, there exists a connected graph G such that $fs_1(G) = a$ and $s_1(G) = b$.

AMS Subject Classification: 05C12

Key Words: Steiner distance, Steiner number, edge Steiner number, forcing Steiner number, forcing edge Steiner number

Received: August 28, 2017

Revised: August 7, 2018

Published: August 10, 2018

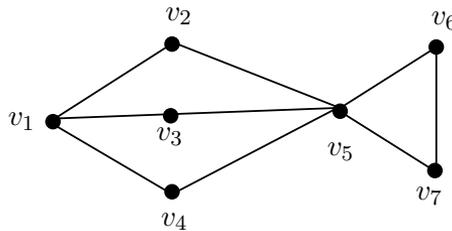
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1. Introduction

By a graph $G = (V, E)$, we mean a finite undirected connected graph without loops or multiple edges. The order and size of G are denoted by p and q respectively. The distance $d(u, v)$ between two vertices u and v in a connected graph G is the length of a shortest $u - v$ path in G . An $u - v$ path of length $d(u, v)$ is called an $u - v$ geodesic. For basic graph theoretic terminology, we refer to Harary [1]. For a non-empty set W of vertices in a connected graph G , the Steiner distance $d(W)$ of W is the minimum size of a connected subgraph of G containing W . Necessarily, each such subgraph is a tree and is called a Steiner tree with respect to W or a Steiner W -tree. It is to be noted that $d(W) = d(u, v)$, when $W = \{u, v\}$. The set of all vertices of G that lie on some Steiner W -tree is denoted by $S(W)$. If $S(W) = V$, then W is called a Steiner set for G . A Steiner set of minimum cardinality is a minimum Steiner set or simply a s -set of G and this cardinality is the Steiner number $s(G)$ of G . The Steiner number of a graph was introduced and studied in [2] and further studied in [3,4,5,6]. When $W = \{u, v\}$, every Steiner W -tree in G is a $u - v$ geodesic. Also $S(W)$ equals the set of vertices lying in $u - v$ geodesic, inclusive of u, v . Hence Steiner sets, Steiner numbers can be consider as extensions of geodesic concepts. For the graph G given in Figure 1.1, $W = \{v_1, v_6, v_7\}$ is a minimum Steiner set of G so that $s(G) = 3$.



G

Figure 1.1

A subset $T \subseteq S$ is called a forcing subset for S if S is the unique minimum Steiner set containing T . A forcing subset for S of minimum cardinality is a minimum forcing subset of S . The forcing Steiner number of S , denoted by $f(S)$, is the cardinality of a minimum forcing subset of S . The forcing Steiner number of G , denoted by $f(G)$, is $f(G) = \min\{f(S)\}$, where the minimum is taken over all minimum Steiner sets S in G . The forcing Steiner number of a graph was introduced and studied in [2] and further studied in [4,6]. An edge Steiner set of G is a set $W \subseteq V(G)$ such that every edge of G is contained in a

Steiner W -tree. The edge Steiner number $s_1(G)$ is the minimum cardinality of its edge Steiner sets and any edge Steiner set of cardinality $s_1(G)$ is a minimum edge Steiner set or simply a s_1 -set of G . For the graph G given in Figure 1.2, $W = \{v_3, v_5\}$ is a minimum Steiner set of G so that $s(G) = 2$ and $W_1 = \{v_1, v_2, v_4\}$ is a minimum edge Steiner set of G so that $s_1(G) = 3$.

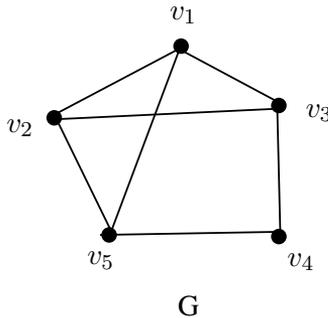


Figure 1.2

A vertex v is an extreme vertex of a graph G if the subgraph induced by its neighbors is complete. Throughout the following denotes a connected graph with at least two vertices. The following theorems are used in the sequel.

Theorem 1.1. [5] *Each extreme vertex of a graph G belongs to every edge Steiner set of G .*

Theorem 1.2. [5] *For the complete graph $G = K_p$, $s_1(G) = p$.*

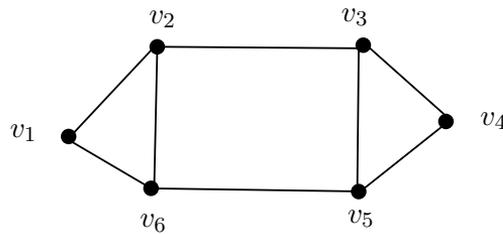
2. The Forcing edge Steiner Number of a Graph

Even though every connected graph contains a minimum edge Steiner set, some connected graphs may contain several minimum edge Steiner sets. For each minimum edge Steiner set W in a connected graph G , there is always some subset T of W that uniquely determines W as the minimum edge Steiner set containing T . Such “forcing subsets” will be considered in this section.

Definition 2.1. *Let G be a connected graph and W a minimum edge Steiner set of G . A subset $T \subseteq W$ is called a forcing subset for W if W is the unique minimum edge Steiner set containing T . A forcing subset for W of minimum cardinality is a minimum forcing subset of W . The forcing edge Steiner number of W , denoted by $fs_1(W)$, is the cardinality of a minimum*

forcing subset of W . The forcing edge Steiner number of G , denoted by $f_{s_1}(G)$, is $f_{s_1}(G) = \min\{f_{s_1}(W)\}$, where the minimum is taken over all minimum edge Steiner sets W in G .

Example 2.2. For the graph G given in Figure 1.1, $W_1 = \{v_1, v_6, v_7\}$ is the unique minimum edge Steiner set of G so that $f_{s_1}(G) = 0$. For the graph G given in Figure 2.1, $W_1 = \{v_1, v_2, v_4, v_5\}$ and $W_2 = \{v_1, v_3, v_4, v_6\}$ are the only two minimum edge Steiner sets of G . It is clear that $f_{s_1}(W_1) = f_{s_1}(W_2) = 1$ and so $f_{s_1}(G) = 1$.



G
Figure 2.1

The next theorem follows immediately from the definitions of the edge Steiner number and the forcing edge Steiner number of a connected graph G .

Theorem 2.3. For every connected graph G , $0 \leq f_{s_1}(G) \leq s_1(G)$. The following theorem characterizes graphs G for which the bounds in the Theorem 2.3 attained and also graph for which $f_{s_1}(G) = 1$. Since the proof of the theorem is straight forward, we omit it.

Theorem 2.4. Let G be a connected graph. Then

- i) $f_{s_1}(G) = 0$ if and only if G has a unique minimum edge Steiner set.
- ii) $f_{s_1}(G) = 1$ if and only if G has at least two minimum edge Steiner sets, one of which is a unique minimum edge Steiner set containing one of its elements, and
- iii) $f_{s_1}(G) = s_1(G)$ if and only if no minimum edge Steiner set of G is the unique minimum edge Steiner set containing any of its proper subsets.

Definition 2.5. A vertex v of a graph G is said to be an edge Steiner vertex if v belongs to every minimum edge Steiner set of G .

Example 2.6. For the graph G given in Figure 2.2, $W_1 = \{v_1, v_3, v_4\}$ and $W_2 = \{v_1, v_3, v_5\}$ are the only two minimum edge Steiner sets of G so that v_1 and v_3 are the edge Steiner vertices of G .

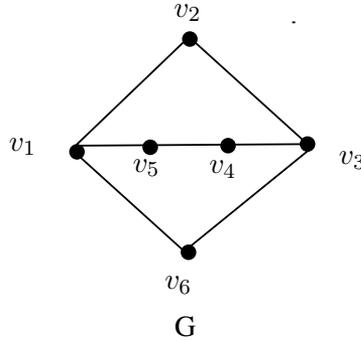


Figure 2.2

Theorem 2.7. Let G be a connected graph and W the set of all edge Steiner vertices of G . Then $f_{s_1}(G) \leq s_1(G) - |W|$.

Proof. Let S be any minimum edge Steiner set of G . Then $s_1(G) = |S|$, $W \subseteq S$ and S is the unique minimum edge Steiner set containing $S - W$. Thus $f_{s_1}(G) \leq |S - W| = |S| - |W| = s_1(G) - |W|$. \square

Corollary 2.8. If G is a connected graph with k extreme vertices, then $f_{s_1}(G) \leq s_1(G) - k$.

Proof. This follows from Theorems 1.1 and 2.7. \square

Remark 2.9. The bound in Theorem 2.7 is sharp. For the graph G given in Figure 2.2, $S_1 = \{v_1, v_3, v_4\}$ and $S_2 = \{v_1, v_3, v_5\}$ are the only two s_1 -sets so that $s_1(G) = 3$ and $f_{s_1}(G) = 1$. Also, $W = \{v_1, v_3\}$ is the set of all edge Steiner vertices of G and so $f_{s_1}(G) = s_1(G) - |W|$. Also, the inequality in Theorem 2.7 can be strict. For the graph G given in Figure 2.1, $s_1(G) = 4$ and $f_{s_1}(G) = 1$. Since $W = \{v_1, v_4\}$ is the set of all edge Steiner vertices of G , we have and so $f_{s_1}(G) \leq s_1(G) - |W|$.

In the following we determine the forcing edge Steiner numbers of certain standard graphs.

Theorem 2.10. For an even cycle $G = C_p$ ($p \geq 4$), a set $S \subseteq V$ is a s_1 -set if and only if S consists of two antipodal vertices. In particular for an even cycle $G = C_p$, $s_1(G) = 2$.

Proof. If S consists of two antipodal vertices, then it is clear that S is a s_1 -set of C_p . Conversely, let S be any s_1 -set of C_p . Then $s(C_p) = |S|$. Then it follows from the first part of the proof that S consists of two vertices, say $S = \{u, v\}$. If u and v are not antipodal, then any edge that is not on the $u - v$ geodesic does not lie on the Steiner S -tree. Thus S is not a s_1 -set, which is a contradiction. \square

Theorem 2.11. For a cycle C_p ($p \geq 4$), $f_{s_1}(C_p) = \begin{cases} 1 & \text{if } p \text{ is even} \\ 2 & \text{if } p \text{ is odd.} \end{cases}$

Proof. For p is even, it follows from Theorem 2.10 that C_p contains $p/2$ s_1 -sets and it is clear that each singleton set is the minimum forcing set for exactly one s_1 of C_p . Hence it follows from Theorem 2.4 (i) that $f_{s_1}(C_p) = 1$.

Let p be odd and $p = 2n + 1$. Let the cycle be $C_p : v_1, v_2, \dots, v_n, v_{n+1}, v_{n+2}, \dots, v_{2n+1}, v_1$. If $S = \{u, v\}$ is any set of two vertices of C_p , then no edge on the $u - v$ longest path lies on the Steiner S -tree in C_p and so no two element subset of C_p is a Steiner set of C_p . Now, it is clear that the sets $S_1 = \{v_1, v_{n+1}, v_{n+2}\}$, $S_2 = \{v_2, v_{n+2}, v_{n+3}\}, \dots, S_{n+2} = \{v_{n+2}, v_1, v_2\}, \dots, S_{2n+1} = \{v_{2n+1}, v_n, v_{n+1}\}$ are s_1 -sets of C_p . (Note that there are more s -sets of C_p , for example, $S' = \{v_1, v_{n+1}, v_{n+3}\}$ is a s_1 -set different from these). It is clear from the s_1 -sets S_i ($1 \leq i \leq 2n + 1$) that each $\{v_i\}$ ($1 \leq i \leq 2n + 1$) is a subset of more than one s_1 -set S_i . Hence it follows from Theorem 2.4 (i) and (ii) that $f_{s_1}(C_p) \geq 2$. Now, since v_{n+1} and v_{n+2} are antipodal to v_1 , it is clear that S_1 is the unique s_1 -set containing $\{v_{n+1}, v_{n+2}\}$ and so $f_{s_1}(C_p) = 2$. \square

Theorem 2.12. For the complete graph $G = K_p$ ($p \geq 2$), $f_{s_1}(G) = 0$.

Proof. Since $W = V(G)$ is the unique minimum s_1 -set of G , the result follows from Theorem 2.4(i). \square

Theorem 2.13. For the complete bipartite graph $G = K_{m,n}$ ($m, n \geq 2$), $f_{s_1}(K_{m,n}) = \begin{cases} 0 & \text{if } m \neq n \\ 1 & \text{if } m = n. \end{cases}$

Proof. First assume that $m < n$. Let $U = \{u_1, u_2, \dots, u_m\}$ and $W = \{w_1, w_2, \dots, w_n\}$ be the bipartition sets of G . Let $S = U$. We prove that S is a s_1 -set of G . Any Steiner S -tree T is a star centered at w_j ($1 \leq j \leq n$) with u_i ($1 \leq i \leq m$) as end vertices of T . Hence every edge of G lies on a Steiner S -tree of G so that S is an edge Steiner set of G . Let X be any set of vertices such that $|X| < |S|$. Then there exists a vertex $u_i \in U$ such that $u_i \notin X$. Since any Steiner X -tree is a star centered at w_j ($1 \leq j \leq n$), whose end-vertices are elements of X , the edge $w_j u_i$ does not lie on any Steiner X -tree of G . Thus X is not an edge Steiner set of G . Hence S is a s_1 -set so that $s_1(K_{m,n}) = |S| = m$. Now, let S_1 be a set of vertices such that $|S_1| = m$. If S_1 is a subset of W , then since $m < n$, there exists a vertex $w_j \in W$ such that $w_j \notin S_1$. Then the edges $w_j u_i$ do not lie on any Steiner S_1 -tree of G . If $S_1 U \cup W$ such that S_1 contains at least one vertex from each of U and W , then since $S_1 \neq U$, there exists vertices $u_i \in U$ and $w_j \in W$ such that $u_i \notin S_1$ and $w_j \notin S_1$. Then clearly the edges $u_i w_j$ do not lie on any Steiner S_1 -tree of G and so S_1 is not a Steiner set of G . It follows that U is the unique s_1 -set of G . Hence it follows from Theorem 2.4(i) that $f_{s_1}(G) = 0$. Now, let $m = n$. Then as in the first part of this theorem, both U and W are s_1 -sets of G . Now, let S' be any set of vertices such that $|S'| = m$ and $S' \neq U, W$. Then there exist vertices $u_i \in U$ and $w_j \in W$ such that $u_i \notin S'$ and $w_j \notin S'$. Then as earlier, S' is not an edge Steiner set of G . Hence it follows that U and W are the only s_1 -sets of G . Since U is the unique edge Steiner set containing $\{u_i\}$, it follows that $f_{s_1}(G) = 1$. □

Theorem 2.14. *If $S = \{u, v\}$ is a s_1 -set of a connected graph G , then u and v are antipodal vertices of G .*

Proof. Let $S = \{u, v\}$ be a s_1 -set of G . Then every edge of G lies on a Steiner S -tree of G . Hence every vertex of G lies on a Steiner S -tree of G . Since every Steiner S -tree is a $u - v$ geodesic, every vertex of G lies on a geodesic joining u and v . We claim that $d(u, v) = d(G)$. If $d(u, v) < d(G)$, then let x and y be two vertices of G such that $d(x, y) = d(G)$. Now, it follows that x and y lie on distinct geodesics joining u and v . Hence $d(u, v) = d(u, x) + d(x, v) \dots$ (1) and $d(u, v) = d(u, y) + d(y, v) \dots$ (2). By the triangle inequality, $d(x, y) < d(x, u) + d(u, y) \dots$ (3). Since $d(u, v) < d(x, y)$, (3) becomes $d(u, v) < d(x, u) + d(u, y) \dots$ (4). Using (4) in (1), $d(x, v) < d(u, y) \dots$ (5). Also, by triangle inequality, we have $d(x, y) \leq d(x, v) + d(v, y) \dots$ (6). Now, using (5) and (2), (6) becomes $d(x, y) < d(u, y) + d(v, y) = d(u, v)$. Thus, $d(G) < d(u, v)$, which is a contradiction. Hence $d(u, v) = d(G)$ and so u and v are antipodal vertices of G . □

Theorem 2.15. *If G is a connected graph with $s_1(G) = 2$, then $f_{s_1}(G) \leq 1$.*

Proof. Let $S = \{u, v\}$ be any s_1 -set of G . Then by Theorem 2.14, u and v are antipodal vertices of G . Suppose that $f_{s_1}(G) = 2$. It follows from Theorem 2.4(iii) that S is not the unique s_1 -set containing u and so there exists $x \neq v$ such that $S' = \{u, x\}$ is also a s_1 -set of G . By Theorem 2.14, u and x are two antipodal vertices of G and v is an internal vertex of some $u - x$ geodesic in G . Therefore, $d(u, v) < d(u, x)$, which is a contradiction. \square

Theorem 2.16. *If G is a connected geodetic graph with $s_1(G) = 2$, then $f_{s_1}(G) = 0$.*

Proof. Let $s_1(G) = 2$. Let $W = \{u, v\}$ be a minimum edge Steiner set of G . Then it is clear that every edge of G lies on a $u - v$ geodesic. Since G is a geodetic graph it follows that $G = P_n$. Hence it follows from Theorem 2.4(i) that $f_{s_1}(G) = 0$. \square

Theorem 2.17. *Let G be a connected graph with $s(G) = s_1(G)$. Then, $f_{s_1}(G) \leq fs(G)$.*

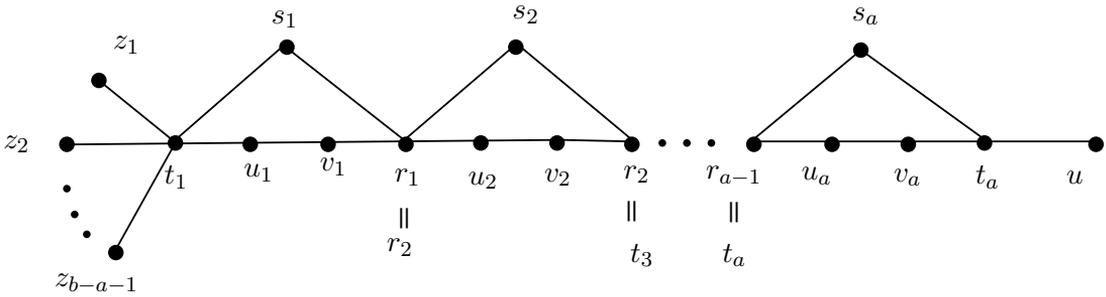
Proof. Let T be any forcing subset of any s -set. We show that T is a forcing subset of a s_1 -set of G . Otherwise, T is a subset of more than one s_1 -set. Since every edge Steiner set of G is a set Steiner set of G and since $s(G) = s_1(G)$, it follows that T is a subset of more than one s -set of G , which is a contradiction. Thus every forcing subset of any s -set of G is also a forcing subset of a s_1 -set of G . Hence $f_{s_1}(G) \leq fs(G)$. \square

Theorem 2.18. *For every pair a, b of integers with $0 \leq a < b$, $b \geq 2$ and $b - a - 1 > 0$, there exists a connected graph G such that $f_{s_1}(G) = a$ and $s_1(G) = b$.*

Proof. If $a = 0$, let $G = K_b$. Then by Theorem 2.11, $f_{s_1}(G) = 0$ and by Theorem 1.2, $s_1(G) = b$. Now, we assume that $a \geq 1$. Let $F_i : s_i, t_i, u_i, v_i, r_i, s_i$ ($1 \leq i \leq a$) be a copy of the cycle C_5 . Let G be the graph obtained from F_i ($1 \leq i \leq a$) by first identifying the vertices r_{i-1} of F_{i-1} and t_i of F_i ($2 \leq i \leq a$) and then adding the $b - a$ new vertices $z_1, z_2, \dots, z_{b-a-1}, u$ and joining the $b - a$ edges $t_1 z_i$ ($1 \leq i \leq b - a - 1$) and $r_a u$. The graph G is given in Figure 2.3. Let $Z = \{z_1, z_2, \dots, z_{b-a-1}, u\}$ be the set of end-vertices of G . By Theorem 1.1, every s_1 -set contains Z . Let $H_i = \{u_i, v_i\}$ ($1 \leq i \leq a$).

First we show that $s_1(G) = b$. Since the edges $u_i v_i$ do not lie on the unique Steiner Z -tree of G , it is clear that Z is not an edge Steiner set of G . Hence it follows from Theorem 1.1 that every s_1 -set of G must contain exactly one vertex from each H_i ($1 \leq i \leq a$) and so $s_1(G)b - a + a = b$. On the other hand, since the set $W_1 = Z \cup \{v_1, v_2, \dots, v_a\}$ is an edge Steiner set of G , it follows that $s_1(G) \leq |W_1| = b$. Thus, $s_1(G) = b$.

Next, we show that $f s_1(G) = a$. By Theorem 1.1, every edge Steiner set of G contains Z and so it follows from Theorem 2.7 that $f s_1(G) \leq s_1(G) - |Z| = b - (b - a) = a$. Now, since $s_1(G) = b$ and every $s_1(G)$ -set of G contains Z , it is easily seen that every $s_1(G)$ -set S is of the form $Z \cup \{c_1, c_2, \dots, c_a\}$, where $c_i \in H_i$ ($1 \leq i \leq a$). Let T be any proper subset of S with $|T| < a$. Then there is a vertex c_j ($1 \leq j \leq a$) such that $c_j \notin T$. Let d_j be a vertex of H_j distinct from c_j . Then $S_1 = (S - \{c_j\}) \cup \{d_j\}$ is a s_1 -set properly containing T . Thus S is not the unique s_1 -set containing T and so T is not a forcing subset of S . This is true for all s_1 -sets of G and so $f s_1(G) = a$. □



G
Figure 2.3

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